

**R-equivalence and rational equivalence on varieties over  $p$ -adic fields, with special regards to rationally connected varieties**

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## R-equivalence

$k$  a field,  $X$  a smooth projective variety over  $k$

Two points  $A$  and  $B$  in  $X(k)$  (the set of  $k$ -rational points) are called R-linked if there exists a  $k$ -morphism  $f : \mathbf{P}_k^1 \rightarrow X$  such that  $A$  and  $B$  both belong to  $f(\mathbf{P}^1(k))$ .

R-equivalence is the equivalence relation spanned by this relation.

## Chow group

$k$  a field,  $X$  a smooth projective variety over  $k$

The group  $Z_0(X)$  of zero-cycles on  $X$  is the free abelian group on the closed points  $M \in X$  (a point is closed if and only if its residue field  $k(M)$  is a finite extension of  $k$ .)

The *Chow group*  $CH_0(X)$  of zero-cycles modulo rational equivalence is the quotient of the group  $Z_0(X)$  by the subgroup spanned by elements of the type  $p_*(\text{div}_C(f))$ , where  $C/k$  is an irreducible, normal, projective curve over  $k$ ,  $p : C \rightarrow X$  is a  $k$ -morphism, and  $f$  is a rational function on  $C$ .

If  $X/k$  is proper, then there is a degree map  $CH_0(X) \rightarrow \mathbf{Z}$ , whose kernel is the *reduced Chow group*  $A_0(X)$ .

**What is the structure of the Chow group  $CH_0(X)$  over a local field ?**

Let  $k$  be a  $p$ -adic field, and  $X/k$  a smooth, projective, absolutely irreducible variety.

*Guess* : the group  $A_0(X)$  admits a filtration whose successive quotients are a finite group, a group isomorphic to a finite sum of copies of  $\mathbf{Z}_p$  and a divisible (possibly uniquely divisible) group.

Related questions :

*For  $n > 0$ , is the group  ${}_n A_0(X)$  finite?*

*Is the whole torsion subgroup of  $A_0(X)$  finite?*

*For  $n > 0$ , is  $A_0(X)/n$  finite?*

Definition (Kollár, Miyaoka, Mori, 1992)

A smooth, projective, integral variety over a field  $k$  of characteristic zero is called *rationally connected* if over a big enough algebraically closed field  $\Omega$  containing  $k$ , there is only one  $R$ -equivalence class on the set  $X_\Omega(\Omega)$ .

Examples :

smooth compactifications of connected linear algebraic groups

geometrically unirational varieties

Fano varieties (this is a theorem due to Campana 1992 and to KMM 1992)

A rationally connected surface is just a (geometrically) rational surface.

Assume now that  $X$  is a rationally connected variety over the  $p$ -adic field  $k$ .

Theorem (Kollár 1999). *The set  $X(k)/R$  is finite.*

Theorem (Kollár/Szabó 2003) *If  $X$  has good, rationally connected reduction over  $\mathbf{F}$ , then*

(i)  $A_0(X) = 0$ .

(ii) *If the residue field  $\mathbf{F}$  is not too small,  $X(k)/R$  consists of one class.*

The proof of both theorems uses deformation theory (techniques of Kollár, Miyaoka, Mori).

## Two questions

$X$  a rationally connected variety over the  $p$ -adic field  $k$

*Is the group  $A_0(X)$  finite ?*

Known if  $X$  is a surface (via algebraic K-theory).

*In the bad reduction case, how can one detect nontrivial elements in  $X(k)/R$  and in  $A_0(X)$  ?*

# Surfaces

Theorem. *Let  $X/k$  be a smooth, projective geom. irreducible surface over a  $p$ -adic field  $k$ , with residue class field  $\mathbf{F}$ .*

*(i) For all  $n > 0$ , the group  ${}_n A_0(X)$  is finite.*

*(ii) For each prime  $l$ , the group  $A_0(X)\{l\}$  is of cofinite type.*

*(iii) For  $n$  integer prime to  $p$ , the quotient  $A_0(X)/n$  is finite.*

*(iv) Suppose that  $X/k$  has good reduction  $Y/\mathbf{F}$ . Then for any  $l$  prime,  $l \neq p$ , the reduction map induces a surjection*

$$A_0(X)\{l\} \rightarrow A_0(Y)\{l\}.$$

(CT/Sansuc/Soulé 1983, Saito-Sujatha 1993)

Some tools :

Bloch-Ogus theory 1974

Bloch's method (1974) for the study of  
torsion of codimension 2 Chow groups

the Merkur'ev/Suslin theorem (1982)

finiteness theorems for étale cohomology

hyperplane sections

Theorem. *Let  $X/k$  be a smooth, projective, geometrically connected surface over a  $p$ -adic field  $k$ . Assume  $H^2(X, O_X) = 0$ .*

*Then :*

*(i) The group  $A_0(X)_{tors}$  is finite.*

*(ii) Under Bloch's conjecture for  $X$  over an algebraic closure of  $k$ , the group  $A_0(X)$  is an extension of a finite abelian group by a finite sum of copies of  $\mathbf{Z}_p$ .*

*(iii) Under the same assumption on  $X$ , the quotient  $A_0(X)/l$  is finite for any prime  $l$  and zero for almost all  $l$ .*

(CT/Raskind 1991, Salberger 1993)

Some tools :

Bloch's Galois cohomological method for computing

$$\text{Ker}[CH^2(X) \rightarrow CH^2(\bar{X})]$$

Hilbert's theorem 90 for  $K_2$

hyperplane sections

class field theory for curves over a local field (Bloch, Saito)

Suslin's results on torsion in  $K_2$

Roitman's theorem

## The good reduction case

Let  $O$  be the ring of integers of the  $p$ -adic field  $k$ . Let  $\mathcal{X}/O$  be a smooth, projective relative surface with absolutely irreducible fibres. Let  $X/k$  the generic fibre and  $Y/\mathbf{F}$  the special fibre.

There is an exact (localization) sequence

$$\begin{aligned} H^1(X, \mathcal{K}_2) &\rightarrow Pic(Y) \rightarrow \\ &\rightarrow CH^2(\mathcal{X}) \rightarrow CH^2(X) \rightarrow 0. \end{aligned}$$

Let us introduce hypothesis (H) :

(H) *The cokernel of  $H^1(X, \mathcal{K}_2) \rightarrow \text{Pic}(Y)$  is a torsion group.*

Since  $\text{Pic}(Y)$  is finitely generated, the hypothesis amounts to finiteness of this cokernel.

For all we know, this hypothesis could always be satisfied. It has to do with the search for so-called indecomposable elements in  $K_1(X)$ . Here are cases where the hypothesis is known to hold.

1)  $H^2(Y, \mathcal{O}_Y) = 0$  (CT/Raskind 1991)

2)  $X$  is the product of two elliptic curves with good reduction (Spieß 1999)

3) Some products of two modular curves and related surfaces (Mildenhall, Saito, Langer, Raskind, Otsubo)

Theorem. *Let  $O$  be the ring of integers of the  $p$ -adic field  $k$ . Let  $\mathcal{X}/O$  be a smooth, projective relative surface with absolutely irreducible fibres. Let  $X/k$  the generic fibre and  $Y/\mathbf{F}$  the special fibre. **Assume (H).***

*Then :*

*(i) The prime-to- $p$  part of  $A_0(X)_{tors}$  is finite.*

*(ii) For  $l$  prime,  $l \neq p$ , the specialization map induces an isomorphism of finite groups  $A_0(X)\{l\} \simeq A_0(Y)\{l\}$ .*

*(iii) the quotient  $A_0(X)/l$  is finite for any prime  $l \neq p$  and zero for almost all  $l$ .*

*(iv)  $A_0(X)$  is the direct sum of a finite group of order prime to  $p$  and a group uniquely divisible by each  $l$  prime to  $p$ .*

(Raskind 1989, CT/Raskind 1991, Spieß 1999)

Some tools : Bloch's method for computing torsion codimension 2 cycles, applied to the integral model  $\mathcal{X}$  and compared with the same method for  $Y$ . Proper base change in étale cohomology.

## Detecting cycles : Pairing with the Brauer group

For  $X$  a smooth variety over a field  $k$ , the Brauer group  $Br(X) = H_{\acute{e}t}^2(X, \mathbf{G}_m)$  is a torsion group.

There are natural pairings

$$X(k) \times Br(X) \rightarrow Br(k)$$

and

$$Z_0(X) \times Br(X) \rightarrow Br(k).$$

For  $X/k$  projective, these pairings induce pairings

$$X(k)/R \times Br(X) \rightarrow Br(k)$$

$$CH_0(X) \times Br(X) \rightarrow Br(k)$$

$$A_0(X) \times Br(X)/Br(k) \rightarrow Br(k).$$

For  $k$   $p$ -adic,  $Br(k) = \mathbf{Q}/\mathbf{Z}$ .

Theorem. *Let  $X/k$  be a smooth, projective, geometrically connected surface over a  $p$ -adic field  $k$ . Assume  $H^2(X, O_X) = 0$ .*

*(i) If the Albanese variety of  $X$  has good reduction, the pairing*

$$A_0(X)_{tors} \times Br(X) \rightarrow \mathbf{Q}/\mathbf{Z}$$

*is nondegenerate on the LHS.*

*(ii) If moreover the geometric Chow group is representable (Bloch's conjecture) then the pairing*

$$A_0(X) \times Br(X) \rightarrow \mathbf{Q}/\mathbf{Z}$$

*is nondegenerate on the LHS.*

(Shuji Saito 1992)

The good reduction assumption for the Albanese variety cannot be ignored, as shown by an example of Parimala and Suresh 1995 (conic bundle over a curve with bad reduction).

However in the semistable reduction case, extensions of the above the above theorem are known (K. Sato 1998 ; K. Sato/ S. Saito 2004)

Let  $\mathcal{X}/O$  be a smooth, projective relative surface with absolutely irreducible fibres. Let  $X/k$  be the generic fibre and  $Y/\mathbf{F}$  the special fibre.

Theorem. **Assume**  $(H)$ .

(i) *The left kernel of the pairing*

$$A_0(X) \times Br(X) \rightarrow \mathbf{Q}/\mathbf{Z}$$

*consists of elements  $n$ -divisible for any integer  $n$  prime to  $p$ .*

(ii) *The pairing*

$$A_0(X)_{tors}(prime - to - p) \times Br(X) \rightarrow \mathbf{Q}/\mathbf{Z}$$

*is nondegenerate on the LHS.*

(Raskind 1989, CT/Raskind 1991, Spieß 1999)

Let us come back to the (possibly) bad reduction case. Let  $\mathcal{X}/O$  be a regular, proper flat scheme, with smooth geometrically connected generic fibre  $X/k$ . The pairing

$$A_0(X) \times Br(X) \rightarrow \mathbf{Q}/\mathbf{Z}$$

is trivial on the subgroup  $Br(\mathcal{X}) + Br(k)$  of the group  $Br(X)$ . Let  $F_l$  denote the  $l$ -primary part of the quotient  $Br(X)/(Br(\mathcal{X}) + Br(k))$ .

Theorem (CT/Saito 1996). *For  $l$  prime,  $l \neq p$ , the group  $F_l$  is finite and the induced pairing*

$$A_0(X) \times F_l \rightarrow \mathbf{Q}/\mathbf{Z}$$

*is nondegenerate on the RHS.*

Hence for each such  $l$  we have a surjective map  $A_0(X) \rightarrow Hom(F_l, \mathbf{Q}/\mathbf{Z})$ . This implies (reduction to case of curves) that the map  $A_0(X)\{l\} \rightarrow Hom(F_l, \mathbf{Q}/\mathbf{Z})$  is surjective .

*p*-part (K. Sato/ S. Saito 2004)

# Higher dimensional varieties

## Examples

Quadric fibrations over a curve

Intersections of two quadrics

Cubic hypersurfaces

Linear algebraic groups

## Quadric fibrations over a curve

(Bloch 1981, CT/Sansuc 1981, Salberger 1988, Gros 1987, CT/Skorobogatov 1993, Parimala/Suresh 1995 and 1988)

Let  $k$  be a field and  $f : X \rightarrow C$  a dominant  $k$ -morphism of smooth, projective, geom. connected  $k$ -varieties,  $C$  a curve, and assume that the generic fibre of  $p$  is a geometrically irreducible quadric of dimension  $d$  over the field  $k(C)$ . Let

$$CH_0(X/C) = \text{Ker}[f_* : CH_0(X) \rightarrow CH_0(C)].$$

For  $C = \mathbf{P}_k^1$ ,  $CH_0(X/C) = A_0(X)$ .

Theorem. *Let  $k$  be a  $p$ -adic field and let  $f : X \rightarrow C$  be as above. Then*

*(i) The group  $CH_0(X/C)$  is finite.*

*(ii) For  $p \neq 2$  and  $d \geq 3$ ,  $CH_0(X/C) = 0$ .*

Tools :

For  $d = 1$ ,  $X$  is a surface, the result follows from earlier results.

For  $d = 2$ , reduction to  $d = 1$  by replacing  $C$  by a double cover (discriminant of a quadratic form in 4 variables).

For  $d \geq 3$ , reduction to  $d = 2$  (with the same  $C$ ).

For  $p \neq 2$ , use of the theorem (Parimala and Suresh 1998) : a quadratic form in  $m \geq 11$  variables over  $k(C)$  has a nontrivial zero.

## Intersections of two quadrics in $\mathbf{P}_k^n$

(Over an algebraic closure, for  $n \geq 4$ , such a variety is birational to projective space.)

Theorem. *Let  $k$  be a  $p$ -adic field and let  $X \subset \mathbf{P}_k^n$  be a smooth complete intersection of 2 quadrics, of dimension at least 2. Then*

- (i) The group  $A_0(X)$  is finite.*
- (ii) For  $p \neq 2$  and  $n \geq 6$ ,  $A_0(X) = 0$ .*
- (iii) For  $n \geq 7$ ,  $A_0(X) = 0$ .*

Tools :

previous results on quadric fibrations  
results on  $R$ -equivalence (next slide)

The group  $A_0(X)$  may be nonzero for  $n = 4$ . For  $n = 5$ , this is an open question (my guess is that it may be nonzero).

Theorem. *For  $X \subset \mathbf{P}_k^n$  as above and  $n \geq 7$ , the order of  $X(k)/R$  is at most 1.*  
(CT/Sansuc/Swinnerton-Dyer 1987)

The set  $X(k)/R$  may consist of more than one element for  $n = 4$ . For  $n = 5, 6$  this is an open question (guess : should get examples with more than one class for  $n = 5$ ).

## Smooth cubic hypersurfaces in $\mathbf{P}_k^n$

(Over an algebraic closure, for  $n \geq 3$ , such a variety is unirational.)

Theorem (Madore 2003). *Let  $k$  be a  $p$ -adic field and  $X \subset \mathbf{P}_k^n$  be a smooth cubic hypersurface. For  $n \geq 11$ ,*

*(i)  $R$ -equivalence is trivial on  $X(k)$  : the set  $X(k)/R$  consists of one element.*

*(ii)  $A_0(X) = 0$ .*

Tools :

Intersecting with the tangent hyperplane at a rational point.

Any cubic form in at least 10 variables over a  $p$ -adic field has a nontrivial zero (Demjanov, Lewis), and any quadratic form in at least 5 variables has a zero.

For  $n = 3$  (case of a surface), there are examples for which R-equivalence is not trivial on  $X(k)$  and where  $A_0(X) \neq 0$ . Nontrivial classes in  $A_0(X)$  are detected by the pairing with  $Br(X)$ .

What happens for  $4 \leq n \leq 10$  ? Here  $Br(X) = Br(k)$  is of no help.

Here is one candidate for nontriviality of  $A_0(X)$  for  $n = 4$  (and  $p \neq 3$ ) :

$$x^3 + y^3 + z^3 + pu^3 + p^2v^3 = 0.$$

One would hope that  $J(\mathbf{F}_p)/3$  is a quotient of  $A_0(X)$ , where  $J$  is the jacobian of the curve  $x^3 + y^3 + z^3 = 0$  over  $\mathbf{F}_p$ .

There are similar candidates for  $n = 5, 6$ .

The idea is to construct a regular proper model over the ring of integers of  $k$  and to use intersection theory on this model. This works very well for rational surfaces split over an unramified extension (Dalawat), it works also for some others, such as

$$x^3 + y^3 + z^3 + pt^3 = 0$$

over  $\mathbf{Q}_p$ ,  $p \neq 3$ . However for rational surfaces, the Brauer group already detects the whole of  $A_0(X)$ .

For the time being, the only known example of a rationally connected variety  $X$  over a  $p$ -adic field with a nontrivial zero-cycle in  $A_0(X)$  not detected by the Brauer group is an example of Parimala and Suresh 1995. Their  $X$  is a quadric bundle of relative dimension 2 over the projective line.

## Linear algebraic groups

Theorem. *Let  $k$  be a  $p$ -adic field, let  $G$  be a connected linear algebraic group over  $k$  and  $X$  a smooth  $k$ -compactification of  $G$ . Then the prime-to- $p$  part of the torsion group  $A_0(X)$  is finite.*

(CT 2004)

### *Ingredients of the proof*

1) The formula  $G(k)/R = H^1(k, S)$  for  $G$  a semisimple group over a  $p$ -adic field. In this formula, which is functorial in  $k$ ,  $S$  is a flasque torus over  $k$  associated to  $G$ . (P. Gille 1997).

2) The vanishing of  $G(k)/R$  when  $S$  is split by a cyclic extension (follows from the above formula and a result of Endo and Miyata).

3) For  $L/k$  finite field extension of local fields, of degree prime to the degree of the splitting field of  $S$ , the restriction map  $G(k)/R \rightarrow G_L(L)/R$  is a bijection (uses local duality and formula in 1) above).

4) “ramification eats up ramification”

5) Lemma : Let  $l \neq p$  be a prime, let  $k$  be a  $p$ -adic field which contains the  $l$ -th roots of 1, let  $F/k$  be an extension, and let  $l^n$  the highest power of  $l$  dividing  $[F : k]$ . Then there exists a subfield  $E$  of  $F$  such that  $[E : k] = l^n$ .