THE ANALYTIC TOPOLOGY SUFFICES FOR THE B_{dR}^+ -GRASSMANNIAN

KĘSTUTIS ČESNAVIČIUS ⁽¹⁾ AND ALEX YOUCIS ⁽²⁾

ABSTRACT. The B_{dR}^+ -affine Grassmannian was introduced by Scholze in the context of the geometric local Langlands program in mixed characteristic and is the Fargues–Fontaine curve analogue of the equal characteristic Beilinson–Drinfeld affine Grassmannian. For a reductive group G, it is defined as the étale (equivalently, v-) sheafification of the presheaf quotient LG/L^+G of the B_{dR} -loop group LG by the B_{dR}^+ -loop subgroup L^+G . We combine algebraization and approximation techniques with known cases of the Grothendieck–Serre conjecture to show that the analytic topology suffices for this sheafification, more precisely, that the B_{dR}^+ -affine Grassmannian agrees with the analytic sheafification of the aforementioned presheaf quotient LG/L^+G .

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1. The B_{dB}^+ -Affine Grassmannian

For a reductive group G over a ring R, the Beilinson–Drinfeld affine Grassmannian Gr_G plays an important role in the geometric Langlands program, as well as in other fields that feature reductive groups and their torsors. Letting LG (resp., L^+G) be the *loop functor* (resp., its *positive loop subfunctor*) that sends a variable R-algebra A to G(A((t))) (resp., to G(A[t]))), the affine Grassmannian Gr_G is defined as the étale (equivalently, fpqc) sheafification of the presheaf quotient LG/L^+G . By the recent results [Čes24, Theorems 2.5 and 3.4], based on the study of G-torsors over \mathbb{P}^1_A , Zariski sheafification gives the same result and, if G is totally isotropic (for instance, quasi-split), then no sheafification is needed at all: then Gr_G already agrees with the presheaf quotient LG/L^+G .

In his Berkeley lectures [SW20], Scholze adapted the definition of Beilinson–Drinfeld to the thenemergent geometric *local* Langlands program, and subsequently with Fargues applied it in their elaboration of this program in [FS24]. To review his definition, we let K be a nonarchimedean local field, let G be a smooth affine group scheme defined either over K or over its ring of integers \mathcal{O}_K , and

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⁽¹⁾ CNRS, Université Paris-Saclay, Laboratoire de mathématiques d'Orsay, F-91405, Orsay, France

⁽²⁾ NATIONAL UNIVERSITY OF SINGAPORE, LEVEL 4, BLOCK S17, 10 LOWER KENT RIDGE ROAD, SINGAPORE 119076

E-mail addresses: kestutis@math.u-psud.fr, alex.youcis@gmail.com.

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recall that the B_{dR}^+ -affine Grassmannian $\operatorname{Gr}_G^{B_{dR}^+}$ is a functor on the category of perfectoid \mathcal{O}_K -algebra pairs (A, A^+) . For such a pair, we let $(A^{\flat}, A^{\flat+})$ denote its tilt, let $\varpi^{\flat} \in A^{\flat+}$ be a pseudouniformizer such that $\omega := (\omega^{\flat})^{\sharp}$ satisfies $\omega^p \mid p$ in A^+ (see [SW20, Lemma 6.2.2] or [ČS24, Section 2.1.2]), let $W_{\mathcal{O}_K}(A^{\flat+})$ denote the \mathcal{O}_K -ramified Witt vectors of $A^{\flat+}$, and let

$$I := \operatorname{Ker} \left(W_{\mathcal{O}_K}(A^{\flat +}) \twoheadrightarrow A^+ \right)$$

be the kernel of the Fontaine \mathcal{O}_K -algebra map sending any Teichmüller [a] to a^{\sharp} (compare with [CS24, Equation (2.1.1.1)]). In the B_{dR}^+ context, the role of the formal power series ring A[t] is played by

$$B^+_{\mathrm{dR}}(A) := \varprojlim_n (W_{\mathcal{O}_K}(A^{\flat+})[\frac{1}{[\omega^{\flat}]}]/I^n)$$

compare with [SW20, page 138]. This notation is slightly abusive because $B_{dR}^+(A)$ does depend on K, although not on A^+ nor on ϖ^{\flat} . The ideal I is functorial in (A, A^+) , principal, and generated by a nonzerodivisor ξ , and the role of the Laurent power series ring A((t)) is played by

$$B_{\mathrm{dR}}(A) := B_{\mathrm{dR}}^+(A)[\frac{1}{I}].$$

In the following special cases, we can be slightly more explicit.

- (1) The field K is of characteristic 0 and A is a K-algebra: then we may choose ϖ^{\flat} such that ϖ^{p} is a unit multiple of p (see [ČS24, Section 2.1.2]), so $B_{dR}^{+}(A)$ and $B_{dR}(A)$ are K-algebras.
- (2) The field K is of characteristic 0 and A is an algebra over its residue field k: then $A^{\flat+} \cong A^+$, so $I = (\pi)$ where $\pi \in \mathcal{O}_K$ is a uniformizer, and $B^+_{dR}(A) = W_{\mathcal{O}_K}(A)$ with $B_{dR}(A) = W_{\mathcal{O}_K}(A)[\frac{1}{\pi}]$.
- (3) The field K is of characteristic p > 0. Then $\mathcal{O}_K \simeq k[\![\zeta]\!]$, the functor $W_{\mathcal{O}_K}(-)$ is the completed the tensor product over k with $k[\![\zeta]\!]$, and $B^+_{\mathrm{dR}}(A) \simeq A[\![\pi - \zeta]\!]$ with $B_{\mathrm{dR}}(A) \simeq A(\!(\pi - \zeta)\!)$, where $\pi \in \mathcal{O}_K$ is a uniformizer; in terms of this presentation, the ideal I is generated by $\pi - \zeta$. For the sake of uniformity of discussion, we do not exclude this case, but it will offer nothing new relative to the setting of the Beilinson–Drinfeld affine Grassmannian reviewed above.

We stress that the cases (1)-(3) are nonexhaustive, see, for instance, [SW20, Example 6.1.5 4.].

In the B_{dB}^+ context, the loop functor (resp., its positive loop subfunctor) is defined by

$$LG: (A, A^+) \mapsto G(B_{\mathrm{dR}}(A)) \quad (\text{resp., by} \quad L^+G: (A, A^+) \mapsto G(B^+_{\mathrm{dR}}(A)))$$

granted either that G is defined over \mathcal{O}_K or that one restricts to (A, A^+) with A a K-algebra. We have reused the notation LG and L^+G because the power series context will henceforth play no role.

The B_{dR}^+ -affine Grassmannian $\operatorname{Gr}_G^{B_{dR}^+}$ is defined as the étale sheafification of the presheaf quotient LG/L^+G , compare with [SW20, Definition 19.1.1]. Our goal in this article is to show that for reductive G, the sheafification for the much coarser analytic topology gives the same result, namely, that $\operatorname{Gr}_G^{B_{dR}^+}$ agrees with the analytic sheafification of the presheaf quotient LG/L^+G , see Theorem 3.1 below.

In the view of the analogy with the Beilinson–Drinfeld affine Grassmannian, it could be that, at least for totally isotropic G, no sheafification is needed at all, namely, that $\operatorname{Gr}_{G}^{B_{\mathrm{dR}}^+}$ is the presheaf quotient LG/L^+G . However, we do not know how to approach this, nor how to find a counterexample.

2. The modular description

Our proof that the analytic sheafification suffices will hinge on the following modular description of the B_{dR}^+ -affine Grassmannian. This modular description has already been given in [SW20, Proposition 19.1.2], although we prefer the slightly different argument for it given below.

Proposition 2.1. For a nonarchimedean local field K and a smooth affine group scheme G defined either over K or over \mathcal{O}_K , letting (A, A^+) range over perfectoid \mathcal{O}_K -algebra pairs (resp., such that A is a K-algebra if G is only defined over K), we have the following functorial modular interpretation:

$$\operatorname{Gr}_{G}^{B_{\mathrm{dR}}^{+}}(A) \cong \left\{ (\mathcal{E}, \iota) \colon \begin{array}{l} \mathcal{E} \text{ is a } G \text{-torsor over } B_{\mathrm{dR}}^{+}(A), \\ \iota \in \mathcal{E}(B_{\mathrm{dR}}(A)) \text{ is a trivialization over } B_{\mathrm{dR}}(A) \end{array} \right\} / \sim .$$

Moreover, $\operatorname{Gr}_{G}^{B_{\mathrm{dR}}^{+}}$ is a sheaf for the v-topology and it contains the presheaf quotient $LG/L^{+}G$ as the subfunctor that parametrizes those pairs (\mathcal{E}, ι) for which \mathcal{E} is a trivial torsor.

Proof. Let us temporarily write Gr_G' for the functor defined by the displayed modular description. Since LG parametrizes the possible ι for the trivial G-torsor and L^+G parametrizes the automorphisms of the trivial G-torsor over $B_{\mathrm{dR}}^+(A)$, the presheaf quotient LG/L^+G is identified with the subfunctor of Gr_G' that parametrizes those (\mathcal{E}, ι) for which \mathcal{E} is a trivial G-torsor. Thus, all we need to show is that Gr_G' is a v-sheaf (in particular, an étale sheaf) and that each (\mathcal{E}, ι) lies in LG/L^+G étale locally on $\operatorname{Spa}(A, A^+)$, in other words, that \mathcal{E} trivializes étale locally on $\operatorname{Spa}(A, A^+)$.

For the latter, of course, \mathcal{E} inherits smoothness over $B_{dR}^+(A)$ (and also affineness) from G, so to trivialize \mathcal{E} over $B_{dR}^+(A')$ for a perfectoid \mathcal{O}_K -algebra pair (A', A'^+) over (A, A^+) , it suffices to arrange that $\mathcal{E}(A') \neq \emptyset$, that is, to trivialize $\mathcal{E}|_{A'}$. In other words, we need to trivialize $\mathcal{E}|_A$ étale locally on Spa (A, A^+) , knowing that, by the A-smoothness of $\mathcal{E}|_A$, the latter trivializes étale locally on Spec(A). By [SAG, Proposition 1.2.3.4], the étale topos (Shv(Spec $(A)_{\acute{e}t}), \mathscr{O}_{Spec}(A)_{\acute{e}t})$ ringed by the structure sheaf is final among all the strictly Henselian locally ringed topoi $(\mathcal{X}, \mathcal{O}_{\mathcal{X}})$ equipped with a ring homomorphism $A \to \Gamma(\mathcal{X}, \mathcal{O}_{\mathcal{X}})$. Since the strictly local rings of an adic space are strictly Henselian, by [SAG, Remark 1.2.2.10] (with [Hub96, Propositions 2.5.5 and 2.5.17]), the étale topos (Shv(Spa $(A, A^+)_{\acute{e}t}), \mathscr{O}_{Spa}(A, A^+)_{\acute{e}t})$ of the adic space Spa (A, A^+) ringed by the structure sheaf is an example of an $(\mathcal{X}, \mathscr{O}_{\mathcal{X}})$ as above. It follows that there is a morphism of ringed topoi

$$(\operatorname{Shv}(\operatorname{Spa}(A, A^+)_{\operatorname{\acute{e}t}}), \mathscr{O}_{\operatorname{Spa}(A, A^+)_{\operatorname{\acute{e}t}}}) \to (\operatorname{Shv}(\operatorname{Spec}(A)_{\operatorname{\acute{e}t}}), \mathscr{O}_{\operatorname{Spec}(A)_{\operatorname{\acute{e}t}}}).$$

Thus, an étale cover of Spec(A) that trivializes $\mathcal{E}|_A$ pulls back to an étale cover of $\text{Spa}(A, A^+)_{\text{ét}}$, to the effect that $\mathcal{E}|_A$ also trivializes locally on $\text{Spa}(A, A^+)_{\text{ét}}$, as desired.¹

For the remaining v-sheaf property of Gr'_G , we will use the trivialization ι . Firstly, thanks to ι and the ideal I from §1 being generated by a nonzerodivisor, the objects of the prestack in groupoids

$$(A, A^+) \mapsto \left\{ (\mathcal{E}, \iota) \colon \begin{array}{l} \mathcal{E} \text{ is a } G \text{-torsor over } B^+_{\mathrm{dR}}(A), \\ \iota \in \mathcal{E}(B_{\mathrm{dR}}(A)) \text{ is a trivialization over } B_{\mathrm{dR}}(A) \end{array} \right\}$$

¹A more concrete way to trivialize $\mathcal{E}|_A$ étale locally on $\operatorname{Spa}(A, A^+)_{\acute{e}t}$ is as follows. For a fixed $x \in \operatorname{Spa}(A, A^+)$, let $\operatorname{Spa}(A_i, A_i^+) \subset \operatorname{Spa}(A, A^+)$ range over the rational opens containing x. By, for example, [Sch22, Example 5.2], the residue pair (k_x, k_x^+) is perfected and, letting $\varpi \in A^+$ be a pseudouniformizer, $k_x^+ \cong \left(\varinjlim_i A_i^+\right)^{\wedge}$ with $k_x \cong k_x^+[\frac{1}{\varpi}]$, where the completion is ϖ -adic. Since k_x is a field and \mathcal{E} is smooth and nonempty, $\mathcal{E}(\tilde{k}) \neq \emptyset$ for some finite separable field extension \tilde{k}/k_x . Each A_i^+ is ϖ -Henselian and $A_i \cong A_i^+[\frac{1}{\varpi}]$ (resp., $k_x \cong k_x^+[\frac{1}{\varpi}]$), so $\varinjlim_i A_i^+$ is ϖ -Henselian with $\varinjlim_i A_i \cong (\varinjlim_i A_i^+)[\frac{1}{\varpi}]$. Thus, by [BČ22, Corollary 2.1.20] (with $B := \varinjlim_i A_i$ and $B' := \varpi(\varinjlim_i A_i^+)$ there) and a limit argument as in [KL15, Remark 1.2.9], there are an i and a finite étale A_i -algebra \tilde{A} with $\tilde{A} \otimes_{A_i} k_x \simeq \tilde{k}$. The restriction of scalars $\tilde{\mathcal{E}} := \operatorname{Res}_{\tilde{A}/A_i}(\mathcal{E}_{\tilde{A}})$ is a smooth affine A_i -scheme, see [BLR90, Section 7.6, Propositions 2 and 5, Theorem 4], and, by construction, $\tilde{\mathcal{E}}(k_x) \cong \mathcal{E}(\tilde{k}) \neq \emptyset$. Therefore, by [BČ22, Theorem 2.2.2], at the cost of enlarging i, also $\tilde{\mathcal{E}}(A_i) \cong \mathcal{E}(\tilde{A}) \neq \emptyset$. This implies the desired triviality of \mathcal{E} over some étale neighborhood of x.

have no nontrivial automorphisms. Thus, it suffices to show that this prestack is a v-stack, in fact, that v-descent is uniquely effective for its objects. To this end, we consider maps

$$(A, A^+) \to (A', A'^+) \rightrightarrows (A'', A''^+)$$

of perfectoid \mathcal{O}_K -algebra pairs such that the first one induces a *v*-cover on adic spectra whose self-(fiber product) is $\operatorname{Spa}(A'', A''^+)$, and we consider a pair (\mathcal{E}', ι') over $B^+_{\mathrm{dR}}(A')$ equipped with a descent datum with respect to this cover. Since the ideal *I* is functorial in (A, A^+) (see §1), the reduction modulo *I* of this descent datum equips the *G*-torsor $\mathcal{E}'|_{B^+_{\mathrm{dR}}(A')/I}$ over A' with a descent datum with respect to the *v*-cover $(A, A^+) \to (A', A'^+)$. By the Tannakian formalism for *G*-torsors over perfectoid spaces [SW20, Theorem 19.5.2] combined with the *v*-descent for vector bundles on perfectoid spaces [SW20, Corollary 17.1.9], this last descent datum is uniquely effective, so $\mathcal{E}'|_{B^+_{\mathrm{dR}}(A')/I}$ descends to a unique *G*-torsor *E* over *A*.

The invariance under Henselian pairs for G-torsors $[B\check{C}22$, Theorem 2.1.6]² ensures that E lifts uniquely to a G-torsor \mathcal{E} over $B_{\mathrm{dR}}^+(A)$ and, by uniqueness, $\mathcal{E}|_{B_{\mathrm{dR}}^+(A')} \simeq \mathcal{E}'$ compatibly with the canonical identification modulo I. A choice of this G-torsor isomorphism over $B_{\mathrm{dR}}^+(A')$ allows us to transfer the descent datum of \mathcal{E}' to a descent datum of $\mathcal{E}|_{B_{\mathrm{dR}}^+(A')}$. Exhibiting \mathcal{E} as a unique G-torsor descent of \mathcal{E}' becomes the task of finding a unique G-torsor automorphism α of $\mathcal{E}|_{B_{\mathrm{dR}}^+(A')}$ that reduces to the identity modulo I such that precomposition with α matches the transferred descent datum with the canonical descent datum of $\mathcal{E}|_{B_{\mathrm{dR}}^+(A')}$. The G-torsor automorphisms of (base changes of) \mathcal{E} are parametrized by a reductive $B_{\mathrm{dR}}^+(A)$ -group scheme \mathcal{G} that is a twist of G, in particular,

 $\mathcal{G}(B^+_{\mathrm{dR}}(A')) \cong \lim_{n > 0} \mathcal{G}(B^+_{\mathrm{dR}}(A')/I^n)$ with surjective transition maps,

so that it suffices to uniquely construct α inductively modulo I^n for all n > 0. More precisely, using the surjectivity of the transition maps and the inductive hypothesis, it suffices to show that every descent datum of $\mathcal{E}|_{B^+_{dR}(A')/I^{n+1}}$ that reduces to the canonical descent datum modulo I^n may be transformed to the canonical descent datum of $\mathcal{E}|_{B^+_{dR}(A')/I^{n+1}}$ by precomposing with a uniquely determined *G*-torsor automorphism of $\mathcal{E}|_{B^+_{dR}(A')/I^{n+1}}$ that reduces to the identity modulo I^n . By deformation theory [Ill05, Theorem 8.5.9 (a)], the *G*-torsor automorphisms of $\mathcal{E}|_{B^+_{dR}(A')/I^{n+1}}$ that reduce to the identity modulo I^n are parametrized by $H^0(A', \operatorname{Lie} \mathcal{G} \otimes_A I^n/I^{n+1})$, and similarly over A'', etc. Thus, the task becomes showing the following for the Čech cohomology groups of our *v*-cover:

$$\check{H}^1_v(A'/A, \operatorname{Lie} \mathcal{G} \otimes_A I^n/I^{n+1}) \cong 0 \quad \text{and} \quad \check{H}^0_v(A'/A, \operatorname{Lie} \mathcal{G} \otimes_A I^n/I^{n+1}) \cong \operatorname{Lie} \mathcal{G} \otimes_A I^n/I^{n+1}$$

Since Lie $\mathcal{G} \otimes_{A'} I^n / I^{n+1}$ is a finite projective A-module, both of these desired identifications follow by combining the Čech-to-derived spectral sequence with the vanishing of the higher v-cohomology of the structure sheaf on affinoid perfectoids [SW20, Theorem 17.1.3].

In conclusion, \mathcal{E} is actually a unique descent of \mathcal{E}' relative to the descent datum that we started from. It remains to argue that ι' descends uniquely as well, for which it now suffices to show that

$$\mathcal{E}(B_{\mathrm{dR}}(A)) \to \mathcal{E}(B_{\mathrm{dR}}(A')) \rightrightarrows \mathcal{E}(B_{\mathrm{dR}}(A''))$$

is an equalizer diagram. For this, since \mathcal{E} inherits affineness from G, it suffices to show that

$$B_{\mathrm{dR}}(A) \to B_{\mathrm{dR}}(A') \rightrightarrows B_{\mathrm{dR}}(A'')$$

is an equalizer diagram. Since the ideal I is functorial and principal, it suffices to show the same for

$$B^+_{\mathrm{dR}}(A) \to B^+_{\mathrm{dR}}(A') \rightrightarrows B^+_{\mathrm{dR}}(A'').$$

²Or already its earlier version [GR03, Theorem 5.8.14].

This, however, may be checked modulo powers of I, where, since I is generated by a nonzerodivisor, it follows from the structure presheaf being a v-sheaf on perfectoid spaces [Sch22, Theorem 8.7]. \Box

3. The analytic topology suffices

We are ready for our promised main result that the analytic sheafification suffices in the forming of the B_{dR}^+ -affine Grassmannian. This hinges on algebraization and approximation techniques from [BČ22, Section 2] and on the discrete valuation ring case of the Grothendieck–Serre conjecture.

Theorem 3.1. For a nonarchimedean local field K and a reductive group scheme G defined either over K or over \mathcal{O}_K as in §1, the B_{dR}^+ -affine Grassmannian $\operatorname{Gr}_G^{B_{dR}^+}$ is the sheafification of the presheaf quotient LG/L^+G with respect to the analytic topology on perfectoid \mathcal{O}_K -algebra pairs (A, A^+) .

We recall that the *analytic topology* is the one whose covers $\{(A, A^+) \to (A_j, A_j^+)\}_{j \in J}$ are characterized by the maps $\operatorname{Spa}(A_j, A_j^+) \to \operatorname{Spa}(A, A^+)$ being jointly surjective open immersions.

Proof. By Proposition 2.1, the B_{dR}^+ -affine Grassmannian $\operatorname{Gr}_G^{B_{dR}^+}$ is a sheaf for the analytic topology (even for the *v*-topology) and contains the presheaf quotient LG/L^+G as a subfunctor that parametrizes those pairs (\mathcal{E}, ι) in which \mathcal{E} is a trivial torsor. Thus, we only need to show that for every perfectoid \mathcal{O}_K -algebra pair (A, A^+) and every *G*-torsor \mathcal{E} over $B_{dR}^+(A)$ that becomes trivial over $B_{dR}(A)$, each $x \in \operatorname{Spa}(A, A^+)$ lies in some rational open subset $\operatorname{Spa}(A', A'^+) \subset \operatorname{Spa}(A, A^+)$ such that $\mathcal{E}|_{B_{dR}^+(A')}$ is trivial. For this, since $B_{dR}^+(A')$ is complete with respect to the kernel of its surjection onto A', by the smoothness of \mathcal{E} inherited from G (or by [BČ22, Theorem 2.1.6]), it suffices to show that for each $x \in \operatorname{Spa}(A, A^+)$, the *G*-torsor $E := \mathcal{E}|_A$ trivializes over some A' as above.

To find the desired A', we first let $\text{Spa}(A_i, A_i^+) \subset \text{Spa}(A, A^+)$ range over all the rational open subsets containing x and consider the perfectoid residue pair (k_x, k_x^+) with

$$k_x^+ \cong \left(\varinjlim_i A_i^+ \right)^{\wedge} \quad \text{and} \quad k_x \cong k_x^+ [\frac{1}{\varpi}],$$

where $\varpi \in A^+$ is a pseudouniformizer and the completion is ϖ -adic. Each A_i^+ is ϖ -Henselian (even ϖ -adically complete) and $A_i \cong A_i^+[\frac{1}{\varpi}]$, so $\varinjlim_i A_i^+$ is ϖ -Henselian (see [SP, Lemma 0FWT]) with $\varinjlim_i A_i \cong (\varinjlim_i A_i^+)[\frac{1}{\varpi}]$. The algebraization and approximation [BČ22, Corollary 2.1.22 (c)]³ gives

$$\underline{\lim}_{i} H^{1}(A_{i}, G) \cong H^{1}(\underline{\lim}_{i} A_{i}, G) \xrightarrow{\sim} H^{1}(k_{x}, G)$$

(for the first isomorphism in this display, see, for instance, [Čes15, Lemma 2.1]).⁴ Thanks to this, all that remains is to show that the *G*-torsor $E|_{k_x}$ is trivial granted that we know that it lifts to a *G*-torsor over $B_{dR}^+(k_x)$, namely, to $\mathcal{E}|_{B_{dR}^+(k_x)}$, that becomes trivial over $B_{dR}(k_x)$.

However, $B_{dR}^+(k_x)$ is a discrete valuation ring and G is reductive, so the Nisnevich case of the Grothendieck–Serre conjecture [Nis82, Chapter II, Theorem 4.2], [Nis84, théorème 2.1] (see also [Guo22, Theorem 1]) implies that no nontrivial G-torsor over $B_{dR}^+(k_x)$ trivializes over $B_{dR}(k_x)$. \Box

Remark 3.2. The only way in which we used the assumption that our group G is reductive, as opposed to, say, merely smooth and affine, is to apply the Grothendieck–Serre type result that no nontrivial G-torsor over the discrete valuation ring $B_{dR}^+(k_x)$ trivializes over $B_{dR}(k_x)$. Granted that

³Or already its earlier version [GR03, Theorem 5.8.14].

⁴In fact, we will only use the injectivity of the displayed map, which also follows from the Elkik-style approximation result [BČ22, Theorem 2.2.2], or already from its earlier version [GR03, Proposition 5.4.21].

this input is obtained, the same argument would give Theorem 3.1 for other classes of smooth affine groups, for instance, it would be interesting to know whether the same holds for parahoric G.

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