

Princeton, 15<sup>th</sup> of July, 2015

Dear Lennart,

You are right. Thank you for alerting me that 4.13 of my paper with Rapoport is wrong when  $n$  is not square free.

In addition to being wrong, it gives an incorrect picture of the ramification of  $M_{\Gamma_0(n)}$  over  $\mathcal{M}_1$ , and of the Neron model of the elliptic curves we have on the open  $M_{\Gamma_0(n)}^\circ$ .

Here is what I guess one could do; it is not elegant. I do not claim to have proofs, but I expect they are feasible. I only tried to understand.

I feel on solid ground in describing the completion of  $M_{\Gamma_0(n)}$  along infinity (= the cusps) and its elliptic curve on this completion, minus infinity.

First, as a guide, the analytic story over  $\mathbb{C}$ .

Near  $\infty$ , an elliptic curve has a natural description  $E = \mathbb{C}^*/q^{\mathbb{Z}}$  with  $|q| \ll 1$ . In families, the coverings  $\mathbb{C}^*/q^{\mathbb{Z}}$  form a family, but it can have monodromy. When describing cusps, we insist on a global description as  $\mathbb{C}^*/q^{\mathbb{Z}}$ . For  $\Gamma_0(n)$ , we consider  $E$  with a cyclic subgroup  $H$  of order  $n$ . Let  $n_r$  be the

as well as of  
the ramification  
at  $\infty$  of the  
classical  $M_{\Gamma_0(n)}/$   
 $M_1$ , which is  
the same

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order of the group of roots of unity in the inverse image of  $H$  in  $\mathbb{C}^*$ . The group  $H$  will have generators  $q_1$  of the form  $q_1 = (q^{n_1})^n \cdot (\text{a } n\text{-th root of 1})$ .

Required :  $q_1^{n_1/n}/q$  is a primitive  $n_1$ -th root of 1.

The cyclic subgroup  $H$  is determined by  $q_1^{n_1}$  : it is generated by any  $n_1$ -th root of  $q^{n_1}$ . Write  $n_1^2/n = a/b$  in reduced terms. We have then

discrete invariants, labelling the cusps :

$n_1$ , and  $(q_1^{n_1})^b/q^a$ , a primitive root of 1  
of order  $n/n_1 b$

continuous invariants :  $q_1$  and  $q_1^{n_1}$ , related.

The completion  $\hat{\mathcal{M}}_{\Gamma_0(n)}$  along the divisor at  $\infty$  will have a similar description. I work over  $\mathbb{Z}[[1/n]]$  and for simplicity I take  $n$  to be a prime power : I consider  $\Gamma_0(p^n)$  and work over  $\mathbb{Z}[[1/p]]$ . Define  $b' := \inf(k, n-k)$ . Let  $\mathcal{O}_{p'}$  be generated over  $\mathbb{Z}[[1/p]]$  by a primitive root of unity  $\zeta$  of order  $p^{b'}$ . Then,  $\hat{\mathcal{M}}_{\Gamma_0(p^n)}$  decomposes into pieces  $\hat{\mathcal{M}}_{p^k}^k$  indexed by  $k$  (with  $n$  above being  $p^k$ ),  $0 \leq k \leq n$ . Then,  $\hat{\mathcal{M}}_{p^k}^k$  is the spectrum of a finite extension, described below, of  $\mathcal{O}_{p'}[[q]]$ . Outside  $q=0$ , the elliptic curve is the pull back of the Tate curve " $B_m/q^2$ ", and the cyclic subgroup  $H$

contains  $\mathbb{F}_{p^k}$  and is given below.

a) when  $k \leq \frac{n}{2}$  :  $\mathcal{O}_k[[q]][(q\gamma)^{1/p^{n-2k}}]$  ;

$H$  : generated by any  $p^k$ -th root of  $(q\gamma)^{1/p^{n-2k}}$

b) when  $k > \frac{n}{2}$  :  $\mathcal{O}_{k'}[[q]]$ , where  $k' = n - k$ .

$H$  : generated by any  $p^{k'}$ -th root of  ~~$q^{p^{2k-n}}$~~   $q^{p^{2k-n}}$ .

On  $\mathcal{M}_{\Gamma_0(p^n)}$ , the elliptic curve on  $\mathcal{M}_{\Gamma_0(p^n)}^\circ$  will extend as a generalized elliptic curve. At infinity, we have ~~disjoint~~ disjoint divisors  $D_k$  ( $0 \leq k \leq n$ ), and above  $D_k$  this generalized elliptic curve is a  $\begin{cases} p^{n-2k} - \text{gone} & \text{if } k \leq \frac{n}{2} \\ , - \text{gone} & \text{if } k > \frac{n}{2} \end{cases}$ .

This gives a map

$$\mathcal{M}_{\Gamma_0(p^n)} \rightarrow \mathcal{M}_*$$

where  $\mathcal{M}_*$  is the horribly non-separate stack of generalized elliptic curves ( $N$ -gons allowed for any  $N$ ). This map is étale. The stack  $\mathcal{M}_*$  is glued along the common open  $\mathcal{M}_i^\circ$  of the compactifications  $\mathcal{M}_{[N]}$  where  $N$ -gons are allowed.

## Interlude : the orbifold construction.

Suppose we have in a stack  $S$  a family of Cartier divisors  $D_i$ , disjoint, and integers  $N_i \geq 1$ . I want to define a stack  $(S, (\frac{1}{N_i} D_i))$ , assuming the  $N_i$  are prime to the characteristics (if not, we would obtain an Artin stack rather than an algebraic stack). This stack agrees with  $S$  outside of the  $D_i$ , and the construction is local along each  $D_i$ . Let me assume there is just one  $D_i$ , call it  $D$ , and put  $N := N_i$ . Local charts are obtained as follows: one starts with local charts  $U$  for  $S$ , small enough so that  $\mathcal{O}(-D)$  is trivial on  $U$ , and one picks an equation  $t$  for the inverse image  $D_U$  of  $D$  in  $U$ . Local chart:  $U[t^{\pm N}]$ . To glue those charts, one should tell what the fiber product of two such charts is. Take the charts defined by  $(U, t)$  and  $(V, t')$ . To get the fiber product over  $(S, \frac{1}{N} D)$ : take  $U \times_S V$ . On it, we have the inverse image of  $t$  and  $t'$ , still noted  $t$  and  $t'$ . As  $t$  and  $t'$  are equations of the same divisor,  $t'/t$  makes sense as an invertible function on  $U \times_S V$ . The fiber products maps to  $U \times_S V[t^{\pm N}, t'^{\pm N}]$ . It is

$$U \times_S V [t'^N, (t'/t)^{1/N}] .$$

Remark : on  $(S, \frac{1}{N}D)$  we have a divisor  $\frac{1}{N}D$  (with  $N(\frac{1}{N}D)$  the inverse image of  $D$  by the natural  $(S, \frac{1}{N}D) \rightarrow S$ ) : local equations the  $t'^N = 0$ .

Example : if  $D$  is the (reduced) divisor at  $\infty$  of  $\mathcal{M}_{[M]}$ , then  $\mathcal{M}_{[MN]}$  is naturally isomorphic to  $(\mathcal{M}_{[M]}, \frac{1}{N}D)$

Remark For a reduced divisor on a smooth curve, this is how an "orbifold curve" can be viewed as a stack.

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The stack  $\mathcal{M}_{\Gamma_0(p^k)}$  of the paper with Rapoport is deduced from  $\mathcal{M}_{\Gamma_0(p^k)}$  we want to understand by this method, applied to the divisors  $D_k$  at infinity, using as ramification  $N$  the  $p^{k'}$ . Along  $D_k$ , that is when we remove from  $\mathcal{M}_{\Gamma_0(p^k)}$  the  $D_\ell$ ,  $\ell \neq k$ , this gives a cartesian square

$$\begin{array}{ccc} \mathcal{M}_{\Gamma_0(p^k)} \text{ minus the } D_\ell & \longrightarrow & \mathcal{M}_{[\alpha p^{k'}]} \\ \downarrow & & \downarrow \\ \mathcal{M}_{\Gamma_0(p^k)} \text{ minus the } D_\ell & \longrightarrow & \mathcal{M}_{[\alpha]} \end{array}$$

with  $\alpha = \begin{cases} p^{n-2-k} & \text{if } k \leq \frac{n}{2} \\ 1 & \text{if } k \geq \frac{n}{2} \end{cases} \quad (\alpha' = \alpha)$

We want to describe a moduli problem  
for  $\mathcal{M}_{\Gamma_0(p^n)}$ . To have

$$S \rightarrow \mathcal{M}_{\Gamma_0(p^n)},$$

we should first give

a) disjoint closed subscheme  $D_k$  ( $0 \leq k \leq n$ )

b) a generalized elliptic curve  $E$ , elliptic over the complement of the  $D_k$ , and  $\mathcal{A}^{\vee}$  over  $D_k$

if  $k \leq \frac{n}{2}$  : a  $p^{n-2k}$ -gone

if  $k > \frac{n}{2}$  : a 1-gone

In addition,  $\coprod D_k$  should be the schematic direct image of the non smoothness loci of  $E/S$  (which can be defined as a scheme). In other words, b) defines  $S \rightarrow \mathcal{M}_*$ , and  $\coprod D_k$  is the inverse image the divisor at  $\infty$  of  $\mathcal{M}_*$ .

c) over the complement of the  $D_k$ , a cyclic subgroup  $H \subset E$  of order  $p^n$

(2) we need more along each  $D_k$ , and this more is a local data. Let me explain it on the complement of the  $D_k$ , let  $k = \text{repose}$   
 $D_\ell = \emptyset$  for  $\ell \neq k$ , and put  $D := D_k$ . Put as above  
 $a = p^{n-2k}$ , or 1 if  $k > \frac{n}{2}$ .

In a glib but hopefully correct way of speaking, we want as additional data a morphism of stacks

$$S \times_{\mathcal{M}_a} \mathcal{M}_{a^{pb}} \rightarrow \mathcal{M}_{p^n},$$

mapping the inverse image of  $D_{\mathbb{F}}$  where it should.

More concretely : for any  $S' \rightarrow S$ , and generalized elliptic curve  $E'/S'$ , which is a  $p^{n-k}$ -gone over  $D$ , and isomorphisms

$E$  pulled back to  $S' \xrightarrow{\alpha}$  contraction of  $E'$ , turning a  $p^{n-k}$ -gone into a  $\alpha$ -gone,

the data of a ~~sub~~ cyclic subgroup  $H$  of  $E'$ , of order  $p^n$ , meeting each irreducible component of each fiber. This  $H$  has to be functorial in  $(E'/S', \alpha)$  and on the complement of  $D$ , we want of course the structure  $c_i$ .

I now want to get rid of "for any  $S'/S$ ". This is easier to explain when  $D$  is a divisor (I continue to assume  $D_{\mathbb{F}} \cap D_{\ell} = \emptyset$  for  $\ell \neq k$ ). Locally, pick an equation for  $D$ . Go to the covering obtained by adjoining  $t^{(p^k)}$ . This is a local chart of the  $S \times_{\mathcal{M}_a} \mathcal{M}_{a^{pb}}$  above, and on it we should have a unique generalized elliptic curve ~~of order  $p^{n-k}$~~ , a  $p^{n-k}$ -gone over  $D$ , of which  $E$  is contraction. We want  $H$  on it,

as before. It will be the closure of what we have outside of  $D$ .

If  $D$  is not a divisor (for instance if  $S_{\text{red}} = D$ ), the orbifold construction has to be generalized in a "logarithmic" fashion. The minimum we need is

- a) a line bundle  $L$  on  $D$
- b) an epimorphism  $L \rightarrow I/I^2$ , where  $I$  is the divisor defining  $D$

In the orbifold construction, one then replace "equation  $t$  of  $D$ " by "equation  $t$  of  $D$ , and section  $l$  of  $L$  agreeing with  $t \bmod I^2$ . Instead of  $t'/t$  invertible, one uses any extension  $l'/l'$  of  $l'/l$ , and  $((l'/l')^\sim)^{1/p^k}$  instead  $(t'/t)^{1/p^k}$ .

In our case, from  $S \rightarrow M_a$ , we get more : the pull back  $L$  of the divisor at  $\mathcal{O}(-D_\infty)$ , for  $D_\infty$  the divisor at  $\infty$  of  $M_a$ , and  $L \rightarrow I$  an epimorphism. One can take then  $l$  in  $L$  and its image  $t$ , an equation for  $L$ .

Given  $l$  and  $t$ , one should get on  $S[t^{1/p^k}]$  a canonical  $E'$ , as <sup>above</sup>  $p^{n-k}$ -gon; it will have an action of  $\mathbb{G}_{p^k}$ . On it, we want a  $H$ . It will be semi-stable.

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This  $(E'/S[\ell'^{p^k}])$  will have a natural action of  $\mathbb{Z}_{p^k}$ , above the action  $\ell'^{p^k} \leftrightarrow \ell'^{p^k}$ . We want an  $H$  of the correct type, invariant by the action (hence giving a  $H$  on  $E$  on  $S-D$ ). If we change  $\ell$  (and hence  $\ell'$ ), the new  $(E'/S[\ell'^{p^k}])$  is deduced from the old by torsion by the  $\mathbb{Z}_{p^k}$ -torsor of  $p^k$ -roots of  $\ell'/\ell$ , and in this way the data for one  $\ell$  gives it for another.

The "pull-back by  $S \rightarrow R_a$  of  $\mathcal{O}(-D_\infty)$ " is a little abstract to me. Its restriction to  $D$  is more concrete, in that it can be described purely in term of the singularity of  $E/S$ : "the tensor product of the tangent space along the two branches of  $E$  at a singular point, dualized".

Bert, and my reiterated thanks,

P. Deligne

Pierre Deligne

P.S. If this letter is difficult to read, I could have it typed, but it will take a week or more.