

A stroll through some important tools of model theory illustrated by the example of the Mordell-Lang conjecture

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In the background: the groundbreaking proof, in 1993, by Hrushovski of the Mordell-Lang conjecture, which remained, in Char. p the only known proof until 2013.

In the present: Talk partly inspired by a series of papers joint work with Franck Benoist and Anand Pillay on the model theory of semiabelian varieties although this won't be completely visible here.

Aims : to find alternate Model theoretic proof of Mordell-Lang, avoiding Zariski geometries and the trichotomy principle.

WHY?

Success? Better understanding? Yes and no.

Around 2013 /2014 both a geometric proof (Rössler) and a model theoretic proof (BBP) for the case of abelian varieties ; other geometric proofs since.

Finally (BBP 2017) for semiabelian varieties.

The notions of model theory

- induced structure
- enriched structure
- orthogonality
- groups of finite Morley Rank
- **Characterizing classical algebraic structures abstractly**
or reconstructing algebraic structures (groups, fields) from abstract or combinatorial data .
- In the spirit of the **very old classical theorem in geometry** which says that a Desarguesian projective geometry of dimension at least 3 is the projective geometry over a division ring.
- Zariski Geometries

Mordell-Lang?

Theorem *Function field Mordell-Lang*

$k \subset K$ two algebraically closed fields, G semiabelian variety over K (= a divisible commutative algebraic group), X irreducible subvariety of G (= an irreducible zariski closed subset of G) and $\Gamma \subset G(K)$ finitely generated subgroup.

Then,

- either $X \cap \Gamma = a_1 + \Gamma_0 \cup \dots \cup a_n + \Gamma_n$, where, for each i , Γ_i is a subgroup of Γ
- or $H = \overline{\Gamma}$ (the zariski closure of Γ in G) is isomorphic to a group defined over k .

Don't Panic!!!

(from “The Hitchhiker’s Guide to Galaxy”)

This is NOT how we begin

Algebraically closed fields

Use the case of algebraically closed fields to guide us through a **brief** and very **biased** history of some basic notions of model theory and algebra

Recall :

Definition A field K is **algebraically closed** if every polynomial $P(X)$ in one variable in $K[X]$, of degree ≥ 1 , has a solution in K

Ex: \mathbb{C} the complex numbers, but not the reals \mathbb{R} .

K as a **first-order model theory structure** the language L_{ring} :

$$(K, +, \cdot, -, 0, 1)$$

There is a theory T_{acf} (a set of sentences) such that a field is algebraically closed iff it is a model of T_{acf} :

- K is a field and
- for every $n > 1$

$$\forall y_0 \dots \forall y_{n-1} \exists x (x^n + \sum_{i=0}^{n-1} y_i \cdot x^i) = 0.$$

Model theory of algebraically closed fields

For $p = 0$ or p prime, the theory ACF_p of algebraic closed fields of characteristic p is complete.

Theorem(Tarski, Chevalley) Algebraically closed fields admit quantifier elimination.

Theorem (Macintyre, 1971) If an infinite field K has quantifier elimination, then K is algebraically closed.

Modern model theory : Get algebraic information from abstract data.

Definable sets in T_{ACF}

A set $D \subset K^n$ is **definable** if there is a formula $\phi(x)$ such that $D = \{a \in K^n; K \models \phi(a)\}$. Then we write $D = \phi(K)$.

- **Zariski closed sets**: solutions of polynomial equations $\{a \in K^n; f_1(a) = \dots = f_s(a) = 0\}$ for f_1, \dots, f_s in $K[X_1, \dots, X_n]$.
- quantifier free formulas \longrightarrow finite boolean combinations of closed sets = **constructible sets**
- Quantifier elimination \longrightarrow **definable = constructible**

Algebraic groups

Recall a **group (G, \cdot) is definable** in K if

- G is a definable subset of some K^n
- the multiplication and inverse maps are definable (ie their graphs are definable sets in $K^n \times K^n \times K^n$ and $K^n \times K^n$.)

Obvious definable groups in K : the additive group, the multiplicative group, the affine groups = closed subgroups of $GL_n(K)$ (definable in $K^n \times K^n$).

Less obvious but true : **any algebraic group G is definable** or rather the K -rational points of G ($G(K)$) form a definable group in K .

Further properties

The theory T_{ACF_p} is \aleph_1 -categorical (= categorical in every uncountable cardinality, Morley, 65).

More: The theory T_{ACF_p} is **strongly minimal**

A definable subset D in K^n is **strongly minimal** if for any definable $E \in K^n$, $D \cap E$ is finite or its complement in D is finite .

The algebraically closed field K itself is strongly minimal: any definable set in one variable = boolean combination of sets which are the solution set of a polynomial equation in one variable .

Strongly minimal structures

Examples of strongly minimal structures

1. Infinite set with no structure (only equality in the language)
 2. An infinite vector spaces over a fixed division ring. It has the property that any definable subset is a (finite boolean combination of translates of subgroups
 3. Algebraically closed fields
- or “avatars“ of these.

Zilber trichotomy principle

Conjecture proposed by Boris Zilber in the 1980's : every strongly minimal theory “is” of one of these forms.

Disproved by Hrushovski in 90'S.

But proved (Hrushovski-Zilber, 93) to hold for a class of strongly minimal sets with extra properties, the **Zariski structures**.

Zariski Structures and Trichotomy

A **Zariski structure** is a **strongly minimal** set D where the atomic sets form the closed sets of a **noetherian topology** on each D^n , the definable sets are the constructible sets and the dimension (given by the noetherian topology) satisfies some particular “good” properties (the dimension theorem)

Then **Dichotomy for groups** :

G a strongly minimal group which is a zariski structure

1. D “is an abelian group” and the structure on D is of linear (vector space) type: for every n every definable $X \subset D^n$ is a Boolean combination of translates of definable subgroups of D^n .

D is **one-based, locally modular**

or

2. in D there is an algebraically closed field K which is definable in some D^n , and D is homeomorphic to a projective curve over K , or “nearly” so.

The dichotomy

The function field Mordell Lang is also a dichotomy about certain algebraic groups

BUT in K algebraically closed, no infinite definable group is **one-based**..... so not directly such a dichotomy.

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Mordell-Lang continued, the definable objects

G is a **semiabelian** variety:

Commutative algebraic groups (*definable*)

– divisible, finite n -torsion for all n , but torsion is infinite.

Built from two extreme cases:

1. **Abelian varieties**: *Complete* connected algebraic group.

Ex: Elliptic curves, Jacobians of curves, never affine

No non trivial group homomorphism to any affine group.

2. (affine) **tori** $T = \mathbb{G}_m^n$

G is a **semiabelian variety** : $G \in \text{Ext}(A, T)$ i.e.

$$0 \rightarrow T \rightarrow G \rightarrow A \rightarrow 0,$$

with $T = \mathbb{G}_m^r$ torus and A abelian variety .

Examples : $T \times A$, or semi-split (G isogenous to $T \times A$)

But also **non split complicated examples**.

Remark: in char. p , exactly the divisible commutative algebraic groups.

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X irreducible subvariety of G ?

G as an algebraic group has an induced topology, its Zariski topology. And X is a **closed irreducible subset** of G in this sense. In particular X is definable.

$H = \bar{\Gamma}$ is a closed subgroup of G so also definable, so defined with parameters in K , but isomorphic to a group defined over k .

Say that H **descends to k**

Γ is not definable or algebraic !

Γ is just a finitely generated subgroup of $G(K)$. Even if Γ is generated by one element g_0 , $x \in \Gamma$ iff

$$x = g_0 \vee x = g_0 + g_0 \vee x = g_0 + g_0 + g_0 \dots$$

The Dichotomy

The dichotomy :

in the first case: note that the conclusion talks about the topology induced on Γ by the topology of G , it is induced by the closed subgroups only:

the closed subsets of Γ are the sets of the form $X \cap \Gamma$ for X closed in G , and it says they are just given by translates of subgroups. OR :

Γ descends to k .

Adding new definable sets

Adding Γ to the language?

Then ML becomes indeed:

Either Γ is a one-based group or $\bar{\Gamma}$ descends to k .

But

– not easier than the original statement

– k is not definable

MUST do it differently

Adding a derivation

Add more definable sets by adding a derivation on the field K , a map δ from K to K such that

- $\delta(x + y) = \delta(x) + \delta(y)$
 - $\delta(x \cdot y) = x \cdot \delta(y) + y \cdot \delta(x)$
- in characteristic 0 .

A little more complicated in Char. p , must add a family of strange derivations (Hasse derivations).

Can do this so that k becomes the field of constants of δ so definable as $\{a \in K; \delta(a) = 0\}$. we can suppose that K is differentially closed (=existentially closed) and k is the field of constants in K .

The theory of differentially closed fields of char. 0, DCF_0 is richer than ACF_0 but still good from model theoretic point of view.

It is ω -stable : Every definable set has Morley Rank

We know a lot about definable groups, and *the strongly minimal subsets are Zariski structures !*

Replace Γ by : G^\sharp which is *the smallest definable subgroup of G which is zariski dense in G* or also the smallest δ -definable subgroup containing the torsion of G . (Infinitely definable in characteristic p)
 G^\sharp is nice, it is a **group with finite Morley rank, but it is not strongly minimal.**

The remaining model theory tools

Orthogonality :

Definition Let $D, E \subset K^n$ be δ -definable. They are **orthogonal** ($D \perp E$) if any infinite δ -definable subset $Y \subset D^r \times E^m$ is a rectangle : $Y = Y_D \times Y_E$, $Y_D \subset D^r$, $Y_E \subset E^m$ both δ -definable.

In K as a pure algebraically closed field, by \aleph_1 -categoricity, any two definable subsets are non orthogonal.

In DCF_0 : Then for any H δ -definable group in K , strongly minimal, the Zariski structure Dichotomy says:

Either H is one based or H is non orthogonal to k , the field of constants

and then H descends to k .

But $G^\#$ is not always strongly minimal?

Any abelian variety A is a sum of simple abelian varieties pairwise not homomorphic, it follows that $A^\sharp = J_1 + \dots + J_n$ a sum of (almost)strongly minimal groups which are **pairwise orthogonal**.

And the Torus $T = \mathbb{G}_m^n$ is already defined over k .

so for $G = T \times A$, $G^\sharp = T^\sharp \times A^\sharp = T^\sharp + J_1 + \dots + J_n$, we manage.

But what about the general case ? $0 \rightarrow T \rightarrow G \rightarrow A \rightarrow 0$ when it is not split?

Have examples where the induced sequence $0 \rightarrow T^\# \rightarrow G^\# \rightarrow A^\# \rightarrow 0$ is not exact.

So one cannot deduce good properties for $G^\#$ from the same properties for $A^\#$ and $T^\#$.

Saved by the Socle theorem

G is no longer a sum of “simple” subgroups .

But model theory of finite rank groups shows that there is a maximal δ -definable subgroup of G^\sharp , its **socle**, $S(G^\sharp)$ which is a finite sum of pairwise orthogonal strongly minimal groups

The **Socle theorem**: for any X definable irreducible zariski closed in $\overline{G^\sharp}$, then a translate of X is contained in $\overline{S(G^\sharp)}$.

So can replace G^\sharp by its socle, sum of pairwise orthogonal strongly minimal groups

and **reduce the question to the good cases**, when $G = T \times A$.

The reduction uses the socle but not zariski geometries .

So Mordell-Lang for abelian varieties implies Mordell Lang for semiabelian varieties, via the theorem of the socle.

New “algebraic object ? the zariski closure of the socle of G^\sharp

THANK YOU !