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Colloquium Logicum, Hamburg, September 2016

Elisabeth Bouscaren

In the background: the groundbreaking proof, in 1993, by Hrushovski of the Mordell-Lang conjecture, which remained, in Char.  $p$  the only known proof until 2013.

*In the present: Talk inspired by a series of papers joint work with Franck Benoist and Anand Pillay on the model theory of semiabelian varieties although this won't be visible here.*

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# The notions

- induced structure
  - enriched structure
  - orthogonality
  - **Characterizing classical algebraic structures abstractly**  
or reconstructing algebraic structures (groups, fields) from abstract or combinatorial data .
- In the spirit of the **very old classical theorem in geometry** which says that a Desarguesian projective geometry of dimension at least 3 is the projective geometry over a division ring.

**Theorem** *Function field Mordell-Lang, a special case* (and a little cheating especially in char.  $p$ )

$k \subset K$  two algebraically closed fields,  $G$  abelian variety over  $K$ ,  $X$  irreducible subvariety of  $G$ ,  $\Gamma \subset G(K)$  finitely generated subgroup.

Then ,

- either  $G$  has a sub-abelian variety  $H$  “defined” over  $k$ , which contains a translate of  $\Gamma \cap X$ ,
- or  $X \cap \Gamma = a_1 + (H_1 \cap \Gamma) \cup \dots \cup a_n + (H_n \cap \Gamma)$ , where for each  $i$ ,  $H_i$  is a sub-abelian variety of  $G$ .

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# Explaining the statement and the objects

We have  $k \subset K$  algebraically closed fields .

Recall :

**Definition** A field  $K$  is **algebraically closed** if every polynomial  $P(X)$  in one variable in  $K[X]$ , of degree  $\geq 1$ , has a solution in  $K$

Ex:  $\mathbb{C}$  the complex numbers , but not the reals  $\mathbb{R}$ .

There is a theory  $T_{acf}$  (a set of sentences ) such that a field is algebraically closed iff it is a model of  $T_{acf}$ :

for every  $n > 1$

$$\forall y_0 \dots \forall y_{n-1} \exists x (x^n + \sum_{i=0}^{n-1} y_i \cdot x^i) = 0.$$

Any two algebraically closed fields of same characteristic are elementarily equivalent i.e. they satisfy exactly the same statements in the language of rings.

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# Explaining Mordell-Lang

For a field  $K$  as a **first-order model theory structure**, we consider the basic ring operations:  $(K, +, \cdot, -, 0, 1)$  addition  $x + y$ , multiplication  $x \cdot y$ , the inverse for addition  $-x$ , and the two constants 0 and 1.

And we consider first order formulas in this language

But we are really interested in the **sets** we can **define** with them. A

set  $D \subset K^n$  is **definable** if there is a formula  $\phi(x)$  such that

$D = \{a \in K^n; K \models \phi(a)\}$ . Then we write  $D = \phi(K)$ .

– Atomic sets in  $K^n$  = solutions of one polynomial equation,  $\{a \in K^n; P(a) = 0\}$  for some polynomial  $P$  in  $K[X_1, \dots, X_n]$ . For each  $n$ , finite intersections of these (finite conjunctions of polynomial equations) form a topology on  $K^n$  the **Zariski topology**.

So they are also the basic closed sets in algebraic geometry (affine varieties)

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# Definable sets in ACF

- quantifier free formulas  $\longrightarrow$  finite boolean combinations of atomic sets = finite boolean combinations of closed sets = constructible sets in the sens of the topology.
  - to get the definable sets: should **close also under projection** or existential quantifier for the corresponding formula .
- But :

# Algebraically closed fields

**Theorem**(Tarski, Chevalley) Algebraically closed fields admit quantifier elimination.

or **definable sets = constructible sets**.

**Theorem** (Macintyre, 70's) If in an infinite field  $K$  every definable set is constructible, then the field is algebraically closed.

Modern model theory : Get algebraic information from abstract data.

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**Modern model theory : Get algebraic information from abstract data.**

# Algebraic groups

Recall a **group  $(G, \cdot)$  is definable** in  $K$  if  $G$  is a definable subset of some  $K^n$  and the multiplication and inverse maps are definable (ie their graphs are definable sets.)

Obvious definable groups in  $K$  : the additive group, the multiplicative group, the affine groups, that is, closed subgroups of  $GL_n(K)$  (definable in  $K^n \times K^n$ ).

Less obvious but true : **any algebraic group  $G$  or rather the  $K$ -rational points of  $G$  ( $G(K)$ ) form a definable group.** (“locally affine”)



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# Mordell-Lang continued, the definable objects

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# Mordell-Lang continued, the definable objects

$G$  is an **Abelian variety**: *Complete* connected algebraic group.

Ex: Elliptic curves, Jacobians of curves, never affine

For us they are definable groups with certain properties

$(G, +)$  is commutative, has finite  $n$ -torsion for every  $n$ ,  $G$  is divisible.  
But the torsion is infinite.

$G$  as an algebraic group has an induced topology, its Zariski topology.  
And  $X$  is a **closed irreducible subset** of  $G$  in this sense . In particular  
 $X$  is definable.

A **subabelian variety** of  $G$  is an irreducible closed subgroup (so also definable) .

$H$  is **“defined”** over  $k$ : defined with parameters in  $k$  or more accurately here, isomorphic to some algebraic group defined over  $k$ , that is  $H$  **“descends “** to  $k$ .

# The non definable objects

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– either .....

– **or  $X \cap \Gamma = a_1 + (H_1 \cap \Gamma) \cup \dots \cup a_n + (H_n \cap \Gamma)$ ....**

$\Gamma$  is not definable or algebraic !

$\Gamma$  is just a finitely generated subgroup of  $G(K)$ . Even if  $\Gamma$  is generated by one element  $g_0$ ,  $x \in \Gamma$  iff

$x = g_0 \vee x = g_0 + g_0 \vee x = g_0 + g_0 + g_0 \dots$

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# The Dichotomy

The dichotomy :

in the second case , note that the conclusion talks about the topology induced on  $\Gamma$  by the topology of  $G$ , it is induced by the closed subgroups only:

the closed subsets of  $\Gamma$  are the sets of the form  $X \cap \Gamma$  for  $X$  closed in  $G$ , and it says they are just given by translates of subgroups.

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This says either we can descend the situation to the smaller field  $k$ , or ....

$k$  is not a definable subfield, how to make this dichotomy into a “model theory concept” ?

The **Zilber principle of dichotomy**, or in fact trichotomy

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# Strong minimality

A definable subset  $D$  in  $K^n$  is **strongly minimal** if for any definable  $E \in K^n$ ,  $D \cap E$  is finite or its complement in  $D$  is finite .

The algebraically closed field  $K$  itself is strongly minimal: any definable set in one variable is a boolean combination of sets which are the solution set of a polynomial equation in one variable .

## Examples

1. Infinite set with no structure (only equality in the language)
2. An infinite vector spaces over a fixed division ring. It has the property that any definable subset is a translate of a subgroup
3. Algebraically closed fields

or “avatars“ of these.

# Zilber trichotomy principle

Conjecture proposed by Boris Zilber in the 1980's : every strongly minimal theory “is” of one of these forms.

Disproved by Hrushovski in 90'S.

But proved (Hrushovski-Zilber, 93) to hold for a class of strongly minimal sets with extra properties, the Zariski structures.

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# Zariski Structures and Trichotomy

A **Zariski structure** is a **strongly minimal** structure  $M$  where the atomic sets form a **noetherian topology** on each  $M^n$ , the definable sets are the constructible sets of the topology and the dimension (given by the noetherian topology) satisfies some properties (the dimension theorem)

Then **TRICHOTOMY** :

1. either  $M$  has “no structure”

or

2. there is a commutative group definable in  $M^n$ , and  $M$  is basically a vector space over a division ring (the ring of definable quasi-endomorphisms of the group)

or

3. in  $M$  there is an algebraically closed field  $K$  which is definable in some  $M^n$ , and  $M$  is homeomorphic to a projective curve over  $K$ , or “nearly” so .

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# Zilber trichotomy principle

Very successful case of getting algebraic structure from abstract conditions, but very difficult proof.

Used by Hrushovski for Mordell-Lang (and other applications since). But difficulty means it makes the proof and what exactly it is saying in this particular context a little difficult to understand.

# Enriching structures

One way to **make  $\Gamma$  definable** is by brute force:

Just add a predicate to the language for  $\Gamma$  then Mordell Lang indeed says that in the new structure  $(K, +, \cdot, 0, 1, G, \Gamma)$ ;  $\Gamma$  is a **locally modular group**: i.e. every definable subset of  $\Gamma$  is a finite boolean combination of translates of definable subgroups. A concept that had been indeed introduced in model theory some years before, a generalization of the case of vector space for strongly minimal .... BUT it is not easier to prove this than the original statement .

So, find another way to make  $\Gamma$  definable, so that one could use the **Zilber trichotomy principle**: enrich to a structure we can study and which has good properties.

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# Adding a derivation

We will also manage to make the **small field  $k$  definable**.

Add more definable sets by **adding a derivation on the field  $K$** ,  
a map  $\delta$  from  $K$  to  $K$  such that

- $\delta(x + y) = \delta(x) + \delta(y)$
- $\delta(x \cdot y) = x \cdot \delta(y) + y \cdot \delta(x)$

in characteristic 0 .

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Can do this so that  $k$  becomes the field of constants of  $\delta$  so definable as  $\{a \in K; \delta(a) = 0\}$ .

Pass to a differentially closed field: we can suppose that  $K$  is differentially closed (=existentially closed) and  $k$  is the field of constants in  $K$ .

The theory of differentially closed fields of char. 0,  $DCF_0$  is richer than  $ACF_0$  but still good from model theoretic point of view.

we know a lot about definable groups, and *the strongly minimal subsets are Zariski structures !*

Replace  $\Gamma$  by :  $G^\sharp$  which is the smallest definable subgroup of  $G$  which is zariski dense in  $G$  or also the smallest definable subgroup containing the torsion of  $G$ .

$G^\sharp$  is nice, it is a group with finite Morley rank , but it is not strongly minimal .

Any abelian variety  $G$  is a sum of simple abelian varieties pairwise not homomorphic, it follows that  $G^\sharp = J_1 + \dots + J_n$  a sum of (almost)strongly minimal groups which are **pairwise orthogonal**.  $J_1$

and  $J_2$  are **orthogonal** means that they really are “independent”: any definable subset of  $J_1 \times J_2$  is of the form  $D_1 \times D_2$  where  $D_1$  is definable in  $J_1$  and  $D_2$  is definable in  $J_2$ .

And in  $DCF_0$  by the **Zariski structures dichotomy**:

an almost strongly minimal group  $J$  is either locally modular : any definable subset is boolean combination of translates of subgroups or it is isomorphic to a group defined over the field of constants (so  $k$ ),  $J$  descends to the constants. From this, get the two cases in the conclusion of Mordell-Lang: recall

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an almost strongly minimal group  $J$  is either locally modular : any definable subset is boolean combination of translates of subgroups or it is isomorphic to a group defined over the field of constants (so  $k$ ),  $J$  descends to the constants. From this, get the two cases in the conclusion of Mordell-Lang: recall

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Then,

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# Semiabelian varieties and model theory

In fact Mordell-Lang is about **semiabelian varieties**, more complicated algebraic groups of the form

$G$  is a **Semiabelian variety** :  $G \in \text{Ext}(A, T)$  i.e.

$$0 \rightarrow T \rightarrow G \rightarrow A \rightarrow 0,$$

with  $T = \mathbb{G}_m^r$  torus and  $A$  abelian variety .

Examples :  $T \times A$  , or semi-split ( $G$  isogenous to  $T \times A$ )

But also **non split complicated examples**.

Have examples where the induced sequence  $0 \rightarrow T^\# \rightarrow G^\# \rightarrow A^\# \rightarrow 0$  is not exact.

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