

Equivalences of 5-dimensional CR-manifolds

II: General classes I, II, III₁, III₂, IV₁, IV₂

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Abstract. For later use in subsequent upcoming arxiv.org prepublications, basic foundational material on local, smooth or real analytic, CR-generic submanifolds of complex Euclidean spaces is developed from scratch, with strong emphasis on the interplay between extrinsic and intrinsic aspects, a constructive option that commands to perform computational syntheses in coordinates. Mainly, one finds a self-contained proof of the existence of precisely six general classes I, II, III₁, III₂, IV₁, IV₂ of nondegenerate general CR manifolds up to dimension 5, class III₂ being unobserved until now.

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1. Real analytic (\mathcal{C}^ω) submanifolds of \mathbb{C}^N : Zariski-generic features

Smoothness class assumptions. In what follows:

$$\text{smoothness classes} := \begin{cases} \mathcal{C}^{1,2,3,4,5,6,7,8,9,\dots}, \\ \mathcal{C}^\infty, \\ \mathcal{C}^\omega. \end{cases}$$

Complex Euclidean space. On $\mathbb{C}^N = \mathbb{R}^{2N}$, take N complex coordinates:

$$(z_1, \dots, z_N) = (x_1 + \sqrt{-1}y_1, \dots, x_N + \sqrt{-1}y_N).$$

On the real tangent bundle:

$$T\mathbb{R}^{2N} \cong T^{\text{real}}\mathbb{C}^N,$$

for which a natural frame is constituted by the 2N vector fields:

$$\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_N}, \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_N},$$

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the complex structure J acts by definition as:

$$\begin{aligned} J\left(\frac{\partial}{\partial x_k}\right) &:= \frac{\partial}{\partial y_k} & (k=1 \cdots N), \\ J\left(\frac{\partial}{\partial y_k}\right) &:= -\frac{\partial}{\partial x_k} & (k=1 \cdots N), \end{aligned}$$

and it is an invertible automorphism of $T\mathbb{R}^{2N}$ satisfying:

$$J^2 = -\text{Id}.$$

CR submanifolds of \mathbb{C}^N . Consider a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω real submanifold:

$$M \subset \mathbb{C}^N.$$

At each point $q \in M$, one may view *extrinsically*:

$$T_q M \subset T_q \mathbb{C}^N = T_q \mathbb{R}^{2N},$$

so that it is meaningful to consider the vector subspace:

$$J(T_q M) \subset T_q \mathbb{R}^{2N},$$

which is of the same dimension as $T_q M$.

First elementary fact. Any \mathcal{C}^ω connected real submanifold:

$$M \subset \mathbb{C}^N$$

is Cauchy-Riemann (CR) on a certain Zariski open subset:

$$M \setminus \Sigma,$$

in the sense that:

$$M \setminus \Sigma \ni p \longmapsto \dim_{\mathbb{R}}(T_p M \cap J(T_p M)) \in \mathbb{N}$$

has constant value there. □

Definition. At various points $p \in M$, introduce the *complex-tangent* subspaces:

$$T_p^c M := T_p M \cap J(T_p M) \quad (p \in M)$$

of the tangent spaces $T_p M$.

Applying then the:

Lie-Cartan Principle of Relocalization,

one disregards the non-CR locus Σ and one assumes that M is CR at every point:

$$\dim_{\mathbb{R}}(T_p M \cap J(T_p M)) = \text{constant},$$

so that:

$$\bigcup_{p \in M} T_p^c M \subset \bigcup_{p \in M} T_p M$$

makes up a true *subbundle*:

$$T^c M \subset TM.$$

Furthermore, setting:

$$(a + \sqrt{-1}b) \cdot L_p := a L_p + \sqrt{-1} J(L_p),$$

one naturally equips all the:

$$T_p^c M \ni L_p$$

with *complex vector space structures*, whence:

$$\dim_{\mathbb{R}}(T_p^c M) \in 2\mathbb{N}.$$

Similarly, the J -invariance — use $J^2 = \text{Id}$ — of:

$$T_p M \cap J(T_p M)$$

yields:

$$\dim_{\mathbb{R}}(T_p M + J(T_p M)) \in 2\mathbb{N}.$$

When M is CR (at every point), the dimension formula:

$$\dim_{\mathbb{R}}(E + F) = \dim_{\mathbb{R}} E + \dim_{\mathbb{R}} F - \dim_{\mathbb{R}}(E \cap F)$$

for vector subspaces E, F of a certain ambient vector space then gives:

$$\begin{aligned} \underbrace{\text{rank}_{\mathbb{R}}(TM + J(TM))}_{\in 2\mathbb{N}} &= \text{rank}_{\mathbb{R}}(TM) + \text{rank}_{\mathbb{R}}(J(TM)) - \underbrace{\text{rank}_{\mathbb{R}}(TM \cap J(TM))}_{\in 2\mathbb{N}} \\ &= \text{constant} \\ &=: 2N_M \\ &\leq 2N = \text{rank}_{\mathbb{R}}(T\mathbb{C}^N). \end{aligned}$$

Second elementary fact. Any \mathcal{C}^ω connected CR submanifold:

$$M \subset \mathbb{C}^N$$

is contained in a unique thin complex-analytic strip-submanifold:

$$M^{ic} \supset M$$

stretched along M of complex dimension:

$$\begin{aligned} \dim_{\mathbb{C}} M^{ic} &= N_M \\ &= \text{rank}_{\mathbb{C}}(TM + J(TM)), \end{aligned}$$

inside which M is CR-generic:

$$T_p M + J(T_p M) = T_p M^{ic} \quad (\forall p \in M). \quad \square$$

Consequence for the biholomorphic equivalence problem. *After replacing \mathbb{C}^N by:*

$$M^{i_c} \cong \mathbb{C}^{N_M} \quad (N_M = \text{rank}_{\mathbb{C}}(TM + J(TM))),$$

there is no restriction to study only CR-generic \mathcal{C}^ω submanifolds $M \subset \mathbb{C}^N$. \square

From now on, therefore:

$$M \subset \mathbb{C}^N \quad \text{with} \quad TM + J(TM) = T\mathbb{C}^N|_M$$

will *always* be CR-generic. Introduce:

$$c := \text{codim}_{\mathbb{R}} M.$$

The dimension formula, again, then yields:

$$\begin{aligned} 2N = \text{rank}_{\mathbb{R}}(T\mathbb{C}^N) &= \text{rank}_{\mathbb{R}}(TM + J(TM)) \\ &= \underbrace{\text{rank}_{\mathbb{R}}(TM)}_{2N-c} + \underbrace{\text{rank}_{\mathbb{R}}(J(TM))}_{2N-c} - \text{rank}_{\mathbb{R}}(TM \cap J(TM)), \end{aligned}$$

so that:

$$\text{rank}_{\mathbb{R}}(TM \cap J(TM)) = 2N - 2c.$$

Definition-Property. The *CR dimension* of a CR submanifold:

$$M \subset \mathbb{C}^N$$

is the rank as a \mathbb{C} -vector bundle of:

$$\begin{aligned} \text{CRdim } M &\stackrel{\text{def}}{=} \text{rank}_{\mathbb{C}}(T^c M) \\ &= \frac{1}{2} \text{rank}_{\mathbb{R}}(TM \cap J(TM)) \end{aligned}$$

and when M is CR-generic, one has:

$$\begin{aligned} \text{CRdim } M &= N - \text{codim}_{\mathbb{R}} M \\ &= N - c. \quad \square \end{aligned}$$

Regularly, the CR dimension will be denoted with the letter:

$$n := \text{CRdim } M.$$

An application of the Implicit Function Theorem yields the known:

Proposition. *When $M \subset \mathbb{C}^N$ is CR-generic with:*

$$\begin{aligned} c &= \text{codim}_{\mathbb{R}} M, \\ n &= \text{CRdim } M = N - c, \end{aligned}$$

then at every point:

$$p \in M$$

are not interesting in CR geometry, for:

$$M \cong \mathbb{C}^n,$$

$$M \cong \mathbb{R}^c,$$

respectively. Hence one assumes:

$$c \geq 1$$

$$n \geq 1.$$

Possible CR dimensions and real codimensions:

$2n + c = 3$	\implies	$\left\{ \begin{array}{l} n = 1, \\ c = 1, \end{array} \right.$
$2n + c = 4$	\implies	$\left\{ \begin{array}{l} n = 1, \\ c = 2, \end{array} \right.$
$2n + c = 5$	\implies	$\left\{ \begin{array}{l} n = 1, \\ c = 3, \\ n = 2, \\ c = 1. \end{array} \right.$

In order to distinguish these cases, dimensions must be emphasized:

$$M^{2n+c} \subset \mathbb{C}^{n+c},$$

which gives four cases:

$$M^3 \subset \mathbb{C}^2,$$

$$M^4 \subset \mathbb{C}^3,$$

$$M^5 \subset \begin{array}{l} \mathbb{C}^4, \\ \mathbb{C}^3. \end{array}$$

In local coordinates:

$$(z_1, \dots, z_n, w_1, \dots, w_n) = (x_1 + \sqrt{-1}y_1, \dots, x_n + \sqrt{-1}y_n, u_1 + \sqrt{-1}v_1, \dots, u_c + \sqrt{-1}v_c),$$

one represents:

$$\begin{array}{l} M^3 \subset \mathbb{C}^2: \quad \left[\begin{array}{l} v = \varphi(x, y, u), \end{array} \right. \\ M^4 \subset \mathbb{C}^3: \quad \left[\begin{array}{l} v_1 = \varphi_1(x, y, u_1, u_2), \\ v_2 = \varphi_2(x, y, u_1, u_2), \end{array} \right. \\ M^5 \subset \mathbb{C}^4: \quad \left[\begin{array}{l} v_1 = \varphi_1(x, y, u_1, u_2, u_3), \\ v_2 = \varphi_2(x, y, u_1, u_2, u_3), \\ v_3 = \varphi_3(x, y, u_1, u_2, u_3), \end{array} \right. \\ M^5 \subset \mathbb{C}^3: \quad \left[\begin{array}{l} v = \varphi(x_1, y_1, x_2, y_2, u), \end{array} \right. \end{array}$$

erasing lower indices when either $n = 1$ or $c = 1$.

3. Action of local biholomorphisms
on $T^{1,0}\mathbb{C}^N$, on $T^{0,1}\mathbb{C}^N$, on $T^{1,0}M^{2n+c}$, on $T^{0,1}M^{2n+c}$

Local biholomorphisms. On $\mathbb{C}^N = \mathbb{R}^{2N}$, take N complex coordinates:

$$(z_1, \dots, z_N) = (x_1 + \sqrt{-1}y_1, \dots, x_N + \sqrt{-1}y_N),$$

that will sometimes be abbreviated as:

$$z_\bullet = x_\bullet + \sqrt{-1}y_\bullet.$$

On $\mathbb{C}' = \mathbb{R}^2$, take 1 complex coordinate:

$$z' = x' + \sqrt{-1}y'.$$

Consider an open subset:

$$U \subset \mathbb{C}^N,$$

and a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω map:

$$\begin{aligned} h: \quad U &\longrightarrow \mathbb{C}' \\ (x_1, y_1, \dots, x_N, y_N) &\longmapsto h(x_1, y_1, \dots, x_N, y_N) \\ &= f(x_1, y_1, \dots, x_N, y_N) + \sqrt{-1}g(x_1, y_1, \dots, x_N, y_N) \end{aligned}$$

decomposed in real and imaginary parts:

$$h = f + \sqrt{-1}g.$$

Introduce the N antiholomorphic vector field derivations:

$$\frac{\partial}{\partial \bar{z}_1} \stackrel{\text{def}}{=} \frac{1}{2} \frac{\partial}{\partial x_1} + \frac{\sqrt{-1}}{2} \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial \bar{z}_N} \stackrel{\text{def}}{=} \frac{1}{2} \frac{\partial}{\partial x_N} + \frac{\sqrt{-1}}{2} \frac{\partial}{\partial y_N}.$$

Definition. A \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω map $h: U \longrightarrow \mathbb{C}'$ is *holomorphic* when:

$$0 \equiv \frac{\partial h}{\partial \bar{z}_1} \equiv \dots \equiv \frac{\partial h}{\partial \bar{z}_N}.$$

Equivalently:

$$\begin{aligned} 0 &\equiv \left(\frac{\partial}{\partial x_l} + \sqrt{-1} \frac{\partial}{\partial y_l} \right) (f + \sqrt{-1}g) \\ &\equiv f_{x_l} - g_{y_l} + \sqrt{-1}(f_{y_l} + g_{x_l}). \end{aligned}$$

Consequence. The map $h = f + \sqrt{-1}g$ is holomorphic if and only if:

$$\begin{aligned} 0 &\equiv f_{x_l} - g_{y_l} & (l=1 \dots N), \\ 0 &\equiv f_{y_l} + g_{x_l} & (l=1 \dots N), \end{aligned}$$

these being called *Cauchy-Riemann equations*.

Known fundamental property. Every holomorphic function h on some open subset:

$$U \subset \mathbb{C}^N$$

is locally expandable in converging power series:

$$h(z_1, \dots, z_N) = \sum_{\alpha_1 \in \mathbb{N}} \cdots \sum_{\alpha_N \in \mathbb{N}} \underbrace{h_{\alpha_1, \dots, \alpha_N}}_{\in \mathbb{C}} (z_1 - z_{01})^{\alpha_1} \cdots (z_N - z_{0N})^{\alpha_N}$$

in some sufficiently small neighborhood:

$$\{|z_1 - z_{10}| < \rho_0, \dots, |z_N - z_{N0}| < \rho_0\}$$

of any point:

$$z_{0\bullet} = (z_{01}, \dots, z_{0n}) \in U,$$

for some ρ_0 with:

$$0 < \rho_0 \leq \text{dist}(z_{0\bullet}, \text{boundary}(U)),$$

that is to say the coefficient enjoy a Cauchy-type estimate:

$$|h_{\alpha_1, \dots, \alpha_N}| \leq \text{constant} \left(\frac{1}{\text{radius}} \right)^{\alpha_1 + \dots + \alpha_N},$$

for some two positive constants:

$$\text{constant} > 0, \quad \text{radius} > 0. \quad \square$$

In all what follows, no attention will be paid to making any occurrence of the constant radius close to any true radius of convergence, just its positivity will matter. Also, one will sometimes abbreviate:

$$h(z_\bullet) = \sum_{\alpha_\bullet \in \mathbb{N}^N} h_{\alpha_\bullet} (z_\bullet - z_{0\bullet})^{\alpha_\bullet}.$$

Importantly, when one conjugates a holomorphic function, the conjugation instantly distributes onto its converging power series:

$$\overline{h(z_\bullet)} = \sum_{\alpha_\bullet \in \mathbb{N}^N} \overline{h_{\alpha_\bullet}} (\bar{z}_\bullet - \bar{z}_{0\bullet})^{\alpha_\bullet},$$

so that one can introduce:

$$\bar{h}(z_\bullet) := \sum_{\alpha_\bullet \in \mathbb{N}^N} \overline{h_{\alpha_\bullet}} (z_\bullet - z_{0\bullet})^{\alpha_\bullet},$$

by conjugating *only* the coefficients.

Transfer of vector fields. Given a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω map $h = (f, g)$:

$$\mathbb{C}^N = \mathbb{R}^{2N} \longrightarrow \mathbb{R}'^2 = \mathbb{C}',$$

written out as:

$$(x_1, y_1, \dots, x_N, y_N) \longmapsto \left(f(x_1, y_1, \dots, x_N, y_N), g(x_1, y_1, \dots, x_N, y_N) \right),$$

its $2 \times 2N$ *Jacobian matrix*:

$$\text{Jac}_{\mathbb{R}}(h) = \text{Jac}_{\mathbb{R}}(f, g) = \begin{pmatrix} f_{x_1} & f_{y_1} & \cdots & f_{x_N} & f_{y_N} \\ g_{x_1} & g_{y_1} & \cdots & g_{x_N} & g_{y_N} \end{pmatrix}$$

expresses the rank of h at various points.

Moreover, $\text{Jac}_{\mathbb{R}}(f, g)$ enables one to *transfer* tangent vectors:

$$h_* = (f, g)_* : TU \longrightarrow TC',$$

with the understanding that tangent vectors identify with *derivations*.

The best way to see this is to look first at the transfer of functions by composition:

$$\begin{array}{ccc} U & \xrightarrow{h} & U' \\ & \searrow F & \downarrow F' \\ & & \mathbb{R}'' \end{array}$$

Assume:

$$h(U) \subset U' \subset \mathbb{C}'.$$

Then to every real-valued function:

$$F' : U' \longrightarrow \mathbb{R}'',$$

one associates:

$$F := F' \circ h,$$

namely:

$$\begin{aligned} F(x_1, y_1, \dots, x_N, y_N) &= F'(x', y') \circ h(x_1, y_1, \dots, x_N, y_N) \\ &= F'\left(f(x_1, y_1, \dots, x_N, y_N), g(x_1, y_1, \dots, x_N, y_N)\right). \end{aligned}$$

Applying the chain rule, one gets:

$$\begin{aligned} \frac{\partial F}{\partial x_l} &= f_{x_l} \frac{\partial F'}{\partial x'} + g_{x_l} \frac{\partial F'}{\partial y'} & (l=1 \dots N), \\ \frac{\partial F}{\partial y_l} &= f_{y_l} \frac{\partial F'}{\partial x'} + g_{y_l} \frac{\partial F'}{\partial y'} & (l=1 \dots N), \end{aligned}$$

identically for:

$$(x_1, y_1, \dots, x_N, y_N) \in U,$$

without writing arguments.

This means that $h = (f, g)$ pushes forward vector fields as:

$$(f, g)_* \left(\frac{\partial}{\partial x_l} \right) = f_{x_l} \frac{\partial}{\partial x'} + g_{x_l} \frac{\partial}{\partial y'} \quad (l=1 \dots N),$$

$$(f, g)_* \left(\frac{\partial}{\partial y_l} \right) = f_{y_l} \frac{\partial}{\partial x'} + g_{y_l} \frac{\partial}{\partial y'} \quad (l=1 \dots N).$$

In the right-hand sides, the coefficients:

$$\begin{array}{cc} f_{x_l}, & g_{x_l}, \\ f_{y_l}, & g_{y_l}, \end{array}$$

live in the source space U , while the fields:

$$\frac{\partial}{\partial x'}, \quad \frac{\partial}{\partial y'},$$

live in the target space \mathbb{C}' .

To remedy this imperfection, it is better to deal with *equidimensional* source and target spaces.

Let therefore:

$$(z'_1, \dots, z'_N) = (x'_1, y'_1, \dots, x'_N, y'_N)$$

be complex coordinates on a target space:

$$\mathbb{C}'^N = \mathbb{R}'^{2N}$$

having the same dimension.

When advisable, abbreviate the coordinates as:

$$\begin{array}{l} (x_\bullet, y_\bullet) \text{ on } \mathbb{C}^N = \mathbb{R}^{2N}, \\ (x'_\bullet, y'_\bullet) \text{ on } \mathbb{C}'^N = \mathbb{R}'^{2N}. \end{array}$$

Consider an open subset:

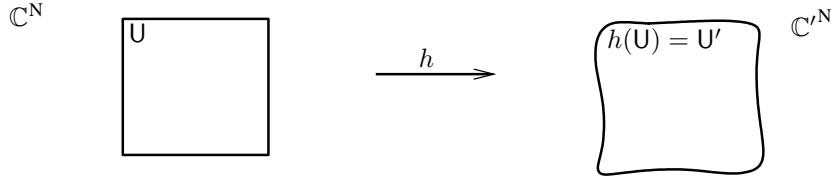
$$U \subset \mathbb{C}^N,$$

and a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω map:

$$\begin{aligned} h: \quad U &\longrightarrow \mathbb{C}'^N = \mathbb{R}'^{2N} \\ (x_1, y_1, \dots, x_N, y_N) &\longmapsto (h_1(x_\bullet, y_\bullet), \dots, h_N(x_\bullet, y_\bullet)) \\ &=: (f_1(x_\bullet, y_\bullet), g_1(x_\bullet, y_\bullet), \dots, f_N(x_\bullet, y_\bullet), g_N(x_\bullet, y_\bullet)) \end{aligned}$$

decomposed in real and imaginary parts:

$$h_1 = f_1 + \sqrt{-1} g_1, \dots, h_N = f_N + \sqrt{-1} g_N.$$



In fact, one shall assume that onto its image:

$$U \longrightarrow h(U) =: U',$$

the map is a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism. This means: bijective, homeomorphic, and with nowhere vanishing Jacobian determinant:

$$\det \text{Jac}_{\mathbb{R}}(f, g) = \det \begin{pmatrix} f_{1,x_1} & f_{1,y_1} & \cdots & f_{1,x_N} & f_{1,y_N} \\ g_{1,x_1} & g_{1,y_1} & \cdots & g_{1,x_N} & g_{1,y_N} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ f_{N,x_1} & f_{N,y_1} & \cdots & f_{N,x_N} & f_{N,y_N} \\ g_{N,x_1} & g_{N,y_1} & \cdots & g_{N,x_N} & g_{N,y_N} \end{pmatrix}.$$

Lemma. A \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism:

$$\mathbb{R}^{2N} \supset U \xrightarrow{\sim} U' \subset \mathbb{R}'^{2N}$$

written as:

$$\begin{aligned} (x_\bullet, y_\bullet) &\longmapsto (f_\bullet(x_\bullet, y_\bullet), g_\bullet(x_\bullet, y_\bullet)) \\ &= (x'_\bullet, y'_\bullet) \end{aligned}$$

is a biholomorphism if and only if (definition):

$$h_k := f_k + \sqrt{-1}g_k \quad (1 \leq k \leq N)$$

are holomorphic with respect to z_1, \dots, z_N , or equivalently (Cauchy-Riemann equations):

$$\boxed{\begin{aligned} 0 &\equiv f_{k,x_l} - g_{k,y_l} && (1 \leq k, l \leq N), \\ 0 &\equiv f_{k,y_l} + g_{k,x_l} && (1 \leq k, l \leq N). \end{aligned}} \quad \square$$

Since the N holomorphic components h_1, \dots, h_N are then locally expandable in converging power series in (z_1, \dots, z_N) and *do not depend on* $(\bar{z}_1, \dots, \bar{z}_N)$, one can also introduce the *holomorphic Jacobian matrix*:

$$\text{Jac}_{\mathbb{C}}(h) := \begin{pmatrix} h_{1,z_1} & \cdots & h_{1,z_N} \\ \vdots & \ddots & \vdots \\ h_{N,z_1} & \cdots & h_{N,z_N} \end{pmatrix}.$$

By assumption:

$$\begin{aligned} 0 &\neq \det \text{Jac}_{\mathbb{C}}(h) \\ &= \det \begin{pmatrix} h_{1,z_1} & \cdots & h_{1,z_N} \\ \vdots & \ddots & \vdots \\ h_{N,z_1} & \cdots & h_{N,z_N} \end{pmatrix}, \end{aligned}$$

at every point $q \in U_p$, or equivalently:

$$\begin{aligned} 0 &\neq \det \text{Jac}_{\mathbb{R}}(f, g) \\ &= \det \begin{pmatrix} f_{1,x_1} & f_{1,y_1} & \cdots & f_{1,x_N} & f_{1,y_N} \\ g_{1,x_1} & g_{1,y_1} & \cdots & g_{1,x_N} & g_{1,y_N} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ f_{N,x_1} & f_{N,y_1} & \cdots & f_{N,x_N} & f_{N,y_N} \\ g_{N,x_1} & g_{N,y_1} & \cdots & g_{N,x_N} & g_{N,y_N} \end{pmatrix}, \end{aligned}$$

because of an:

Exercise.

$$\det \text{Jac}_{\mathbb{R}}(f, g) = |\det \text{Jac}_{\mathbb{C}}(h)|^2. \quad \square$$

However, even when h is a biholomorphism, it is preferable to work mainly with its real Jacobian matrix.

Coming back to a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism (f, g) , the push-forwards of basic vector fields are (exercise):

$$\begin{aligned} (f, g)_* \left(\frac{\partial}{\partial x_l} \right) &= \sum_{k=1}^N \left(f_{k,x_l} \frac{\partial}{\partial x'_k} + g_{k,x_l} \frac{\partial}{\partial y'_k} \right) & (l=1 \cdots N), \\ (f, g)_* \left(\frac{\partial}{\partial y_l} \right) &= \sum_{k=1}^N \left(f_{k,y_l} \frac{\partial}{\partial x'_k} + g_{k,y_l} \frac{\partial}{\partial y'_k} \right) & (l=1 \cdots N). \end{aligned}$$

Now by composing with h^{-1} , one can insure that in the right-hand side, everything lives in the (x'_\bullet, y'_\bullet) -space:

$(f, g)_* \left(\frac{\partial}{\partial x_l} \right) \stackrel{\text{def}}{=} \sum_{k=1}^N \left(f_{k,x_l} \circ h^{-1}(x'_\bullet, y'_\bullet) \frac{\partial}{\partial x'_k} + g_{k,x_l} \circ h^{-1}(x'_\bullet, y'_\bullet) \frac{\partial}{\partial y'_k} \right) \quad (l=1 \cdots N),$
$(f, g)_* \left(\frac{\partial}{\partial y_l} \right) \stackrel{\text{def}}{=} \sum_{k=1}^N \left(f_{k,y_l} \circ h^{-1}(x'_\bullet, y'_\bullet) \frac{\partial}{\partial x'_k} + g_{k,y_l} \circ h^{-1}(x'_\bullet, y'_\bullet) \frac{\partial}{\partial y'_k} \right) \quad (l=1 \cdots N).$

Transfer of Lie brackets. On an open subset:

$$U \subset \mathbb{R}^{2N},$$

consider two \mathcal{C}^0 vector field sections:

$$P = \sum_{k=1}^N \left(a_k(x_\bullet, y_\bullet) \frac{\partial}{\partial x_k} + b_k(x_\bullet, y_\bullet) \frac{\partial}{\partial y_k} \right),$$

$$Q = \sum_{k=1}^N \left(c_k(x_\bullet, y_\bullet) \frac{\partial}{\partial x_k} + d_k(x_\bullet, y_\bullet) \frac{\partial}{\partial y_k} \right).$$

Definition. The Lie bracket:

$$[P, Q]$$

between two such general vector fields is the *vector field*:

$$[P, Q] := \sum_{k=1}^N \left(\sum_{l=1}^N \left(a_l c_{k,x_l} + b_l c_{k,y_l} - c_l a_{k,x_l} - d_l a_{k,y_l} \right) \right) \frac{\partial}{\partial x_k} +$$

$$+ \sum_{k=1}^N \left(\sum_{l=1}^N \left(a_l d_{k,x_l} + b_l d_{k,y_l} - c_l b_{k,x_l} - d_l b_{k,y_l} \right) \right) \frac{\partial}{\partial y_k},$$

where indices after commas abbreviate partial derivatives of coefficient-functions.

Lemma. Through a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism:

$$h: U \xrightarrow{\sim} U' = h(U) \subset \mathbb{R}^{2N},$$

Lie brackets between real vector fields transfer as:

$$h_*([P, Q]) = [h_*(P), h_*(Q)].$$

Proof. Considered to be known or can be reproved directly by applying the formulas. This, in particular, assures that the Lie bracket is well defined, independently of coordinates. \square

Biholomorphisms commute with complex structures. Next, the complex structure J' on \mathbb{C}^N acts as:

$$J' \left(\frac{\partial}{\partial x'_k} \right) = \frac{\partial}{\partial y'_k}, \quad J' \left(\frac{\partial}{\partial y'_k} \right) = - \frac{\partial}{\partial x'_k}, \quad (k = 1 \dots N).$$

Proposition. A \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism:

$$h = (f, g): \quad \begin{array}{ccc} U & \xrightarrow{\sim} & U' \\ \downarrow & & \downarrow \\ \mathbb{R}^{2N} = \mathbb{C}^N & & \mathbb{C}^N = \mathbb{R}^{2N} \end{array}$$

between two open sets is a biholomorphism if and only if:

$$\boxed{h_* \circ J = J' \circ h_*}$$

which means the diagram commutation:

$$\begin{array}{ccc} T\mathbb{R}^{2N} & \xrightarrow{h_*} & T\mathbb{R}'^{2N} \\ J \downarrow & & \downarrow J' \\ T\mathbb{R}^{2N} & \xrightarrow{h_*} & T\mathbb{R}'^{2N}, \end{array}$$

on restriction to the concerned open subsets.

Proof. By linearity of h_* , J , J' , it suffices to show:

$$\begin{aligned} h_* \circ J \left(\frac{\partial}{\partial x_l} \right) &\stackrel{?}{=} J' \circ h_* \left(\frac{\partial}{\partial x_l} \right), \\ h_* \circ J \left(\frac{\partial}{\partial y_l} \right) &\stackrel{?}{=} J' \circ h_* \left(\frac{\partial}{\partial y_l} \right), \end{aligned}$$

for $l = 1, \dots, N$. Of course:

$$h_* = (f, g)_*,$$

in the real sense.

One computes:

$$\begin{aligned} h_* \left(J \left(\frac{\partial}{\partial x_l} \right) \right) &= h_* \left(\frac{\partial}{\partial y_l} \right) \\ &= \sum_{k=1}^N \left(f_{k,y_l} \frac{\partial}{\partial x'_k} + g_{k,y_l} \frac{\partial}{\partial y'_k} \right) \\ \text{[Insert } J'] &= \sum_{k=1}^N \left(-f_{k,y_l} J' \left(\frac{\partial}{\partial y'_k} \right) + g_{k,y_l} J' \left(\frac{\partial}{\partial x'_k} \right) \right) \\ \text{[Cauchy-Riemann equations]} &= \sum_{k=1}^N \left(g_{k,x_l} J' \left(\frac{\partial}{\partial y'_k} \right) + f_{k,x_l} J' \left(\frac{\partial}{\partial x'_k} \right) \right) \\ \text{[Extract } J'] &= J' \left(\sum_{k=1}^N \left(f_{k,x_l} \frac{\partial}{\partial x'_k} + g_{k,x_l} \frac{\partial}{\partial y'_k} \right) \right) \\ \text{[Recognize]} &= J' \left(h_* \left(\frac{\partial}{\partial x_l} \right) \right). \end{aligned}$$

The second family of identities is checked similarly. The converse is just logical. \square

Complexification. Define the *complexified* tangent vector bundle:

$$\mathbb{C} \otimes_{\mathbb{R}} T\mathbb{R}^{2N}$$

by its fibers at points $p \in \mathbb{R}^{2N}$:

$$\mathbb{C} \otimes T_p \mathbb{R}^{2N} \stackrel{\text{def}}{=} \mathbb{C} \frac{\partial}{\partial x_1} \Big|_p \oplus \mathbb{C} \frac{\partial}{\partial y_1} \Big|_p \oplus \cdots \oplus \mathbb{C} \frac{\partial}{\partial x_N} \Big|_p \oplus \mathbb{C} \frac{\partial}{\partial y_N} \Big|_p.$$

Introducing the fields:

$$\begin{aligned} \frac{\partial}{\partial z_1} &= \frac{1}{2} \frac{\partial}{\partial x_1} - \frac{\sqrt{-1}}{2} \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial z_N} = \frac{1}{2} \frac{\partial}{\partial x_N} - \frac{\sqrt{-1}}{2} \frac{\partial}{\partial y_N}, \\ \frac{\partial}{\partial \bar{z}_1} &= \frac{1}{2} \frac{\partial}{\partial x_1} + \frac{\sqrt{-1}}{2} \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial \bar{z}_N} = \frac{1}{2} \frac{\partial}{\partial x_N} + \frac{\sqrt{-1}}{2} \frac{\partial}{\partial y_N}, \end{aligned}$$

one realizes that equivalently:

$$\mathbb{C} \otimes T_p \mathbb{R}^{2N} = \mathbb{C} \frac{\partial}{\partial z_1} \Big|_p \oplus \cdots \oplus \mathbb{C} \frac{\partial}{\partial z_N} \Big|_p \oplus \mathbb{C} \frac{\partial}{\partial \bar{z}_1} \Big|_p \oplus \cdots \oplus \mathbb{C} \frac{\partial}{\partial \bar{z}_N} \Big|_p.$$

On an open set:

$$U \subset \mathbb{C}^N = \mathbb{R}^{2N},$$

a \mathcal{C}^0 vector field section of $\mathbb{C} \otimes_{\mathbb{R}} T\mathbb{R}^{2N}$ writes:

$$\sum_{k=1}^N \left(\alpha_k(x_\bullet, y_\bullet) \frac{\partial}{\partial x_k} + \beta_k(x_\bullet, y_\bullet) \frac{\partial}{\partial y_k} \right),$$

with \mathcal{C}^0 complex-valued functions:

$$\alpha_k, \beta_k: U \longrightarrow \mathbb{C} \quad (k=1 \dots N).$$

Replacing:

$$\frac{\partial}{\partial x_k} = \frac{\partial}{\partial z_k} + \frac{\partial}{\partial \bar{z}_k} \quad \text{and} \quad \frac{\partial}{\partial y_k} = \sqrt{-1} \left(\frac{\partial}{\partial z_k} - \frac{\partial}{\partial \bar{z}_k} \right),$$

such a vector field section of $\mathbb{C} \otimes_{\mathbb{R}} T\mathbb{R}^{2N}$ may also be written:

$$\sum_{k=1}^N \left(\tilde{\alpha}_k(x_\bullet, y_\bullet) \frac{\partial}{\partial z_k} + \tilde{\beta}_k(x_\bullet, y_\bullet) \frac{\partial}{\partial \bar{z}_k} \right),$$

with:

$$\tilde{\alpha}_k = \alpha_k + \sqrt{-1} \beta_k \quad \text{and} \quad \tilde{\beta}_k = \alpha_k - \sqrt{-1} \beta_k \quad (k=1 \dots N).$$

Extension of h_* . Given two *real* vector field local sections:

$$P \quad \text{and} \quad Q$$

of $T\mathbb{R}^{2N}$ over $U \subset \mathbb{R}^{2N}$ open, and given a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism:

$$h: U \xrightarrow{\sim} U',$$

one extends:

$$h_*(P + \sqrt{-1}Q) \stackrel{\text{def}}{=} h_*(P) + \sqrt{-1}h_*(Q).$$

Lemma. For any two complex vector field (local) sections of $\mathbb{C} \otimes_{\mathbb{R}} T\mathbb{R}^{2N}$:

$$\mathcal{P} = P^r + \sqrt{-1}P^i,$$

$$\mathcal{Q} = Q^r + \sqrt{-1}Q^i,$$

with real vector fields P^r, P^i, Q^r, Q^i , one has:

$$h_*([\mathcal{P}, \mathcal{Q}]) = [h_*(\mathcal{P}), h_*(\mathcal{Q})].$$

Proof. It suffices to compute:

$$\begin{aligned} h_*([\mathcal{P}, \mathcal{Q}]) &= h_*([P^r + \sqrt{-1}P^i, Q^r + \sqrt{-1}Q^i]) \\ &= h_*([P^r, Q^r] - [P^i, Q^i] + \sqrt{-1}[P^r, Q^i] + \sqrt{-1}[P^i, Q^r]) \\ &= h_*([P^r, Q^r]) - h_*([P^i, Q^i]) + \sqrt{-1}h_*([P^r, Q^i]) + \sqrt{-1}h_*([P^i, Q^r]) \\ &= [h_*(P^r), h_*(Q^r)] - [h_*(P^i), h_*(Q^i)] + \sqrt{-1}[h_*(P^r), h_*(Q^i)] + \sqrt{-1}[h_*(P^i), h_*(Q^r)] \\ &= [h_*(P^r) + \sqrt{-1}h_*(P^i), h_*(Q^r) + \sqrt{-1}h_*(Q^i)] \\ &= [h_*(P^r + \sqrt{-1}P^i), h_*(Q^r + \sqrt{-1}Q^i)] \\ &= [h_*(\mathcal{P}), h_*(\mathcal{Q})], \end{aligned}$$

as was easy to check. □

Lemma. For any local section of $\mathbb{C} \otimes_{\mathbb{R}} T\mathbb{C}^N$:

$$\mathcal{P} = P^r + \sqrt{-1}P^i,$$

with real vector fields P^r, P^i , one has:

$$\overline{h_*(\mathcal{P})} = h_*(\overline{\mathcal{P}}).$$

Proof. Again elementarily:

$$\begin{aligned} \overline{h_*(P^r + \sqrt{-1}P^i)} &= \overline{h_*(P^r) + \sqrt{-1}h_*(P^i)} \\ &= h_*(P^r) - \sqrt{-1}h_*(P^i) \\ &= h_*(P^r - \sqrt{-1}P^i) \\ &= h_*(\overline{\mathcal{P}}), \end{aligned}$$

which is so. □

Now, the quite central concept of $(1, 0)$ and of $(0, 1)$ bundles enters the scene.

Definition. For $p \in \mathbb{C}^N = \mathbb{R}^{2N}$, set:

$$\begin{aligned} T_p^{1,0}\mathbb{R}^{2N} & \stackrel{\text{def}}{=} \{X_p - \sqrt{-1}J(X_p) : X_p \in T_p\mathbb{R}^{2N}\} \\ & = \mathbb{C} \frac{\partial}{\partial z_1} \Big|_p \oplus \cdots \oplus \mathbb{C} \frac{\partial}{\partial z_N} \Big|_p, \end{aligned}$$

and:

$$\begin{aligned} T_p^{0,1}\mathbb{R}^{2N} & \stackrel{\text{def}}{=} \{X_p + \sqrt{-1}J(X_p) : X_p \in T_p\mathbb{R}^{2N}\} \\ & = \mathbb{C} \frac{\partial}{\partial \bar{z}_1} \Big|_p \oplus \cdots \oplus \mathbb{C} \frac{\partial}{\partial \bar{z}_N} \Big|_p. \end{aligned}$$

One checks:

$$T^{0,1}\mathbb{R}^{2N} = \overline{T^{1,0}\mathbb{R}^{2N}},$$

and:

$$\mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}^{2N} = T^{1,0}\mathbb{R}^{2N} \oplus T^{0,1}\mathbb{R}^{2N}.$$

Clearly, a \mathcal{C}^0 vector field section of $T^{1,0}\mathbb{R}^{2N}$ writes:

$$\sum_{k=1}^N \alpha_k(x_\bullet, y_\bullet) \frac{\partial}{\partial z_k},$$

with \mathcal{C}^0 complex-valued functions:

$$\alpha_k : \mathbb{U} \longrightarrow \mathbb{C} \quad (k=1 \cdots N),$$

while a \mathcal{C}^0 vector field section of $T^{0,1}\mathbb{R}^{2N}$ writes:

$$\sum_{k=1}^N \beta_k(x_\bullet, y_\bullet) \frac{\partial}{\partial \bar{z}_k},$$

with \mathcal{C}^0 complex-valued functions:

$$\beta_k : \mathbb{U} \longrightarrow \mathbb{C} \quad (k=1 \cdots N).$$

Lemma. Through a biholomorphism:

$$\begin{array}{ccc} h: & \mathbb{U} & \xrightarrow{\sim} & \mathbb{U}' \\ & \downarrow & & \downarrow \\ & \mathbb{R}^{2N} = \mathbb{C}^N & & \mathbb{C}'^N = \mathbb{R}'^{2N} \end{array}$$

viewed as a real map $h = (f, g)$, one has:

$$h_*(T_p^{1,0}\mathbb{R}^{2N}) = T_{h(p)}^{1,0}\mathbb{R}'^{2N},$$

$$h_*(T_p^{0,1}\mathbb{R}^{2N}) = T_{h(p)}^{0,1}\mathbb{R}'^{2N},$$

at every $p \in \mathbb{U}$.

Proof. Indeed:

$$\begin{aligned}
 h_*(X_p - \sqrt{-1} J(X_p)) &= h_*(X_p) - \sqrt{-1} h_*(J(X_p)) \\
 \text{[Apply } h_* \circ J = J' \circ h_*] &= h_*(X_p) - \sqrt{-1} J'(h_*(X_p)) \\
 &=: X'_{h(p)} - \sqrt{-1} J'(X'_{h(p)}) \\
 &\in T^{1,0}\mathbb{R}'^{2N},
 \end{aligned}$$

whence:

$$h_*(T^{1,0}\mathbb{R}'^{2N}) \subset T^{1,0}\mathbb{R}'^{2N}.$$

For the reverse inclusion, proceed with h^{-1} . For $T^{0,1}$, conjugate $T^{1,0}$. \square

Notation. Given a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism:

$$\begin{array}{ccc}
 h: & \mathbb{U} & \xrightarrow{\sim} & \mathbb{U}' \\
 & \downarrow & & \downarrow \\
 & \mathbb{R}^{2N} = \mathbb{C}^N & & \mathbb{C}'^N = \mathbb{R}'^{2N}
 \end{array}$$

between two open subsets, use the symbol:

$$\boxed{h_*}$$

to denote both differentials:

$$\boxed{
 \begin{array}{l}
 h_*: \quad T\mathbb{C}^N \longrightarrow T\mathbb{C}'^N, \\
 h_*: \quad \mathbb{C} \otimes_{\mathbb{R}} T\mathbb{C}^N \longrightarrow \mathbb{C} \otimes_{\mathbb{R}} T\mathbb{C}'^N,
 \end{array}
 }$$

on restriction to the concerned open sets.

Application to CR-generic submanifolds. Let:

$$M^{2n+c} \subset \mathbb{C}^{n+c}$$

be a connected \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω submanifold which is CR-generic:

$$TM + J(TM) = T\mathbb{C}^{n+c}|_M,$$

with:

$$n = \text{CRdim } M,$$

$$c = \text{codim } M,$$

basic facts about CR manifolds having been already developed in what precedes.

At each point $q \in M$, one therefore views *extrinsically*:

$$T_q M \subset T_q \mathbb{C}^{n+c} = T_q \mathbb{R}^{2n+2c}.$$

Now, complexify:

$$\begin{aligned}\mathbb{C} \otimes_{\mathbb{R}} T_q M &\subset \mathbb{C} \otimes_{\mathbb{R}} T_q \mathbb{C}^{n+c} \\ &\subset T_q^{1,0} \mathbb{C}^{n+c} \oplus T_q^{0,1} \mathbb{C}^{n+c},\end{aligned}$$

using:

$$\mathbb{C} \otimes_{\mathbb{R}} T_q \mathbb{C}^{n+c} = T_q^{1,0} \mathbb{C}^{n+c} \oplus T_q^{0,1} \mathbb{C}^{n+c}.$$

Definition. At every $q \in M^{2n+c}$, set:

$$\begin{aligned}T_q^{1,0} M &\stackrel{\text{def}}{=} T_q^{1,0} \mathbb{C}^{n+c} \cap (\mathbb{C} \otimes_{\mathbb{R}} T_q M), \\ T_q^{0,1} M &\stackrel{\text{def}}{=} T_q^{0,1} \mathbb{C}^{n+c} \cap [\mathbb{C} \otimes_{\mathbb{R}} T_q M],\end{aligned}$$

so that:

$$T_q^{0,1} M = \overline{T_q^{1,0} M},$$

Now, an arbitrary vector:

$$\mathcal{L}_q \in T_q^{1,0} \mathbb{C}^{n+c}$$

writes:

$$\mathcal{L}_q = L_q - \sqrt{-1} J(L_q),$$

with a real vector:

$$L_q \in \mathbb{C} \otimes_{\mathbb{R}} TM.$$

If, moreover:

$$\mathcal{L}_q \in \mathbb{C} \otimes_{\mathbb{R}} TM,$$

then clearly:

$$L_q \in T_q M \quad \text{and} \quad J(L_q) \in T_q M,$$

that is to say:

$$L_q \in T_q M \cap J(T_q M) = T_q^c M.$$

Conversely, for every $L_q \in T_q^c M$, one has:

$$\begin{aligned}L_q - \sqrt{-1} J(L_q) &\in (\mathbb{C} \otimes_{\mathbb{R}} T_q M) \cap T_q^{1,0} \mathbb{C}^{n+c} \\ &= T_q^{1,0} M.\end{aligned}$$

Summary. On a CR-generic submanifold $M^{2n+c} \subset \mathbb{C}^{n+c}$ of CR dimension n , one has:

$$\begin{aligned}T_q^{1,0} M &= \left\{ L_q - \sqrt{-1} J(L_q) : L_q \in T_q M \cap J(T_q M) \right\}, \\ T_q^{0,1} M &= \left\{ L_q + \sqrt{-1} J(L_q) : L_q \in T_q M \cap J(T_q M) \right\},\end{aligned}$$

and as $q \in M$ runs, these spaces gather coherently to constitute two \mathbb{C} -vector bundles of ranks:

$$\text{rank}_{\mathbb{C}}(T^{1,0} M) = \text{rank}_{\mathbb{C}}(T^{0,1} M) = \frac{1}{2} \text{rank}_{\mathbb{R}}(TM \cap J(TM)).$$

As bundles, one may also write:

$$\begin{aligned} T^{1,0}M & \stackrel{\text{def}}{=} (T^{1,0}\mathbb{C}^{n+c}|_M) \cap (\mathbb{C} \otimes_{\mathbb{R}} TM), \\ T^{0,1}M & \stackrel{\text{def}}{=} (T^{0,1}\mathbb{C}^{n+c}|_M) \cap (\mathbb{C} \otimes_{\mathbb{R}} TM). \end{aligned}$$

Fundamental Proposition. *On a CR-generic $M^{2n+1+c} \subset \mathbb{C}^{n+c}$ of CR dimension n , both bundles $T^{1,0}M$ and $T^{0,1}M$ are Frobenius-integrable:*

$$\begin{aligned} [T^{1,0}M, T^{1,0}M] & \subset T^{1,0}M, \\ [T^{0,1}M, T^{0,1}M] & \subset T^{0,1}M, \end{aligned}$$

which means that for any two (local) vector field sections \mathcal{M} and \mathcal{N} of $T^{1,0}M$ on some open subset of M , the two Lie brackets:

$$\begin{aligned} [\mathcal{M}, \mathcal{N}], \\ \overline{[\mathcal{M}, \mathcal{N}]}, \end{aligned}$$

are again vector field sections of $T^{1,0}M$ and of $T^{0,1}M$, respectively.

Of course as a difference, it is almost always the case in CR geometry that:

$$[T^{1,0}M, T^{0,1}M] \not\subset T^{1,0}M \oplus T^{0,1}M.$$

Proof. In brief (more explanations follow):

(i) Lie brackets of sections of $\mathbb{C} \otimes_{\mathbb{R}} TM$ remain sections of $\mathbb{C} \otimes_{\mathbb{R}} TM$, because tangency to a submanifold is preserved after taking brackets.

(ii) Lie brackets of sections of $T^{1,0}\mathbb{C}^{n+c}|_M$ remain sections of $T^{1,0}\mathbb{C}^{n+c}|_M$ because linear combinations of $\frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_{n+c}}$ remain such after taking brackets.

Consequently, Lie brackets of the *intersection*:

$$T^{1,0}M = (T^{1,0}\mathbb{C}^{n+c}|_M) \cap (\mathbb{C} \otimes_{\mathbb{R}} TM)$$

remain in *this* intersection, and similarly of course — alternatively, use plain conjugation — for $[T^{0,1}M, T^{0,1}M] \subset T^{0,1}M$.

One can produce an abstract proof of (i) and (ii) following known differential-geometric lines, but granted the objectives of the present memoir, it is preferable to introduce now local coordinates in order to start making everything more explicit.

As was already seen above, at every point $p \in M$, there exist local coordinates:

$$(z_1, \dots, z_n, w_1, \dots, w_c) = \left(x_1 + \sqrt{-1}y_1, \dots, x_n + \sqrt{-1}y_n, u_1 + \sqrt{-1}v_1, \dots, u_c + \sqrt{-1}v_c \right)$$

that are *not* related to the *intrinsic* coordinates on M :

$$(x_1, \dots, x_n, y_1, \dots, y_n, u_1, \dots, u_c) = (x_\bullet, y_\bullet, u_\bullet),$$

so that the *geometric* vectors that these $2n + c$ derivations represent truly live in \mathbb{C}^{n+c} , while their coefficient-functions:

$$\varphi_{j,x_k}(x_\bullet, y_\bullet, u_\bullet), \quad \varphi_{j,y_k}(x_\bullet, y_\bullet, u_\bullet), \quad \varphi_{j,u_l}(x_\bullet, y_\bullet, u_\bullet),$$

depend only upon the *horizontal, intrinsic* coordinates of M .

To think intrinsically, then, one introduces the projection:

$$\begin{aligned} \pi^{2n+c}: \quad \mathbb{R}^{2n+2c} &\longrightarrow \mathbb{R}^{2n+c} \\ (x_\bullet, y_\bullet, u_\bullet, v_\bullet) &\longmapsto (x_\bullet, y_\bullet, u_\bullet) \end{aligned}$$

which sends the $2n + c$ elements of the extrinsic frame:

$$\begin{aligned} \pi_*(X_k) &= \pi_* \left(\frac{\partial}{\partial x_k} + \sum_{j=1}^c \varphi_{j,x_k} \frac{\partial}{\partial v_j} \right) \\ &= \frac{\partial}{\partial x_k}, \\ \pi_*(Y_k) &= \pi_* \left(\frac{\partial}{\partial y_k} + \sum_{j=1}^c \varphi_{j,y_k} \frac{\partial}{\partial v_j} \right) \\ &= \frac{\partial}{\partial y_k}, \\ \pi_*(U_l) &= \pi_* \left(\frac{\partial}{\partial u_l} + \sum_{j=1}^c \varphi_{j,u_l} \frac{\partial}{\partial v_j} \right) \\ &= \frac{\partial}{\partial u_l}, \end{aligned}$$

to the straight intrinsic frame on TM naturally associated to $(x_\bullet, y_\bullet, u_\bullet)$.

Now, seek n local generators of $T^{1,0}M$. Because at the origin:

$$T_0^{1,0}M = \left. \frac{\partial}{\partial z_1} \right|_0 \oplus \dots \oplus \left. \frac{\partial}{\partial z_n} \right|_0,$$

it is natural to seek them under the form:

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} + \sum_{j=1}^c A_1^j \frac{\partial}{\partial w_j}, \\ &\dots\dots\dots \\ \mathcal{L}_n &= \frac{\partial}{\partial z_n} + \sum_{j=1}^c A_n^j \frac{\partial}{\partial w_j}, \end{aligned}$$

with known functions:

$$A_k^j = A_k^j(x_\bullet, y_\bullet, u_\bullet).$$

Again, one notices that such $(1, 0)$ fields live in \mathbb{C}^{n+c} , while their coefficients depend only on intrinsic coordinates of $M \cong \mathbb{R}^{2n+c}$.

By definition, such fields should be tangent to M in order to be sections of $T^{1,0}M$. Writing then the equations of M under the adapted form:

$$\begin{aligned} 0 &= -v_1 + \varphi_1(x_\bullet, y_\bullet, u_\bullet), \\ &\dots\dots\dots \\ 0 &= -v_1 + \varphi_1(x_\bullet, y_\bullet, u_\bullet), \end{aligned}$$

and writing simultaneously the fields under the expanded form:

$$\mathcal{L}_i = \frac{\partial}{\partial z_i} + A_i^1 \left(\frac{1}{2} \frac{\partial}{\partial u_1} - \frac{\sqrt{-1}}{2} \frac{\partial}{\partial v_1} \right) + \dots\dots\dots + A_i^c \left(\frac{1}{2} \frac{\partial}{\partial u_c} - \frac{\sqrt{-1}}{2} \frac{\partial}{\partial v_c} \right)$$

$(i=1 \dots n),$

one expresses the tangency of \mathcal{L}_i by applying it considered as a derivation to the c equations of M and the result should be zero:

$$\begin{aligned} 0 &= \frac{\sqrt{-1}}{2} A_i^1 + \varphi_{1,z_i} + A_i^1 \left(\frac{1}{2} \varphi_{1,u_1} \right) + \dots\dots\dots + A_i^c \left(\frac{1}{2} \varphi_{1,u_c} \right), \\ &\dots\dots\dots \\ 0 &= \frac{\sqrt{-1}}{2} A_i^c + \varphi_{c,z_i} + A_i^1 \left(\frac{1}{2} \varphi_{c,u_1} \right) + \dots\dots\dots + A_i^c \left(\frac{1}{2} \varphi_{c,u_c} \right). \end{aligned}$$

Reorganizing this linear system as:

$$\begin{aligned} -2 \varphi_{1,z_i} &= A_i^1 (\sqrt{-1} + \varphi_{1,u_1}) + A_i^2 (\varphi_{1,u_2}) + \dots\dots\dots + A_i^c (\varphi_{1,u_c}), \\ -2 \varphi_{2,z_i} &= A_i^1 (\varphi_{2,u_1}) + A_i^2 (\sqrt{-1} + \varphi_{2,u_2}) + \dots\dots\dots + A_i^c (\varphi_{2,u_c}), \\ &\dots\dots\dots \\ -2 \varphi_{c,z_i} &= A_i^1 (\varphi_{c,u_1}) + A_i^2 (\varphi_{c,u_2}) + \dots\dots\dots + A_i^c (\sqrt{-1} + \varphi_{c,u_c}), \end{aligned}$$

one sees that its determinant:

$$\begin{vmatrix} \sqrt{-1} + \varphi_{1,u_1} & \varphi_{1,u_2} & \dots & \varphi_{1,u_c} \\ \varphi_{2,u_1} & \sqrt{-1} + \varphi_{2,u_2} & \dots & \varphi_{2,u_c} \\ \vdots & \vdots & \ddots & \vdots \\ \varphi_{c,u_1} & \varphi_{c,u_2} & \dots & \sqrt{-1} + \varphi_{c,u_c} \end{vmatrix}$$

is locally nonvanishing, because at the origin:

$$\begin{vmatrix} \sqrt{-1} & 0 & \dots & 0 \\ 0 & \sqrt{-1} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \sqrt{-1} \end{vmatrix} = (\sqrt{-1})^n \neq 0.$$

An application of Cramer’s rule then concludes a fundamental explicit:

Proposition. *On a CR-generic submanifold $M^{2n+c} \subset \mathbb{C}^{n+c}$ of smoothness \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω with:*

$$\begin{aligned} c &= \text{codim } M, \\ n &= \text{CRdim } M \end{aligned}$$

which is locally represented in coordinates:

$$(x_1, \dots, x_n, y_1, \dots, y_n, u_1, \dots, u_c)$$

as:

$$\begin{aligned} v_1 &= \varphi_1(x_1, \dots, x_n, y_1, \dots, y_n, u_1, \dots, u_c), \\ &\dots\dots\dots \\ v_c &= \varphi_c(x_1, \dots, x_n, y_1, \dots, y_n, u_1, \dots, u_c). \end{aligned}$$

with graphing functions satisfying:

$$\begin{aligned} 0 &= \varphi_1(0) = d\varphi_1(0), \\ &\dots\dots\dots \\ 0 &= \varphi_c(0) = d\varphi_c(0), \end{aligned}$$

a local frame for $T^{1,0}M$:

$$\{\mathcal{L}_1, \dots, \mathcal{L}_n\}$$

is constituted of the n vector fields:

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} + A_1^1(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_1} + \dots\dots\dots + A_1^c(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_c} \\ &\dots\dots\dots \\ \mathcal{L}_n &= \frac{\partial}{\partial z_n} + A_n^1(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_1} + \dots\dots\dots + A_n^c(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_c}, \end{aligned}$$

whose coefficient functions are given, for $i = 1, \dots, n$, explicitly by:

$$A_i^1 = \frac{\begin{vmatrix} -2\varphi_{1,z_i} & \varphi_{1,u_2} & \cdots & \varphi_{1,u_c} \\ -2\varphi_{2,z_i} & \sqrt{-1} + \varphi_{2,u_2} & \cdots & \varphi_{2,u_c} \\ \vdots & \vdots & \ddots & \vdots \\ -2\varphi_{c,z_i} & \varphi_{c,u_2} & \cdots & \sqrt{-1} + \varphi_{c,u_c} \end{vmatrix}}{\begin{vmatrix} \sqrt{-1} + \varphi_{1,u_1} & \cdots & \varphi_{1,u_c} \\ \varphi_{2,u_1} & \cdots & \varphi_{2,u_c} \\ \vdots & \ddots & \vdots \\ \varphi_{c,u_1} & \cdots & \sqrt{-1} + \varphi_{c,u_c} \end{vmatrix}}, \dots\dots\dots, A_i^c = \frac{\begin{vmatrix} \sqrt{-1} + \varphi_{1,u_1} & \cdots & -2\varphi_{1,z_i} \\ \varphi_{2,u_1} & \cdots & -2\varphi_{2,z_i} \\ \vdots & \ddots & \vdots \\ \varphi_{c,u_1} & \cdots & -2\varphi_{c,z_i} \end{vmatrix}}{\begin{vmatrix} \sqrt{-1} + \varphi_{1,u_1} & \cdots & \varphi_{1,u_c} \\ \varphi_{2,u_1} & \cdots & \varphi_{2,u_c} \\ \vdots & \ddots & \vdots \\ \varphi_{c,u_1} & \cdots & \sqrt{-1} + \varphi_{c,u_c} \end{vmatrix}}. \quad \square$$

Come now back to the proof of the penultimate proposition.

Allowing the notational coincidences:

$$\begin{aligned} (Z_1, \dots, Z_n, Z_{n+1}, \dots, Z_N) &\equiv (z_1, \dots, z_n, u_1, \dots, u_c), \\ N &\equiv n + c, \end{aligned}$$

take any two local sections of $T^{1,0}M$:

$$\mathcal{M} \quad \text{and} \quad \mathcal{N}.$$

Since they are of type $(1, 0)$, they both write under the form:

$$\begin{aligned} \mathcal{L} &= \sum_{k=1}^N c_k(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial z_k}, \\ \mathcal{M} &= \sum_{k=1}^N d_k(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial z_k}, \end{aligned}$$

whence their bracket:

$$\begin{aligned} [\mathcal{M}, \mathcal{N}] &= \left[\sum_{k=1}^N c_k \frac{\partial}{\partial z_k}, \sum_{k=1}^N d_k \frac{\partial}{\partial z_k} \right] \\ &= \sum_{k=1}^N \left(\sum_{l=1}^N (c_l d_{k,z_l} - d_l c_{k,z_l}) \right) \frac{\partial}{\partial z_k} \end{aligned}$$

is visibly still again of type $(1, 0)$. This explains with more precisions the claim **(ii)** made above.

Concerning **(i)**, set:

$$r_1(x_\bullet, y_\bullet, u_\bullet) := v_1 - \varphi_1(x_\bullet, y_\bullet, u_\bullet), \dots, r_c(x_\bullet, y_\bullet, u_\bullet) := v_c - \varphi_c(x_\bullet, y_\bullet, u_\bullet),$$

so that reminding the notational coincidence:

$$(x_\bullet, y_\bullet) \equiv (x_\bullet, u_\bullet, y_\bullet, v_\bullet),$$

M is then represented as the common zero-set:

$$M = \{0 = r_1(x_\bullet, y_\bullet) = \dots = r_c(x_\bullet, y_\bullet)\}.$$

Definition, or Property. Next, recall that by a standard known conceptualized fact of elementary differential geometry, a (real or) complex vector field:

$$\mathcal{M} = \sum_{k=1}^N \left(\alpha_k(x_\bullet, y_\bullet) \frac{\partial}{\partial x_k} + \beta_k(x_\bullet, y_\bullet) \frac{\partial}{\partial y_k} \right)$$

defined in \mathbb{R}^{2N} on some local open neighborhood U_p of some point $p \in M$ is *tangent* to:

$$M = \{0 = r_1(x_\bullet, y_\bullet) = \dots = r_c(x_\bullet, y_\bullet)\}$$

if:

$$\begin{aligned} \mathcal{M}(r_1) &= 0 \quad \text{on restriction to } \{0 = r_1 = \dots = r_c\}, \\ &\dots\dots\dots \\ \mathcal{M}(r_c) &= 0 \quad \text{on restriction to } \{0 = r_1 = \dots = r_c\}. \end{aligned}$$

Classically, using the smoothness of M , namely the *independency* of the c differentials:

$$dr_1, \dots, dr_c,$$

a so-called *Hadamard lemma* yields then that these vanishings produce:

$$\begin{aligned} \mathcal{M}(r_1) &= \text{function}_1^1 r_1 + \dots + \text{function}_1^c r_c, \\ &\dots\dots\dots \\ \mathcal{M}(r_c) &= \text{function}_c^1 r_1 + \dots + \text{function}_c^c r_c. \end{aligned}$$

These reminders being done, here are precisions about claim **(i)** left above.

Take two tangent vector field sections of $\mathbb{C} \otimes_{\mathbb{R}} TM$:

$$\mathcal{M} \quad \text{and} \quad \mathcal{N},$$

so that, simultaneously also:

$$\begin{aligned} \mathcal{N}(r_1) &= \text{function}_1^1 r_1 + \dots + \text{function}_1^c r_c, \\ &\dots\dots\dots \\ \mathcal{N}(r_c) &= \text{function}_c^1 r_1 + \dots + \text{function}_c^c r_c. \end{aligned}$$

Then by the very definition of the Lie bracket acting as a derivation on functions:

$$[\mathcal{M}, \mathcal{N}](r_j) = \mathcal{M}(\mathcal{N}(r_j)) - \mathcal{N}(\mathcal{M}(r_j)).$$

The reader will then easily check that for $j = 1, \dots, c$, one yet has:

$$[\mathcal{M}, \mathcal{N}](r_j) = \text{function}_j^1 r_1 + \dots + \text{function}_j^c r_c,$$

which proves that tangency is preserved under taking Lie brackets, and which concludes the proof of the fundamental proposition. \square

Scholium. *The local frame for $T^{1,0}M$ of the preceding proposition:*

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} + \mathbf{A}_1^1(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_1} + \dots\dots\dots + \mathbf{A}_1^c(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_c} \\ &\dots\dots\dots \\ \mathcal{L}_n &= \frac{\partial}{\partial z_n} + \mathbf{A}_n^1(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_1} + \dots\dots\dots + \mathbf{A}_n^c(x_\bullet, y_\bullet, u_\bullet) \frac{\partial}{\partial w_c}, \end{aligned}$$

whose coefficient functions are given, for $i = 1, \dots, n$, explicitly by:

$$A_i^1 = \left(\begin{array}{cccc|cccc} -2\varphi_{1,z_i} & \varphi_{1,u_2} & \cdots & \varphi_{1,u_c} & \sqrt{-1} + \varphi_{1,u_1} & \cdots & \cdots & -2\varphi_{1,z_i} \\ -2\varphi_{2,z_i} & \sqrt{-1} + \varphi_{2,u_2} & \cdots & \varphi_{2,u_c} & \varphi_{2,u_1} & \cdots & \cdots & -2\varphi_{2,z_i} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \cdots & \vdots \\ -2\varphi_{c,z_i} & \varphi_{c,u_2} & \cdots & \sqrt{-1} + \varphi_{c,u_c} & \varphi_{c,u_1} & \cdots & \cdots & -2\varphi_{c,z_i} \end{array} \right), \dots, A_i^c = \left(\begin{array}{cccc|cccc} \sqrt{-1} + \varphi_{1,u_1} & \cdots & \cdots & \varphi_{1,u_c} & \sqrt{-1} + \varphi_{1,u_1} & \cdots & \cdots & \varphi_{1,u_c} \\ \varphi_{2,u_1} & \cdots & \cdots & \varphi_{2,u_c} & \varphi_{2,u_1} & \cdots & \cdots & \varphi_{2,u_c} \\ \vdots & \ddots & \cdots & \vdots & \vdots & \ddots & \cdots & \vdots \\ \varphi_{c,u_1} & \cdots & \sqrt{-1} + \varphi_{c,u_c} & \varphi_{c,u_c} & \varphi_{c,u_1} & \cdots & \sqrt{-1} + \varphi_{c,u_c} & \varphi_{c,u_c} \end{array} \right).$$

which is closed under taking Lie brackets:

$$[\mathcal{L}_{i_1}, \mathcal{L}_{i_2}] \equiv 0 \pmod{(\mathcal{L}_1, \dots, \mathcal{L}_n)}$$

in fact even satisfies the better property of being commutative:

$$[\mathcal{L}_{i_1}, \mathcal{L}_{i_2}] = 0,$$

for $1 \leq i_1, i_2 \leq n$.

Proof. When one looks at what such a Lie bracket can give:

$$[\mathcal{L}_{i_1}, \mathcal{L}_{i_2}] = \left[\frac{\partial}{\partial z_{i_1}} + \sum_{j=1}^c A_{i_1}^j \frac{\partial}{\partial w_j}, \frac{\partial}{\partial z_{i_2}} + \sum_{j=1}^c A_{i_2}^j \frac{\partial}{\partial w_j} \right],$$

one realizes that because both coefficient-functions:

$$1 \text{ of } \frac{\partial}{\partial z_{i_1}} \quad \text{and} \quad 1 \text{ of } \frac{\partial}{\partial z_{i_2}}$$

are constant, they *disappear* after one derivation, so that:

$$[\mathcal{L}_{i_1}, \mathcal{L}_{i_2}] = \text{absolutely no } \frac{\partial}{\partial z} + \sum_{j=1}^c \left(\mathcal{L}_{i_1}(A_{i_2}^j) - \mathcal{L}_{i_2}(A_{i_1}^j) \right) \frac{\partial}{\partial w_j}$$

without any need to expand more. Hence, because this result must a linear combination of $\mathcal{L}_1, \dots, \mathcal{L}_n$ which do truly contain the independent $\frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_n}$, such a linear combination can only be plainly zero.

Interestingly, one may also produce a proof of commutation by direct computation.

Restrict to $c = 1$ for simplicity:

$$v = \varphi(x_\bullet, y_\bullet, u),$$

otherwise, one would have to spend time to set up an appropriate formalism with determinants. In codimension $c = 1$:

$$\mathcal{L}_i = \frac{\partial}{\partial z_i} - \frac{2\varphi_{z_i}}{\sqrt{-1} + \varphi_u} \frac{\partial}{\partial w},$$

Accordingly — mind font differences —, set:

$$\boxed{\begin{array}{l} A_1^1 := \frac{A_1^1}{2}, \dots, A_1^c := \frac{A_1^c}{2}, \\ \dots\dots\dots \\ A_n^1 := \frac{A_n^1}{2}, \dots, A_n^c := \frac{A_n^c}{2}. \end{array}}$$

The *intrinsic* generators for $T^{1,0}M$ will be written plainly:

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} + A_1^1 \frac{\partial}{\partial u_1} + \dots + A_1^c \frac{\partial}{\partial u_c}, \\ &\dots\dots\dots \\ \mathcal{L}_n &= \frac{\partial}{\partial z_n} + A_n^1 \frac{\partial}{\partial u_1} + \dots + A_n^c \frac{\partial}{\partial u_c}, \end{aligned}$$

while those for $T^{0,1}M$ are their conjugates:

$$\begin{aligned} \overline{\mathcal{L}}_1 &= \frac{\partial}{\partial \bar{z}_1} + \overline{A}_1^1 \frac{\partial}{\partial u_1} + \dots + \overline{A}_1^c \frac{\partial}{\partial u_c}, \\ &\dots\dots\dots \\ \overline{\mathcal{L}}_n &= \frac{\partial}{\partial \bar{z}_n} + \overline{A}_n^1 \frac{\partial}{\partial u_1} + \dots + \overline{A}_n^c \frac{\partial}{\partial u_c}. \end{aligned}$$

Real analytic CR functions. Consider as above a CR-generic:

$$\begin{aligned} M^{2n+c} &\subset \mathbb{C}^{n+c} \\ (c = \text{codim } M, \quad n = \text{CRdim } M), \end{aligned}$$

Definition. A \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω function:

$$f: M \longrightarrow \mathbb{C}$$

is called *Cauchy-Riemann* (CR for short) when:

$$0 \equiv \overline{\mathcal{L}}(f),$$

for every (local) section:

$$\overline{\mathcal{L}}$$

of $T^{0,1}M$.

Theorem. On a \mathcal{C}^ω CR-generic $M^{2n+c} \subset \mathbb{C}^{n+c}$, a \mathcal{C}^ω function is CR if and only if it is the restriction to M :

$$f = F|_M$$

of a function F holomorphic in some neighborhood of M .

Proof. The statement being local, pick $p \in M$, choose p -centered affine holomorphic coordinates:

$$\begin{aligned} (z_1, \dots, z_n, w_1, \dots, w_c) &= (z_\bullet, w_\bullet) \\ &= (z_\bullet, u_\bullet + \sqrt{-1}v_\bullet), \end{aligned}$$

in which c graphing equations for M are:

$$\begin{aligned} v_1 &= \varphi_1(z_\bullet, \bar{z}_\bullet, u_\bullet), \\ \dots\dots\dots \\ v_c &= \varphi_c(z_\bullet, \bar{z}_\bullet, u_\bullet), \end{aligned}$$

with:

$$\begin{aligned} 0 &= \varphi_1(0) = d\varphi_1(0), \\ \dots\dots\dots \\ 0 &= \varphi_c(0) = d\varphi_c(0); \end{aligned}$$

here, since the graphing functions φ_j are assumed to be *real analytic*, they are converging power series in $(x_\bullet, y_\bullet, u_\bullet)$, but it will be more appropriate to consider them as converging power series in $(z_\bullet, \bar{z}_\bullet, u_\bullet)$:

$$\varphi_j(z_\bullet, \bar{z}_\bullet, u_\bullet) = \sum_{\alpha_\bullet \in \mathbb{N}^n} \sum_{\beta_\bullet \in \mathbb{N}^n} \sum_{\gamma_\bullet \in \mathbb{N}^c} \underbrace{\varphi_{j, \alpha_\bullet, \beta_\bullet, \gamma_\bullet}}_{\in \mathbb{C}} (z_\bullet)^{\alpha_\bullet} (\bar{z}_\bullet)^{\beta_\bullet} (u_\bullet)^{\gamma_\bullet},$$

whose coefficients satisfy a Cauchy-type estimate:

$$|\varphi_{j, \alpha_\bullet, \beta_\bullet, \gamma_\bullet}| \leq \text{constant} \left(\frac{1}{\text{radius}} \right)^{|\alpha_\bullet| + |\beta_\bullet| + |\gamma_\bullet|},$$

the two constants:

$$\text{constant} > 0, \quad \text{radius} > 0$$

being positive, the second one not necessarily assumed to be close to the true radius of convergence.

By what precedes, a frame for $T^{0,1}M$ is of the form:

$$\begin{aligned} \bar{\mathcal{L}}_1 &= \frac{\partial}{\partial \bar{z}_1} + \bar{A}_1^1 \frac{\partial}{\partial \bar{w}_1} + \dots + \bar{A}_1^c \frac{\partial}{\partial \bar{w}_c}, \\ \dots\dots\dots \\ \bar{\mathcal{L}}_n &= \frac{\partial}{\partial \bar{z}_n} + \bar{A}_n^1 \frac{\partial}{\partial \bar{w}_1} + \dots + \bar{A}_n^c \frac{\partial}{\partial \bar{w}_c}. \end{aligned}$$

Now, take a function:

$$F(z_1, \dots, z_n, w_1, \dots, w_c)$$

which is holomorphic in some neighborhood of the origin, namely:

$$F(z_\bullet, w_\bullet) = \sum_{\alpha_\bullet \in \mathbb{N}^n} \sum_{\beta_\bullet \in \mathbb{N}^c} F_{\alpha_\bullet, \beta_\bullet}(z_\bullet)^{\alpha_\bullet} (w_\bullet)^{\beta_\bullet}$$

with Cauchy-type control:

$$|F_{\alpha_\bullet, \beta_\bullet}| \leq \text{constant} \left(\frac{1}{\text{radius}} \right)^{|\alpha_\bullet| + |\beta_\bullet|}.$$

Then because the $\overline{\mathcal{L}}_i$ are antiholomorphic derivations, from:

$$0 \equiv \frac{\partial}{\partial \overline{z}_{i_1}}(z_{i_2}) \quad (1 \leq i_1, i_2 \leq n),$$

one easily gets:

$$0 \equiv \overline{\mathcal{L}}_1(F) \equiv \dots \equiv \overline{\mathcal{L}}_n(F),$$

which shows that the restriction:

$$\begin{aligned} f &:= F|_M \\ &= F(z_\bullet, u_\bullet + \sqrt{-1}\varphi_\bullet(z_\bullet, \overline{z}_\bullet, u_\bullet)) \end{aligned}$$

is CR, and of course \mathcal{C}^ω too.

Conversely, start with a function:

$$f \in \mathcal{C}_{CR}^\omega(M).$$

Again, localize the study at some point $p \in M$, and take (z_\bullet, w_\bullet) -coordinates as above.

The natural (horizontal) coordinates on M being:

$$(z_\bullet, \overline{z}_\bullet, u_\bullet),$$

one expresses the real analyticity of f as:

$$f = \sum_{\alpha_\bullet \in \mathbb{N}^n} \sum_{\beta_\bullet \in \mathbb{N}^n} \sum_{\gamma_\bullet \in \mathbb{N}^c} \underbrace{f_{\alpha_\bullet, \beta_\bullet, \gamma_\bullet}}_{\in \mathbb{C}} (z_\bullet)^{\alpha_\bullet} (\overline{z}_\bullet)^{\beta_\bullet} (u_\bullet)^{\gamma_\bullet},$$

with:

$$|f_{\alpha_\bullet, \beta_\bullet, \gamma_\bullet}| \leq \text{constant} \left(\frac{1}{\text{radius}} \right)^{|\alpha_\bullet| + |\beta_\bullet| + |\gamma_\bullet|}.$$

To analyze the problem, assume temporarily that a holomorphic F exists:

$$f(z_\bullet, \overline{z}_\bullet, u_\bullet) \equiv F(z_\bullet, u_\bullet + \sqrt{-1}\varphi_\bullet(z_\bullet, \overline{z}_\bullet, u_\bullet)).$$

Complexify the real variables u_1, \dots, u_c , namely introduce new complex variables:

$$(v_1, \dots, v_c)$$

with:

$$(u_1, \dots, u_c) = (\text{Re } v_1, \dots, \text{Re } v_c).$$

Because it just concerns power series, the above identity transfers to complexified variables:

$$f(z_\bullet, \bar{z}_\bullet, \nu_\bullet) \equiv F(z_\bullet, \underbrace{\nu_\bullet + \sqrt{-1}\varphi_\bullet(z_\bullet, \bar{z}_\bullet, \nu_\bullet)}_{=: w_\bullet}).$$

Dropping now the heuristic assumption, using:

$$0 = \varphi_\bullet(0) = d\varphi_\bullet(0),$$

the analytic implicit function theorem solves the c equations:

$$w_\bullet = \nu_\bullet + \sqrt{-1}\varphi_\bullet(z_\bullet, \bar{z}_\bullet, \nu_\bullet),$$

yielding a local analytic solution:

$$\nu_\bullet = \Lambda_\bullet(z_\bullet, \bar{z}_\bullet, w_\bullet),$$

which by definition satisfies identically:

$$v_\bullet \equiv \Lambda_\bullet(z_\bullet, \bar{z}_\bullet, \nu_\bullet + \sqrt{-1}\varphi_\bullet(z_\bullet, \bar{z}_\bullet, \nu_\bullet)),$$

and also:

$$\boxed{w_j \equiv \Lambda_j(z_\bullet, \bar{z}_\bullet, w_\bullet) + \sqrt{-1}\varphi_j(z_\bullet, \bar{z}_\bullet, \Lambda_\bullet(z_\bullet, \bar{z}_\bullet, w_\bullet))} \\ (j=1 \dots c).$$

Now, define:

$$\boxed{F(z_\bullet, \bar{z}_\bullet, w_\bullet) := f(z_\bullet, \bar{z}_\bullet, \Lambda_\bullet(z_\bullet, \bar{z}_\bullet, w_\bullet))}.$$

This function satisfies:

$$F(z_\bullet, \bar{z}_\bullet, u_\bullet + \sqrt{-1}\varphi_\bullet(z_\bullet, \bar{z}_\bullet, u_\bullet)) = f(z_\bullet, \bar{z}_\bullet, \underbrace{\Lambda_\bullet(z_\bullet, \bar{z}_\bullet, u_\bullet + \sqrt{-1}\varphi_\bullet(z_\bullet, \bar{z}_\bullet, u_\bullet))}_{\equiv u_\bullet}) \\ = f(z_\bullet, \bar{z}_\bullet, u_\bullet),$$

that is to say:

$$F|_M = f.$$

Moreover, F is visibly holomorphic with respect to:

$$w_\bullet = (w_1, \dots, w_c).$$

Claim. *Because f was assumed to be CR, this function F is in fact also holomorphic with respect to all the remaining variables:*

$$z_\bullet = (z_1, \dots, z_n).$$

Proof. For fixed $i = 1, \dots, n$, one would like to see that:

$$\begin{aligned} 0 &\stackrel{?}{=} \frac{\partial F}{\partial \bar{z}_i} \\ &= \frac{\partial f}{\partial \bar{z}_i} + \sum_{j=1}^c \frac{\partial \Lambda_j}{\partial \bar{z}_i} \frac{\partial f}{\partial u_j} ? \end{aligned}$$

But classically, fixing i , the partial derivatives:

$$\frac{\partial \Lambda_j}{\partial \bar{z}_i}$$

can be computed by coming back to the implicit equation (boxed above) that Λ_\bullet solves, and by differentiating it with respect to \bar{z}_i :

$$\begin{aligned} 0 &\equiv \frac{\partial \Lambda_j}{\partial \bar{z}_i} + \sqrt{-1} \varphi_{j, \bar{z}_i} + \sqrt{-1} \sum_{l=1}^c \varphi_{j, u_l} \frac{\partial \Lambda_l}{\partial \bar{z}_i} \\ &\quad (j=1 \dots c). \end{aligned}$$

But here, one recognizes, up to an overall multiplication by $\sqrt{-1}$, the linear system seen previously:

$$0 = -\sqrt{-1} \frac{1}{2} \bar{\mathbf{A}}_i^j + \varphi_{j, \bar{z}_i} + \sum_{l=1}^c \varphi_{j, u_l} \frac{1}{2} \bar{\mathbf{A}}_i^l$$

that the coefficients $\bar{\mathbf{A}}_i^1, \dots, \bar{\mathbf{A}}_i^c$ of the:

$$\bar{\mathcal{L}}_i = \frac{\partial}{\partial \bar{z}_i} + \sum_{j=1}^c \bar{\mathbf{A}}_i^j \frac{\partial}{\partial w_j}$$

satisfied, whence because the solutions to this linear system were unique, it must be that:

$$\boxed{\frac{\partial \Lambda_j}{\partial \bar{z}_i} = \frac{1}{2} \bar{\mathbf{A}}_i^j.}$$

Lastly:

$$\begin{aligned} \frac{\partial F}{\partial \bar{z}_i} &= \frac{\partial f}{\partial \bar{z}_i} + \sum_{j=1}^c \bar{\mathbf{A}}_i^j \frac{1}{2} \frac{\partial f}{\partial u_j} \\ &= \bar{\mathcal{L}}_i(f) \\ &\equiv 0, \end{aligned}$$

since f was assumed to be CR. □

Thus, F is an extension of f that is holomorphic, which concludes. □

Action of local biholomorphisms. Again, consider:

$$M^{2n+c} \subset \mathbb{C}^{n+c}$$

a connected \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω submanifold which is CR generic:

$$TM + J(TM) = T\mathbb{C}^{n+c}|_M,$$

with:

$$n = \text{CRdim } M,$$

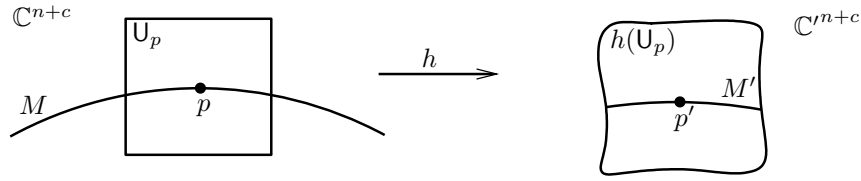
$$c = \text{codim } M.$$

Pick a point $p \in M$ and take a small open polydisc neighborhood:

$$p \in U_p \subset \mathbb{C}^{n+c}.$$

Consider a local biholomorphism:

$$h: U_p \xrightarrow{\sim} h(U_p) \subset \mathbb{C}^{n+c}.$$



Set:

$$p' := h(p)$$

and:

$$M' := h(M \cap U_p).$$

Lemma. Then M' is a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω CR-generic submanifold of the target \mathbb{C}^{n+c} having the same CR dimension and the same codimension:

$$n = \text{CRdim } M' = \text{CRdim } M$$

$$c = \text{codim } M' = \text{codim } M,$$

and moreover, for every $q \in M \cap U_p$:

$$h_*(T_q^c M) = T_{h(q)}^c M'.$$

Proof. Genericity of $M \cap U_p$ is:

$$T_q M + J(T_q M) = T_q \mathbb{C}^{n+c} \quad (q \in U_p).$$

Since the biholomorphism h is in particular a diffeomorphism:

$$h_*(T_q \mathbb{C}^{n+c}) = T_{h(q)} \mathbb{C}^{n+c},$$

$$h_*(T_q M) = T_{h(q)} M',$$

again for all $q \in U_p$.

Also:

$$\begin{aligned} h_*(J(T_q M)) &= J'(h_*(T_q M)) \\ &= J'(T_{h(q)} M'), \end{aligned}$$

whence:

$$\begin{aligned} T_{h(q)}\mathbb{C}^{m+c} &= h_*(T_q\mathbb{C}^{n+c}) \\ &= h_*(T_q M + J(T_q M)) \\ &= h_*(T_q M) + h_*(J(T_q M)) \\ &= T_{h(q)} M' + J'(h_*(T_q M)) \\ &= T_{h(q)} M' + J'(T_{h(q)} M'), \end{aligned}$$

[Apply $h_* \circ J = J' \circ h_*$]

namely the image $M' = h(M)$ is also CR-generic at every $q \in U_p$.

Lastly:

$$\begin{aligned} h_*(T_q^c M) &= h_*(T_q M \cap J(T_q M)) \\ &= h_*(T_q M) \cap J'(h_*(T_q M)) \\ &= T_{h(q)} M \cap J'(T_{h(q)} M') \\ &= T_{h(q)}^c M', \end{aligned}$$

which concludes. \square

Lemma. *Under the same assumptions, one has in addition:*

$$\begin{aligned} h_*(T_q^{1,0} M) &= T_{h(q)}^{1,0} M', \\ h_*(T_q^{0,1} M) &= T_{h(q)}^{0,1} M'. \end{aligned}$$

Proof. Compute:

$$\begin{aligned} h_*(T_q^{1,0} M) &= h_*(T_q^{1,0}\mathbb{C}^{n+c} \cap [\mathbb{C} \otimes_{\mathbb{R}} T_q M]) \\ &= h_*(T_q^{1,0}\mathbb{C}^{n+c}) \cap h_*(\mathbb{C} \otimes T_q M) \\ &= T_{h(q)}^{1,0}\mathbb{C}^{m+c} \cap [\mathbb{C} \otimes T_{h(q)} M] \\ &= T_{h(q)}^{1,0} M'. \end{aligned}$$

For $T^{0,1}$, proceed similarly or else, conjugate this. \square

Exercise. Using the preceding lemma, get the same conclusion from:

$$T_q^{1,0} M = \{X_q - \sqrt{-1} J(X_q) : X_q \in T_q^c M\}. \quad \square$$

Lemma. *Given two local vector field sections:*

$$\mathcal{P} \quad \text{and} \quad \mathcal{Q}$$

Differential forms on $\mathbb{C}^N = \mathbb{R}^{2N}$. Now, on some open subset:

$$U \subset \mathbb{C}^N = \mathbb{R}^{2N},$$

in the standard coordinates:

$$(x_1 + \sqrt{-1}y_1, \dots, x_N + \sqrt{-1}y_N),$$

a natural coframe for the cotangent bundle:

$$T^*\mathbb{R}^{2N}$$

consists of the $2N$ differential 1-forms:

$$dx_1, dy_1, \dots, dx_N, dy_N,$$

in the sense that every (local) differential 1-form writes:

$$\sum_{k=1}^N (a_k(x_\bullet, y_\bullet) dx_k + b_k(x_\bullet, y_\bullet) dy_k),$$

with $2N$ real-valued coefficient-functions:

$$a_k, b_k: U \longrightarrow \mathbb{R}.$$

Similarly, a (local) complex-valued differential 1-form, namely a section of:

$$\mathbb{C} \otimes_{\mathbb{R}} T^*\mathbb{R}^{2N}$$

over U writes:

$$\sum_{k=1}^N (\alpha_k(x_\bullet, y_\bullet) dx_k + \beta_k(x_\bullet, y_\bullet) dy_k),$$

with $2N$ complex-valued coefficient-functions:

$$\alpha_k, \beta_k: U \longrightarrow \mathbb{C}.$$

The differentials of:

$$z_k = x_k + \sqrt{-1}y_k,$$

$$\bar{z}_k = x_k - \sqrt{-1}y_k$$

being:

$$dz_k = dx_k + \sqrt{-1}dy_k,$$

$$d\bar{z}_k = dx_k - \sqrt{-1}dy_k,$$

if one solves:

$$dx_k = \frac{1}{2}(dz_k + d\bar{z}_k),$$

$$dy_k = \frac{\sqrt{-1}}{2}(-dz_k + d\bar{z}_k),$$

one obtains equivalently that sections of $\mathbb{C} \otimes_{\mathbb{R}} T^*\mathbb{R}^{2N}$ also write:

$$\sum_{k=1}^N (\tilde{\alpha}_k(x_\bullet, y_\bullet) dz_k + \tilde{\beta}_k(x_\bullet, y_\bullet) d\bar{z}_k),$$

with $2N$ complex-valued coefficient-functions:

$$\tilde{\alpha}_k, \tilde{\beta}_k: \quad \mathbb{U} \longrightarrow \mathbb{C}$$

related to the previous ones by:

$$\begin{aligned}\tilde{\alpha}_k &= \frac{1}{2} \alpha_k - \frac{\sqrt{-1}}{2} \beta_k, \\ \tilde{\beta}_k &= \frac{1}{2} \alpha_k + \frac{\sqrt{-1}}{2} \beta_k.\end{aligned}$$

Now, consider a \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω diffeomorphism:

$$(f, g): \quad \mathbb{U} \xrightarrow{\sim} \mathbb{U}' = (f, g)(\mathbb{U}),$$

written as:

$$\begin{aligned}(\mathbf{x}_1, \mathbf{y}_1, \dots, \mathbf{x}_N, \mathbf{y}_N) &\longmapsto (f_1(\mathbf{x}_\bullet, \mathbf{y}_\bullet), g_1(\mathbf{x}_\bullet, \mathbf{y}_\bullet), \dots, f_N(\mathbf{x}_\bullet, \mathbf{y}_\bullet), g_N(\mathbf{x}_\bullet, \mathbf{y}_\bullet)) \\ &=: (\mathbf{x}'_1, \mathbf{y}'_1, \dots, \mathbf{x}'_N, \mathbf{y}'_N),\end{aligned}$$

the target coordinates having a prime, with target coframe:

$$d\mathbf{x}'_1, d\mathbf{y}'_1, \dots, d\mathbf{x}'_N, d\mathbf{y}'_N.$$

Pulback of differential 1-forms. Under the diffeomorphism (f, g) :

$$\begin{aligned}(f, g)^*(d\mathbf{x}'_l) &= \sum_{k=1}^N \left(f_{l, \mathbf{x}_k}(\mathbf{x}_\bullet, \mathbf{y}_\bullet) d\mathbf{x}_k + f_{l, \mathbf{y}_k}(\mathbf{x}_\bullet, \mathbf{y}_\bullet) d\mathbf{y}_k \right), \\ (f, g)^*(d\mathbf{y}'_l) &= \sum_{k=1}^N \left(g_{l, \mathbf{x}_k}(\mathbf{x}_\bullet, \mathbf{y}_\bullet) d\mathbf{x}_k + g_{l, \mathbf{y}_k}(\mathbf{x}_\bullet, \mathbf{y}_\bullet) d\mathbf{y}_k \right).\end{aligned}$$

When dealing with differential 1-forms having complex coefficients, one still uses the symbol:

$$(f, g)^*(\cdot).$$

When such a diffeomorphism comes from a *biholomorphism*:

$$\begin{aligned}h: \quad \mathbb{U} &\xrightarrow{\sim} \mathbb{U}' = h(\mathbb{U}) \\ (\mathbf{z}_1, \dots, \mathbf{z}_N) &\longmapsto (h_1(\mathbf{z}_\bullet), \dots, h_N(\mathbf{z}_\bullet)) \\ &=: (\mathbf{z}'_1, \dots, \mathbf{z}'_N),\end{aligned}$$

one has:

$$\begin{aligned}h^*(d\mathbf{z}'_l) &= \sum_{k=1}^N h_{l, \mathbf{z}_k}(\mathbf{z}_\bullet) d\mathbf{z}_k, \\ h^*(d\bar{\mathbf{z}}'_l) &= \sum_{k=1}^N \overline{h_{l, \mathbf{z}_k}(\mathbf{z}_\bullet)} d\bar{\mathbf{z}}_k,\end{aligned}$$

and this motivates the introduction of two specific subbundles of $\mathbb{C} \otimes_{\mathbb{R}} T^*\mathbb{R}^{2N}$.

Definition. The subbundle:

$$T^{*(1,0)}\mathbb{R}^{2N} \subset \mathbb{C} \otimes_{\mathbb{R}} T^*\mathbb{R}^{2N}$$

is defined in terms of its local sections of the form:

$$\sum_{k=1}^N \alpha_k(x_{\bullet}, y_{\bullet}) dz_k,$$

with complex-valued coefficient-functions α_k . Similarly:

$$T^{*(0,1)}\mathbb{R}^{2N} \subset \mathbb{C} \otimes_{\mathbb{R}} T^*\mathbb{R}^{2N}$$

has local sections of the form:

$$\sum_{k=1}^N \beta_k(x_{\bullet}, y_{\bullet}) d\bar{z}_k.$$

One easily checks:

$$\mathbb{C} \otimes_{\mathbb{R}} T^*\mathbb{R}^{2N} = T^{*(1,0)}\mathbb{R}^{2N} \oplus T^{*(0,1)}\mathbb{R}^{2N}.$$

Also, by what precedes, these bundles are invariant through local biholomorphisms:

$$h^*(T^{*(1,0)}\mathbb{C}'^N) = T^{*(1,0)}\mathbb{C}^N,$$

$$h^*(T^{*(0,1)}\mathbb{C}'^N) = T^{*(0,1)}\mathbb{C}^N,$$

since for instance, a local section of $T^{*(1,0)}\mathbb{C}'^N$:

$$\sum_{k=1}^N \alpha'_k dz'_k$$

is transformed to:

$$\begin{aligned} h^*\left(\sum_{k=1}^N \alpha'_k dz'_k\right) &= \sum_{k=1}^N \alpha'_k \circ h^{-1} \cdot h^*(dz'_k) \\ &= \sum_{k=1}^N \sum_{l=1}^N \alpha'_k \circ h^{-1} h_{k,z_l} \cdot dz_l, \end{aligned}$$

which is still under the form of a general section of $T^{*(1,0)}\mathbb{C}^N$.

Next, given any \mathcal{C}^κ ($\kappa \geq 1$), or \mathcal{C}^∞ , or \mathcal{C}^ω (local) function:

$$f = f(x_{\bullet}, y_{\bullet}),$$

one defines its *differential*:

$$df := \sum_{k=1}^N (f_{x_k} dx_k + f_{y_k} dy_k),$$

together with:

$$\begin{aligned} \partial f &:= \sum_{k=1}^N f_{z_k} dz_k, \\ \bar{\partial} f &:= \sum_{k=1}^N f_{\bar{z}_k} d\bar{z}_k, \end{aligned}$$

so that one checks:

$$df = \partial f + \bar{\partial} f.$$

Pairings. The action of basic 1-forms on basic vector fields on \mathbb{R}^{2N} is:

$$\begin{aligned} dx_{k_1} \left(\frac{\partial}{\partial x_{k_2}} \right) &= \delta_{k_1, k_2}, \\ dx_k \left(\frac{\partial}{\partial y_l} \right) &= 0, \\ dy_l \left(\frac{\partial}{\partial x_k} \right) &= 0, \\ dy_{l_1} \left(\frac{\partial}{\partial y_{l_2}} \right) &= \delta_{l_1, l_2}. \end{aligned}$$

Also:

$$\begin{aligned} dz_{k_1} \left(\frac{\partial}{\partial z_{k_2}} \right) &= \delta_{k_1, k_2}, \\ dz_k \left(\frac{\partial}{\partial \bar{z}_l} \right) &= 0, \\ d\bar{z}_l \left(\frac{\partial}{\partial z_k} \right) &= 0, \\ d\bar{z}_{l_1} \left(\frac{\partial}{\partial \bar{z}_{l_2}} \right) &= \delta_{l_1, l_2}, \end{aligned}$$

everything being coherent, for instance because:

$$\begin{aligned} dz_{k_1} \left(\frac{\partial}{\partial z_{k_2}} \right) &= (dx_{k_1} + \sqrt{-1} dy_{k_1}) \left(\frac{1}{2} \frac{\partial}{\partial x_{k_2}} - \frac{\sqrt{-1}}{2} \frac{\partial}{\partial y_{k_2}} \right) \\ &= 1 \cdot \frac{1}{2} \delta_{k_1, k_2} - \sqrt{-1} \frac{\sqrt{-1}}{2} \delta_{k_1, k_2} \\ &= \delta_{k_1, k_2}. \end{aligned}$$

Generally, a differential 1-form:

$$\omega = \sum_{k=1}^N \left(\alpha_k(x_\bullet, y_\bullet) dx_k + \beta_k(x_\bullet, y_\bullet) dy_k \right)$$

acts on a general vector field:

$$L = \sum_{k=1}^N \left(\gamma_k(x_\bullet, y_\bullet) \frac{\partial}{\partial x_k} + \delta_k(x_\bullet, y_\bullet) \frac{\partial}{\partial y_k} \right)$$

(both having either real or complex coefficient-functions) to provide the function:

$$\omega(L) := \sum_{k=1}^N \left(\alpha_k(x_\bullet, y_\bullet) \gamma_k(x_\bullet, y_\bullet) + \beta_k(x_\bullet, y_\bullet) \delta_k(x_\bullet, y_\bullet) \right).$$

Similarly and equivalently:

$$\left(\sum_{k=1}^N \left(\tilde{\alpha}_k dz_k + \tilde{\beta}_k d\bar{z}_k \right) \right) \left(\sum_{k=1}^N \left(\tilde{\gamma}_k \frac{\partial}{\partial z_k} + \tilde{\delta}_k \frac{\partial}{\partial \bar{z}_k} \right) \right) := \sum_{k=1}^N \left(\tilde{\alpha}_k \tilde{\gamma}_k + \tilde{\beta}_k \tilde{\delta}_k \right).$$

Now, consider a CR-generic:

$$M^{2n+c} \subset \mathbb{C}^{n+c}$$

($c = \text{codim } M$, $n = \text{CRdim } M$),

having equations:

$$\begin{aligned} v_1 &= \varphi_1(x_\bullet, y_\bullet, u_\bullet), \\ &\dots\dots\dots \\ v_c &= \varphi_c(x_\bullet, y_\bullet, u_\bullet), \end{aligned}$$

and intrinsic $T^{1,0}M$ -generators:

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} + A_1^1 \frac{\partial}{\partial u_1} + \dots\dots\dots + A_1^c \frac{\partial}{\partial u_c}, \\ &\dots\dots\dots \\ \mathcal{L}_n &= \frac{\partial}{\partial z_n} + A_n^1 \frac{\partial}{\partial u_1} + \dots\dots\dots + A_n^c \frac{\partial}{\partial u_c}. \end{aligned}$$

Introduce the n differential 1-forms together with their n conjugates:

$$\begin{aligned} \zeta_{01} &= dz_1, & \bar{\zeta}_{01} &= d\bar{z}_1, \\ &\dots\dots\dots & & \\ \zeta_{0n} &= dz_n, & \bar{\zeta}_{0n} &= d\bar{z}_n, \end{aligned}$$

which satisfy:

$$\begin{aligned}\zeta_{0i_1}(\mathcal{L}_{i_2}) &= \delta_{i_1, i_2}, \\ \zeta_{0i}(\overline{\mathcal{L}}_l) &= 0, \\ \overline{\zeta}_{0l}(\mathcal{L}_i) &= 0, \\ \overline{\zeta}_{0l_1}(\overline{\mathcal{L}}_{l_2}) &= \delta_{l_1, l_2}.\end{aligned}$$

Also, introduce the *real-valued* differential 1-forms:

$$\begin{aligned}\rho_{01} &= du_1 - A_1^1 dz_1 - \cdots - A_n^1 dz_n - \overline{A}_1^1 d\overline{z}_1 - \cdots - \overline{A}_n^1 d\overline{z}_n, \\ \dots & \\ \rho_{0c} &= du_1 - A_1^c dz_1 - \cdots - A_n^c dz_n - \overline{A}_1^c d\overline{z}_1 - \cdots - \overline{A}_n^c d\overline{z}_n,\end{aligned}$$

which visibly satisfy:

$$\begin{aligned}0 &= \rho_{01}(\mathcal{L}_1) = \cdots = \rho_{01}(\mathcal{L}_n) = \rho_{01}(\overline{\mathcal{L}}_1) = \cdots = \rho_{01}(\overline{\mathcal{L}}_n), \\ \dots & \\ 0 &= \rho_{0c}(\mathcal{L}_1) = \cdots = \rho_{0c}(\mathcal{L}_n) = \rho_{0c}(\overline{\mathcal{L}}_1) = \cdots = \rho_{0c}(\overline{\mathcal{L}}_n).\end{aligned}$$

These vanishings will be regularly abbreviated as:

$$\boxed{\{0 = \rho_{01} = \cdots = \rho_{0c}\} = T^{1,0}M \oplus T^{0,1}M,}$$

within $\mathbb{C} \otimes_{\mathbb{R}} TM$, of course.

Also, one realizes that:

$$\boxed{\begin{aligned}\{0 = \rho_{01} = \cdots = \rho_{0c} = \overline{\zeta}_{01} = \cdots = \overline{\zeta}_{0n}\} &= T^{1,0}M, \\ \{0 = \rho_{01} = \cdots = \rho_{0c} = \zeta_{01} = \cdots = \zeta_{0n}\} &= T^{0,1}M.\end{aligned}}$$

Now, since any local biholomorphism:

$$h: M \longrightarrow M'$$

satisfies:

$$\begin{aligned}h_*(T^{1,0}M) &= T^{1,0}M', \\ h_*(T^{0,1}M) &= T^{0,1}M', \\ h_*\left(\underbrace{T^{1,0}M \oplus T^{0,1}M}_{\{0=\rho_{01}=\cdots=\rho_{0c}\}}\right) &= \underbrace{T^{1,0}M' \oplus T^{0,1}M'}_{\{0=\rho'_{01}=\cdots=\rho'_{0c}\}};\end{aligned}$$

if one allows to denote by the same symbol:

$$h_* \equiv (h^{-1})^*$$

the natural pullback action of the *inverse* h^{-1} of h on differential 1-forms, it follows that:

$$\begin{aligned} h_*(\rho_{01}) &= b'_{11} \rho'_{01} + \cdots + b'_{1c} \rho'_{0c}, \\ &\dots\dots\dots \\ h_*(\rho_{0c}) &= b'_{c1} \rho'_{01} + \cdots + b'_{cc} \rho'_{0c}, \end{aligned}$$

for some local functions:

$$b'_{j_1 j_2}: M' \longrightarrow \mathbb{C}$$

whose $c \times c$ matrix is invertible.

Similarly:

$$\begin{aligned} h_*(\zeta_{01}) &= d'_{11} \rho'_{01} + \cdots + d'_{1c} \rho'_{0c} + e'_{11} \zeta'_{01} + \cdots + e'_{1n} \zeta'_{0n}, \\ &\dots\dots\dots \\ h_*(\zeta_{0n}) &= d'_{n1} \rho'_{01} + \cdots + d'_{nc} \rho'_{0c} + e'_{n1} \zeta'_{01} + \cdots + e'_{nn} \zeta'_{0n}, \end{aligned}$$

for some local functions:

$$d'_{ij}: M' \longrightarrow \mathbb{C}, \quad e'_{i_1 i_2}: M' \longrightarrow \mathbb{C},$$

the $n \times n$ matrix of the latter being invertible.

Conjugating and reminding that the $\rho_{0\bullet}$ and the $\rho'_{0\bullet}$ are real, one has:

$$\begin{aligned} h_*(\bar{\zeta}_{01}) &= \bar{d}'_{11} \rho'_{01} + \cdots + \bar{d}'_{1c} \rho'_{0c} + \bar{e}'_{11} \bar{\zeta}'_{01} + \cdots + \bar{e}'_{1n} \bar{\zeta}'_{0n}, \\ &\dots\dots\dots \\ h_*(\bar{\zeta}_{0n}) &= \bar{d}'_{n1} \rho'_{01} + \cdots + \bar{d}'_{nc} \rho'_{0c} + \bar{e}'_{n1} \bar{\zeta}'_{01} + \cdots + \bar{e}'_{nn} \bar{\zeta}'_{0n}. \end{aligned}$$

4. Application: invariance of an archetypical nondegeneracy condition

Now, in CR dimension:

$$n = 1,$$

introduce local vector field generators:

$$\begin{aligned} \mathcal{L} \text{ for } T^{1,0}M & \quad (\mathbf{1} = \text{rank}_{\mathbb{C}}(T^{1,0}M)), \\ \mathcal{L}' \text{ for } T^{1,0}M' & \quad (\mathbf{1} = \text{rank}_{\mathbb{C}}(T^{1,0}M')). \end{aligned}$$

Lemma. *At every point $q \in M$ near p , one has the equivalence:*

$$\begin{aligned} \mathbf{3} &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}|_q, \bar{\mathcal{L}}|_q, [\mathcal{L}, \bar{\mathcal{L}}]|_q \right) \\ &\iff \\ \mathbf{3} &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}'|_{h(q)}, \bar{\mathcal{L}}'|_{h(q)}, [\mathcal{L}', \bar{\mathcal{L}}']|_{h(q)} \right). \end{aligned}$$

Proof. By what precedes:

$$h_*(T^{1,0}M) = T^{1,0}M',$$

hence there must exist a nowhere vanishing function:

$$a': M' \longrightarrow \mathbb{C}$$

defined near p with:

$$h_*(\mathcal{L}) = a' \mathcal{L}',$$

$$h_*(\overline{\mathcal{L}}) = \overline{a'} \overline{\mathcal{L}}'.$$

Consequently:

$$\begin{aligned} h_*([\mathcal{L}, \overline{\mathcal{L}}]) &= [h_*(\mathcal{L}), h_*(\overline{\mathcal{L}})] \\ &= [a' \mathcal{L}', \overline{a'} \overline{\mathcal{L}}'] \\ &= a' \overline{a'} [\mathcal{L}', \overline{\mathcal{L}}'] + a' \mathcal{L}'(\overline{a'}) \cdot \overline{\mathcal{L}}' - \overline{a'} \overline{\mathcal{L}}'(a') \cdot \mathcal{L}'. \end{aligned}$$

Dropping the mention of h_* , since the *change of frame* matrix:

$$\begin{pmatrix} \mathcal{L} \\ \overline{\mathcal{L}} \\ [\mathcal{L}, \overline{\mathcal{L}}] \end{pmatrix} = \begin{pmatrix} a' & 0 & 0 \\ 0 & \overline{a'} & 0 \\ * & * & a' \overline{a'} \end{pmatrix} \begin{pmatrix} \mathcal{L}' \\ \overline{\mathcal{L}}' \\ [\mathcal{L}', \overline{\mathcal{L}}'] \end{pmatrix}$$

is visibly of rank 3, the result follows. \square

5. Concept of Levi form

Consider a CR-generic:

$$M^{2n+c} \subset \mathbb{C}^{n+c}.$$

Locally, $T^{1,0}M$ has n vector field generators:

$$\mathcal{L}_1, \dots, \mathcal{L}_n,$$

(more will be soon said about these), with conjugate:

$$\overline{\mathcal{L}}_1, \dots, \overline{\mathcal{L}}_n,$$

making a frame for $T^{0,1}M = \overline{T^{1,0}M}$.

Given local \mathcal{C}^ω functions:

$$\mu_1, \dots, \mu_n: M \longrightarrow \mathbb{C},$$

$$\nu_1, \dots, \nu_n: M \longrightarrow \mathbb{C},$$

and consider the Lie bracket:

$$\left[\mu_1 \mathcal{L}_1 + \dots + \mu_n \mathcal{L}_n, \overline{\nu}_1 \overline{\mathcal{L}}_1 + \dots + \overline{\nu}_n \overline{\mathcal{L}}_n \right]$$

A direct expansion gives:

$$\begin{aligned} \left[\sum_{j=1}^n \mu_j \mathcal{L}_j, \sum_{k=1}^n \bar{\nu}_k \overline{\mathcal{L}}_k \right] &= \sum_{j=1}^n \sum_{k=1}^n \mu_j \bar{\nu}_k [\mathcal{L}_j, \overline{\mathcal{L}}_k] + \\ &\quad + \sum_{k=1}^n \left(\sum_{j=1}^n \mu_j \mathcal{L}_j(\bar{\nu}_k) \right) \cdot \overline{\mathcal{L}}_k - \sum_{j=1}^n \left(\sum_{k=1}^n \bar{\nu}_k \overline{\mathcal{L}}_k(\mu_j) \right) \cdot \mathcal{L}_j \\ &\equiv \sum_{j=1}^n \sum_{k=1}^n \mu_j \bar{\nu}_k [\mathcal{L}_j, \overline{\mathcal{L}}_k] \pmod{(\mathcal{L}_\bullet, \overline{\mathcal{L}}_\bullet)}. \end{aligned}$$

Implicitly here, only the cases $n = 1$ or $n = 2$ are in mind.

Definition of the complex Levi form. At any point $p \in M$, given two vectors:

$$\begin{aligned} \mathcal{M}_p &\in T_p^{1,0}M, \\ \mathcal{N}_p &\in T_p^{1,0}M, \end{aligned}$$

which can then both be decomposed along the $(1, 0)$ frame:

$$\begin{aligned} \mathcal{M}_p &= \mu_{1p} \mathcal{L}_1|_p + \cdots + \mu_{np} \mathcal{L}_n|_p \\ \mathcal{N}_p &= \nu_{1p} \mathcal{L}_1|_p + \cdots + \nu_{np} \mathcal{L}_n|_p, \end{aligned}$$

by means of certain *constants*:

$$\begin{aligned} \mu_{1p}, \dots, \mu_{np} &\in \mathbb{C}, \\ \nu_{1p}, \dots, \nu_{np} &\in \mathbb{C}, \end{aligned}$$

the *complex Levi form* is the Hermitian skew-bilinear form:

$$\begin{aligned} T_p^{1,0}M \times T_p^{1,0}M &\longrightarrow \mathbb{C} \otimes_{\mathbb{R}} T_p M \pmod{(T_p^{1,0}M \oplus T_p^{0,1}M)} \\ (\mathcal{M}_p, \mathcal{N}_p) &\longmapsto \sqrt{-1} [\mathcal{M}, \overline{\mathcal{N}}]|_p \pmod{T_p^{1,0}M \oplus T_p^{0,1}M}, \end{aligned}$$

for any two local $(1, 0)$ vector fields:

$$\begin{aligned} \mathcal{M}, \\ \mathcal{N}, \end{aligned}$$

which *extend* the vectors:

$$\begin{aligned} \mathcal{M}|_p &= \mathcal{M}_p, \\ \mathcal{N}|_p &= \mathcal{N}_p. \end{aligned}$$

Assertion. *The result:*

$$\sqrt{-1} [\mathcal{M}, \overline{\mathcal{N}}]|_p$$

does not depend upon choice of vector field extensions \mathcal{M}, \mathcal{N} .

Proof. Consider two pairs of vector fields:

$$\mathcal{M}^1, \mathcal{M}^2 \quad \text{and} \quad \mathcal{N}^1, \mathcal{N}^2$$

that are sections of $T^{1,0}M$ with:

$$\mathcal{M}^1|_p = \mathcal{M}_p = \mathcal{M}^2|_p \quad \text{and} \quad \mathcal{N}^1|_p = \mathcal{N}_p = \mathcal{N}^2|_p.$$

The goal is to check:

$$[\mathcal{M}^1, \overline{\mathcal{N}^1}]|_p = [\mathcal{M}^2, \overline{\mathcal{N}^2}]|_p.$$

Decompose everybody along the frame:

$$\begin{aligned} \mathcal{M}^1 &= \mu_1^1 \mathcal{L}_1 + \cdots + \mu_n^1 \mathcal{L}_n, \\ \mathcal{M}^2 &= \mu_1^2 \mathcal{L}_1 + \cdots + \mu_n^2 \mathcal{L}_n, \\ \mathcal{N}^1 &= \nu_1^1 \mathcal{L}_1 + \cdots + \nu_n^1 \mathcal{L}_n, \\ \mathcal{N}^2 &= \nu_1^2 \mathcal{L}_1 + \cdots + \nu_n^2 \mathcal{L}_n, \end{aligned}$$

with coefficient-functions having value at p :

$$\begin{aligned} \mu_1^1(p) = \mu_{1p} = \mu_1^2(p), \dots, \mu_n^1(p) = \mu_{np} = \mu_n^2(p), \\ \nu_1^1(p) = \nu_{1p} = \nu_1^2(p), \dots, \nu_n^1(p) = \nu_{np} = \nu_n^2(p). \end{aligned}$$

Then the same Lie bracket expansion as above taken at p :

$$\begin{aligned} [\mathcal{M}^1, \overline{\mathcal{N}^1}]|_p &= \left[\sum_{j=1}^n \mu_j^1 \mathcal{L}_j, \sum_{k=1}^n \overline{\nu}_k^1 \overline{\mathcal{L}}_k \right] \Big|_p \\ &= \sum_{j=1}^n \sum_{k=1}^n \mu_j^1(p) \overline{\nu}_k^1(p) [\mathcal{L}_j, \overline{\mathcal{L}}_k] \Big|_p + \\ &\quad + \underbrace{\sum_{k=1}^n \left(\sum_{j=1}^n \mu_j^1(p) \mathcal{L}_j(\overline{\nu}_k^1(p)) \right) \cdot \overline{\mathcal{L}}_k \Big|_p}_{\circ} - \underbrace{\sum_{j=1}^n \left(\sum_{k=1}^n \overline{\nu}_k^1(p) \overline{\mathcal{L}}_k(\mu_j^1(p)) \right) (p) \cdot \mathcal{L}_j \Big|_p}_{\circ}, \end{aligned}$$

continued as:

$$\begin{aligned} [\mathcal{M}^1, \overline{\mathcal{N}^1}]|_p &\equiv \sum_{j=1}^n \sum_{k=1}^n \mu_j^1(p) \overline{\nu}_k^1(p) [\mathcal{L}_j, \overline{\mathcal{L}}_k] \Big|_p \quad \text{mod}(\mathcal{L}_\bullet, \overline{\mathcal{L}}_\bullet) \\ &\equiv \sum_{j=1}^n \sum_{k=1}^n \mu_{1p} \overline{\nu}_{1p} [\mathcal{L}_j, \overline{\mathcal{L}}_k] \Big|_p \quad \text{mod}(\mathcal{L}_\bullet, \overline{\mathcal{L}}_\bullet) \\ &\equiv \sum_{j=1}^n \sum_{k=1}^n \mu_j^2(p) \overline{\nu}_k^2(p) [\mathcal{L}_j, \overline{\mathcal{L}}_k] \Big|_p \quad \text{mod}(\mathcal{L}_\bullet, \overline{\mathcal{L}}_\bullet) \\ &\equiv [\mathcal{M}^2, \overline{\mathcal{N}^2}]|_p, \end{aligned}$$

provides the desired equality. \square

Assume from now that:

$$c = \text{codim}_{\mathbb{R}} M = 1,$$

namely that:

$$M^{2n+1} \subset \mathbb{C}^{n+1}$$

is a hypersurface, since only the last case of:

$$M^5 \subset \mathbb{C}^3$$

will require Levi form considerations.

Now, present another equivalent view of the Levi form.

The quotient bundle:

$$TM/T^c M$$

being then of rank 1, choose any 1-form:

$$\rho_0 := \text{local section of } T^*M$$

satisfying:

$$\{\rho_0 = 0\} = T^c M$$

which is *real-valued*:

$$\rho_0: TM \longrightarrow \mathbb{R}.$$

Extend it to $\mathbb{C} \otimes_{\mathbb{R}} TM$ by:

$$\rho_0(P + \sqrt{-1}Q) := \rho_0(P) + \sqrt{-1}\rho_0(Q),$$

where P and Q are any two (local) real-valued vector fields on M , so that:

$$\boxed{\rho_0(T^{1,0}M \oplus T^{0,1}M) = 0,}$$

too.

Lemma. *One has:*

$$\overline{\rho_0(\mathcal{M})} = \rho_0(\overline{\mathcal{M}})$$

for any local section \mathcal{M} of $\mathbb{C} \otimes_{\mathbb{R}} TM$.

Proof. Indeed, one decomposes:

$$\mathcal{M} = P + \sqrt{-1}Q$$

whence:

$$\begin{aligned} \overline{\rho_0(\mathcal{M})} &= \overline{\rho_0(P) + \sqrt{-1}\rho_0(Q)} \\ &= \rho_0(P) - \sqrt{-1}\rho_0(Q) \\ &= \rho_0(P - \sqrt{-1}Q) \\ &= \rho_0(\overline{\mathcal{M}}), \end{aligned}$$

which is so. □

For instance:

$$\begin{aligned}\overline{\rho_0[\mathcal{L}_j, \overline{\mathcal{L}}_k]} &= \rho([\overline{\mathcal{L}}_j, \mathcal{L}_k]) \\ &= -\rho_0([\mathcal{L}_k, \overline{\mathcal{L}}_k]),\end{aligned}$$

hence to counterbalance this change of sign, it will be natural to put below an $\sqrt{-1}$ factor.

Definition. On a hypersurface:

$$M \subset \mathbb{C}^{n+1},$$

in terms of any (local) frame for $T^{1,0}M$:

$$\{\mathcal{L}_1, \dots, \mathcal{L}_n\},$$

and in terms of any 1-form (local) section of T^*M :

$$\rho_0: TM \longrightarrow \mathbb{R}$$

satisfying:

$$TM \cap J(TM) = \{\rho_0 = 0\},$$

the *Levi form* of M at various points $q \in M$ is:

$$((\mu_{1q}, \dots, \mu_{nq}), (\nu_{1q}, \dots, \nu_{nq})) \longmapsto \sqrt{-1} \sum_{j=1}^n \sum_{k=1}^n \mu_{jq} \bar{\nu}_{kq} \rho_0(\sqrt{-1} [\mathcal{L}_j, \overline{\mathcal{L}}_k])(q).$$

More intuitively:

$$\begin{pmatrix} \rho_0(\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1]) & \cdots & \rho_0(\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_n]) \\ \vdots & \ddots & \vdots \\ \rho_0(\sqrt{-1} [\mathcal{L}_n, \overline{\mathcal{L}}_1]) & \cdots & \rho_0(\sqrt{-1} [\mathcal{L}_n, \overline{\mathcal{L}}_n]) \end{pmatrix} = \text{Hermitian matrix of the Levi form,}$$

the extra factor $\sqrt{-1}$ being present in order to counterbalance the change of sign:

$$\overline{[\mathcal{L}_j, \overline{\mathcal{L}}_k]} = -[\mathcal{L}_k, \overline{\mathcal{L}}_j].$$

Later on, an explicit treatment of the biholomorphic invariance of the Levi form will be provided.

Elementary CR-Frobenius theorem. *The Levi form of a \mathcal{C}^ω connected CR-generic submanifold $M^{2n+c} \subset \mathbb{C}^{n+c}$ is identically zero:*

$$[T^{1,0}M, \overline{T^{1,0}M}] \subset \text{Span}_{\mathbb{C}}(T^{1,0}M \oplus T^{0,1}M),$$

if and only if:

$$M^{2n+c} \cong \mathbb{C}^n \times \mathbb{R}^c. \quad \square$$

One therefore *excludes* such a very degenerate circumstance, where M is usually called *Levi-flat*.

Concretely, at any point p of a Levi-flat M , there exist coordinates:

$$(z_1, \dots, z_n, w_1, \dots, w_c)$$

in which the graphed equations are the simplest possible:

$$\begin{cases} \operatorname{Im} w_1 = 0, \\ \dots\dots\dots \\ \operatorname{Im} w_c = 0. \end{cases}$$

So from now on, one will assume that the Levi form is not identically zero, and because the:

Lie-Cartan Principle of Relocalization,

is admitted, one will in fact assume that the Levi form is *nowhere* zero, i.e.:

$$\mathfrak{3} \leq \dim_{\mathbb{C}} \left(T_q^{1,0} M + T_q^{0,1} M + [T^{1,0} M, T^{0,1} M](q), \right)$$

at every point $q \in M$.

6. $\{\mathcal{L}, \overline{\mathcal{L}}\}$ -nondegeneracies in CR dimension $n = 1$

Practical consequence. In CR dimensions:

$$n = 1,$$

$$n = 2,$$

given a (local) frame for $T^{1,0}M$:

$$\{\mathcal{L}_1\},$$

$$\{\mathcal{L}_1, \mathcal{L}_2\},$$

introducing the field:

$$\mathcal{T} := \sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1],$$

which is *real*:

$$\begin{aligned} \overline{\mathcal{T}} &= \overline{\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1]} \\ &= -\sqrt{-1} [\overline{\mathcal{L}}_1, \mathcal{L}_1] \\ &= \mathcal{T}, \end{aligned}$$

one may and will assume throughout the paper that the Levi form is not identically zero, whence:

$$\begin{aligned} \mathfrak{3} &= \operatorname{rank}_{\mathbb{C}}(\mathcal{L}, \overline{\mathcal{L}}, \mathcal{T}) \\ &= \operatorname{rank}_{\mathbb{C}}(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]) \end{aligned}$$

at every point of M (after allowed relocalization), this being justified both in CR dimension 1 and 2 because of an:

Exercise. In CR dimension 2, starting from:

$$\begin{pmatrix} \rho_0(\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1]) & \rho_0(\sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_1]) \\ \rho_0(\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_2]) & \rho_0(\sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_2]) \end{pmatrix}$$

being nonzero at a point $p \in M$, there is a change of frame for $T^{1,0}M$:

$$\begin{pmatrix} \mathcal{L}_1^\sharp \\ \mathcal{L}_2^\sharp \end{pmatrix} := \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \begin{pmatrix} \mathcal{L}_1 \\ \mathcal{L}_2 \end{pmatrix}$$

with constant coefficients which makes:

$$\mathfrak{3} = \text{rank}_{\mathbb{C}}(\mathcal{L}_1^\sharp, \overline{\mathcal{L}}_1^\sharp, [\mathcal{L}_1^\sharp, \overline{\mathcal{L}}_1^\sharp]). \quad \square$$

In CR dimension $n = 1$, one drops index mention:

$$\mathcal{L} \equiv \mathcal{L}_1.$$

Among the four cases:

$$M^3 \subset \mathbb{C}^2: \quad n = \mathbf{1},$$

$$M^4 \subset \mathbb{C}^3: \quad n = \mathbf{1},$$

$$M^5 \subset \mathbb{C}^4: \quad n = \mathbf{1},$$

$$\mathbb{C}^3: \quad n = \mathbf{2},$$

- The first ends up:

For $M^3 \subset \mathbb{C}^2$, already $\{\mathcal{L}, \overline{\mathcal{L}}, \mathcal{I}\}$ makes up a frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$.

- The second is awaiting:

For $M^4 \subset \mathbb{C}^3$, $\mathbf{1}$ field is still missing in $\{\mathcal{L}, \overline{\mathcal{L}}, \mathcal{I}\}$ to complete a frame since $4 = \text{rank}_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{R}} TM)$.

- The third is also awaiting:

For $M^5 \subset \mathbb{C}^4$, $\mathbf{2}$ fields are still missing in $\{\mathcal{L}, \overline{\mathcal{L}}, \mathcal{I}\}$ to complete a frame since $5 = \text{rank}_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{R}} TM)$.

- And the fourth and last will be dealt with subsequently:

For $M^5 \subset \mathbb{C}^3$, already $\{\mathcal{L}_1, \mathcal{L}_2, \overline{\mathcal{L}}_1, \overline{\mathcal{L}}_2, \mathcal{I}\}$ makes up a frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$,

but the generic rank of the Levi matrix $\rho_0 \begin{pmatrix} \sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1] & \sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_1] \\ \sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_2] & \sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_2] \end{pmatrix}$

may be equal to $\mathbf{1}$ or $\mathbf{2}$, two subcases to study independently;

Discuss here only CR dimension $n = 1$, postponing $n = 2$.

At least, one arrives at a first *general class* of CR-generic manifolds:

General Class I:

$$M^3 \subset \mathbb{C}^2 \text{ with } \left\{ \mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}] \right\} \\ \text{constituting a frame for } \mathbb{C} \otimes_{\mathbb{R}} TM.$$

Zariski-Generic degeneracies of $M^4 \subset \mathbb{C}^3$. Consider therefore next a \mathcal{C}^ω CR-generic:

$$M^4 \subset \mathbb{C}^3.$$

Pick a local generator:

$$\mathcal{L}$$

of $T^{1,0}M$ and consider:

$$[\mathcal{L}, \overline{\mathcal{L}}].$$

By what precedes:

$$\mathbf{3} = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}] \right),$$

at every point. But this is still less than:

$$4 = \text{rank}_{\mathbb{C}} (\mathbb{C} \otimes_{\mathbb{R}} TM).$$

Accordingly, introduce next the two possible further Lie brackets of length 3:

$$[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], \\ [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]],$$

satisfying:

$$\overline{[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]} = - [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]].$$

Degeneracy assumption. *Inspect the exceptional supposition:*

$$\mathbf{3} = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

assumed to hold at every point.

Then locally, there exist \mathcal{C}^ω functions so that:

$$[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] = a \cdot \mathcal{L} + b \cdot \overline{\mathcal{L}} + c \cdot [\mathcal{L}, \overline{\mathcal{L}}],$$

whence conjugating:

$$[\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] = \bar{b} \cdot \mathcal{L} + \bar{a} \cdot \overline{\mathcal{L}} - \bar{c} \cdot [\mathcal{L}, \overline{\mathcal{L}}].$$

Compute then:

$$\begin{aligned} [\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] &= \mathcal{L}(a) \cdot \mathcal{L} + \mathcal{L}(b) \cdot \overline{\mathcal{L}} + \mathcal{L}(c) \cdot [\mathcal{L}, \overline{\mathcal{L}}] + \\ &\quad + a \cdot \underbrace{[\mathcal{L}, \mathcal{L}]_o}_{a\mathcal{L}+b\overline{\mathcal{L}}+c[\mathcal{L}, \overline{\mathcal{L}}]} + b \cdot [\mathcal{L}, \overline{\mathcal{L}}] + c \cdot \underbrace{[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]}_{a\mathcal{L}+b\overline{\mathcal{L}}+c[\mathcal{L}, \overline{\mathcal{L}}]} \\ &\equiv 0 \quad \text{mod}(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]). \end{aligned}$$

Similarly (exercise):

$$\begin{aligned} [\overline{\mathcal{L}}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] &\equiv 0 \quad \text{mod}(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]), \\ [\mathcal{L}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]] &\equiv 0 \quad \text{mod}(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]), \\ [\overline{\mathcal{L}}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]] &\equiv 0 \quad \text{mod}(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]). \end{aligned}$$

All further iterated Lie brackets, e.g.:

$$\begin{aligned} [\mathcal{L}, [\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]]] &= [\mathcal{L}, \text{mod}(\text{same})] \\ &= [\mathcal{L}, \text{function} \cdot \mathcal{L} + \text{function} \cdot \overline{\mathcal{L}} + \text{function} \cdot [\mathcal{L}, \overline{\mathcal{L}}]] \\ &= \mathcal{L}(\text{function}) \cdot \mathcal{L} + \mathcal{L}(\text{function}) \cdot \overline{\mathcal{L}} + \mathcal{L}(\text{function}) \cdot [\mathcal{L}, \overline{\mathcal{L}}] + \\ &\quad + \text{function} \cdot \underbrace{[\mathcal{L}, \mathcal{L}]_o}_{a\mathcal{L}+b\overline{\mathcal{L}}+c[\mathcal{L}, \overline{\mathcal{L}}]} + \text{function} \cdot [\mathcal{L}, \overline{\mathcal{L}}] + \text{function} \cdot \underbrace{[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]}_{a\mathcal{L}+b\overline{\mathcal{L}}+c[\mathcal{L}, \overline{\mathcal{L}}]} \\ &\equiv 0 \quad \text{mod}(\mathcal{L}, \overline{\mathcal{L}}, \mathcal{I}) \end{aligned}$$

also mod out to zero, and similarly also:

$$[\overline{\mathcal{L}}, \text{mod}(\text{same})] \equiv 0 \quad \text{mod}(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]).$$

Definition. For a \mathcal{C}^ω connected CR-generic submanifold:

$$M^{2n+c} \subset \mathbb{C}^{n+c}$$

with $T^{1,0}M$ having local generators:

$$\mathcal{L}_1, \dots, \mathcal{L}_n,$$

on various open subsets, set:

$$\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^1 := \mathcal{C}^\omega\text{-linear combinations of } \mathcal{L}_1, \dots, \mathcal{L}_n, \overline{\mathcal{L}}_1, \dots, \overline{\mathcal{L}}_n,$$

$$\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^2 := \mathcal{C}^\omega\text{-linear combinations of vector fields } \mathcal{M}^1 \in \mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^1$$

and of brackets $[\mathcal{L}_k, \mathcal{M}^1], [\overline{\mathcal{L}}_k, \mathcal{M}^1],$

$$\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^3 := \mathcal{C}^\omega\text{-linear combinations of vector fields } \mathcal{M}^2 \in \mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^2$$

and of brackets $[\mathcal{L}_k, \mathcal{M}^2], [\overline{\mathcal{L}}_k, \mathcal{M}^2],$

.....

$$\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^{\nu+1} := \mathcal{C}^\omega\text{-linear combinations of vector fields } \mathcal{M}^\nu \in \mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^\nu$$

and of brackets $[\mathcal{L}_k, \mathcal{M}^\nu], [\overline{\mathcal{L}}_k, \mathcal{M}^\nu],$

these being defined on local open subsets of M (sheaf language is skipped).

Definition. Set:

$$\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^{\text{Lie}} := \bigcup_{\nu \geq 1} \mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^\nu = \mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^\infty.$$

Alternatively, working with *real vector fields*, one introduces:

$$\mathbb{L}_{\text{Re } \mathcal{L}, \text{Im } \mathcal{L}}^{\text{Lie}}.$$

Known real analytic fact. *There exists an integer:*

$$\boxed{c_M}$$

with:

$$0 \leq c_M \leq c$$

and a proper real analytic subset:

$$\Sigma \subsetneq M$$

such that at every point:

$$q \in M \setminus \Sigma,$$

one has:

$$\begin{aligned} \dim_{\mathbb{C}} \left(\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^{\text{Lie}}(q) \right) &= 2n + c_M \\ &= \text{constant}, \end{aligned}$$

or equivalently (mental exercise):

$$\dim_{\mathbb{R}} \left(\mathbb{L}_{\text{Re } \mathcal{L}, \text{Im } \mathcal{L}}^{\text{Lie}}(q) \right) = 2n + c_M. \quad \square$$

Known generalized CR Frobenius theorem ([3]). *Every point:*

$$q \in M \setminus \Sigma$$

Interpretation. *One sets aside such an exceptional supposition, because the equivalence problem reduces to that of an:*

$$M^3 \subset \mathbb{C}^2$$

in smaller dimension, plus 1 real parameter coming from $(\cdot) \times \mathbb{R}$. \square

Consequently, neglecting such a degenerate subclass, one arrives at an interesting class of CR manifolds:

$$M^4 \subset \mathbb{C}^3$$

satisfying:

$$4 = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

which was discovered by Beloshapka ([1]).

Observational lemma. *In fact, then simultaneously:*

$$\begin{aligned} 4 &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right) \\ &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right). \end{aligned}$$

Proof. Indeed, near points $q \in M$ where:

$$\begin{aligned} 4 &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}|_q, \overline{\mathcal{L}}|_q, [\mathcal{L}, \overline{\mathcal{L}}]|_q, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]|_q \right) \\ &= \dim_{\mathbb{R}} M, \end{aligned}$$

the corresponding frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$:

$$\left\{ \mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right\}$$

enables one to express:

$$[\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] = a \cdot \mathcal{L} + b \cdot \overline{\mathcal{L}} + c \cdot [\mathcal{L}, \overline{\mathcal{L}}] + d \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]],$$

whence by conjugation:

$$\begin{aligned} -[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] &= \bar{b} \cdot \mathcal{L} + \bar{a} \cdot \overline{\mathcal{L}} - \bar{c} \cdot [\mathcal{L}, \overline{\mathcal{L}}] - \bar{d} \cdot \underbrace{[\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]}_{\text{replace}} \\ &\equiv -\bar{d}\bar{d} \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \pmod{(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}])}, \end{aligned}$$

so that:

$$\bar{d}\bar{d} \equiv 1,$$

identically as \mathcal{C}^ω functions defined on M near q . \square

Hence one arrives at a second *general class* of CR-generic manifolds:

General Class II:

$$M^4 \subset \mathbb{C}^3 \text{ with } \left\{ \mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right\}$$

constituting a frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$.

Zariski-Generic degeneracies of $M^5 \subset \mathbb{C}^4$. Now, consider an:

$$M^5 \subset \mathbb{C}^4,$$

having:

$$1 = \text{CRdim } M,$$

$$3 = \text{codim } M.$$

Similarly to the case of $M^4 \subset \mathbb{C}^3$, inspect the exceptional supposition:

$$3 = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

assumed to hold at every point.

Again (mental exercise), this entails:

$$M^5 \cong \underline{M}^5,$$

with:

$$\underline{M}^5 \subset \mathbb{C}^2 \times \mathbb{R}^2$$

being represented in local coordinates as:

$$v_1 = \varphi_1(x, y, u_1, u_2, u_3),$$

$$v_2 = 0,$$

$$v_3 = 0.$$

Same interpretation. One sets aside such an exceptional supposition, for the equivalence problem reduces to that of an:

$$M^3 \subset \mathbb{C}^2$$

in smaller dimension, plus 2 real parameters coming from $(\cdot) \times \mathbb{R}^2$. \square

Consequently, neglecting such a degenerate subclass, one may assume:

$$4 \leq \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

and since 5 fields are present, one arrives at an interesting class of CR-generic submanifolds:

$$M^5 \subset \mathbb{C}^4$$

satisfying:

$$5 = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

which was also discovered by Beloshapka.

Hence one arrives at a third *general class* of CR-generic manifolds:

General Class III₁:

$M^5 \subset \mathbb{C}^4$ with $\left\{ \mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right\}$
constituting a frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$.

7. Yet a last new general class of 5-dimensional CR manifolds $M^5 \subset \mathbb{C}^4$

But for $M^5 \subset \mathbb{C}^4$, from:

$$4 \leq \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

it can yet very well happen that:

$$4 = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

of course at every point after relocalization.

As above, from:

$$[\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] = a \cdot \mathcal{L} + b \cdot \overline{\mathcal{L}} + c \cdot [\mathcal{L}, \overline{\mathcal{L}}] + d \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]],$$

one gets:

$$d\overline{d} \equiv 1,$$

so that it is legitimate to assume at the same time:

$$\begin{aligned} 4 &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right) \\ &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right). \end{aligned}$$

Hence **1** iterated Lie bracket is still missing to generate:

$$\mathbf{5} = \text{rank}_{\mathbb{C}} (\mathbb{C} \otimes_{\mathbb{R}} TM).$$

All next candidates, namely the length 4 Lie brackets in:

$$\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^4,$$

are four in sum:

$$\begin{aligned} &[\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]], \\ &[\mathcal{L}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]], \\ &[\overline{\mathcal{L}}, [\mathcal{L}, [\overline{\mathcal{L}}, \overline{\mathcal{L}}]]], \\ &[\overline{\mathcal{L}}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]], \end{aligned}$$

but the Jacobi identity yields (exercise, or see below) that the second equals the third.

Focusing attention on the first, suppose it does *not* complete a frame.

Proposition. *When simultaneously, the following two degeneracy conditions hold:*

$$\begin{aligned} 4 &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right) \\ 4 &= \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]], \right. \\ &\quad \left. [\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] \right), \end{aligned}$$

then:

$$\begin{aligned} 4 &= \text{rank}_{\mathbb{C}} \left(\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^4 \right) \\ &= \text{rank}_{\mathbb{C}} \left(\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^5 \right) = \cdots = \\ &= \text{rank}_{\mathbb{C}} \left(\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^{\text{Lie}} \right) \\ &= \text{rank}_{\mathbb{R}} \left(\mathbb{L}_{\text{Re } \mathcal{L}, \text{Im } \mathcal{L}}^{\text{Lie}} \right) < 5 = \dim_{\mathbb{R}} M. \end{aligned}$$

Proof. Equivalently, one assumes simultaneously:

$$\begin{aligned} [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] &= a \cdot \mathcal{L} + b \cdot \overline{\mathcal{L}} + c \cdot [\mathcal{L}, \overline{\mathcal{L}}] + d \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], \\ [\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] &= e \cdot \mathcal{L} + f \cdot \overline{\mathcal{L}} + g \cdot [\mathcal{L}, \overline{\mathcal{L}}] + h \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]. \end{aligned}$$

The claim (proof below) is that this entails:

$$\begin{aligned} [\mathcal{L}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]] &\equiv 0 \pmod{\left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right)} \\ [\overline{\mathcal{L}}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] &\equiv 0 \pmod{\left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right)} \\ [\overline{\mathcal{L}}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]] &\equiv 0 \pmod{\left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right)} \end{aligned}$$

and beyond up to infinity:

$$\begin{aligned} 4 &= \text{rank}_{\mathbb{C}} \left(\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^4 \right) \\ &= \text{rank}_{\mathbb{C}} \left(\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^5 \right) = \cdots = \\ &= \text{rank}_{\mathbb{C}} \left(\mathbb{L}_{\mathcal{L}, \overline{\mathcal{L}}}^{\infty} \right) \end{aligned}$$

because of the bracketing stability:

$$\begin{aligned}
[\mathcal{L}, \text{mod (same)}] &= [\mathcal{L}, \text{function} \cdot \mathcal{L} + \text{function} \cdot \overline{\mathcal{L}} + \text{function} \cdot [\mathcal{L}, \overline{\mathcal{L}}] + \text{function} \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] \\
&= \mathcal{L}(\text{function}) \cdot \mathcal{L} + \mathcal{L}(\text{function}) \cdot \overline{\mathcal{L}} + \mathcal{L}(\text{function}) \cdot [\mathcal{L}, \overline{\mathcal{L}}] + \mathcal{L}(\text{function}) \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] + \\
&\quad + \text{function} \cdot \underline{[\mathcal{L}, \mathcal{L}]} + \text{function} \cdot [\mathcal{L}, \overline{\mathcal{L}}] + \text{function} \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] + \text{function} \cdot \underbrace{[\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]]}_{e\mathcal{L}+f\overline{\mathcal{L}}+g[\mathcal{L}, \overline{\mathcal{L}}]+h[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]} \\
&\equiv 0 \quad \text{mod} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),
\end{aligned}$$

and similarly also:

$$[\overline{\mathcal{L}}, \text{mod(same)}] \equiv 0 \quad \text{mod} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),$$

so that induction is clear.

For the claim, observe at first that the Jacobi identity:

$$\begin{aligned}
0 &= [\mathcal{L}, [\overline{\mathcal{L}}, \mathcal{T}]] + \underbrace{[\mathcal{T}, [\mathcal{L}, \overline{\mathcal{L}}]]}_{\text{remind } \mathcal{T}=\sqrt{-1}[\mathcal{L}, \overline{\mathcal{L}}]} + [\overline{\mathcal{L}}, [\mathcal{T}, \mathcal{L}]]
\end{aligned}$$

indeed yields (erase $\sqrt{-1}$):

$$[\mathcal{L}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]] = [\overline{\mathcal{L}}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]],$$

so that it suffices to prove the claim only for two of three lines.

Treat the first line of the claim:

$$\begin{aligned}
[\mathcal{L}, [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]]] &= [\mathcal{L}, a\mathcal{L} + b\overline{\mathcal{L}} + c[\mathcal{L}, \overline{\mathcal{L}}] + d[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] \\
&= \mathcal{L}(a) \cdot \mathcal{L} + \mathcal{L}(b) \cdot \overline{\mathcal{L}} + \mathcal{L}(c) \cdot [\mathcal{L}, \overline{\mathcal{L}}] + \mathcal{L}(d) \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] + \\
&\quad + a\underline{[\mathcal{L}, \mathcal{L}]} + b[\mathcal{L}, \overline{\mathcal{L}}] + c[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] + d \underbrace{[\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]]}_{e\mathcal{L}+f\overline{\mathcal{L}}+g[\mathcal{L}, \overline{\mathcal{L}}]+h[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]} \\
&\equiv 0 \quad \text{mod} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right).
\end{aligned}$$

(The same could also be done directly with the second line.)

Treat the third and last line of the claim:

$$\begin{aligned}
[\overline{\mathcal{L}}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] &= -\overline{[\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]]} \\
&= -\overline{e \cdot \mathcal{L} - f \cdot \overline{\mathcal{L}} - g \cdot [\mathcal{L}, \overline{\mathcal{L}}] - h \cdot [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]} \\
&= -\overline{e} \cdot \overline{\mathcal{L}} - \overline{f} \cdot \mathcal{L} - \overline{g} \cdot [\overline{\mathcal{L}}, \mathcal{L}] - \overline{h} \cdot \underbrace{[\overline{\mathcal{L}}, [\overline{\mathcal{L}}, \mathcal{L}]]}_{-a\mathcal{L}-b\overline{\mathcal{L}}-c[\mathcal{L}, \overline{\mathcal{L}}]-d[\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]} \\
&\equiv 0 \quad \text{mod} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]] \right),
\end{aligned}$$

which finishes. \square

The generalized CR-Frobenius theorem concludes then that:

$$M^5 \cong \underline{M}^5,$$

with:

$$\underline{M}^5 \subset \mathbb{C}^4 \times \mathbb{R}$$

represented in local coordinates as:

$$v_1 = \varphi_1(x, y, u_1, u_2, u_3),$$

$$v_2 = \varphi_2(x, y, u_1, u_2, u_3),$$

$$v_3 = 0.$$

Naturally, one again excludes such a degenerate circumstance.

Consequently, it must be that:

$$5 = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]], \right. \\ \left. [\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] \right),$$

and one arrives at a fourth new *general class* of CR-generic manifolds:

General Class III₂:

$$M^5 \subset \mathbb{C}^4 \text{ with } \left\{ \mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\mathcal{L}, [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]]] \right\} \\ \text{constituting a frame for } \mathbb{C} \otimes_{\mathbb{R}} TM, \\ \text{while } 4 = \text{rank}_{\mathbb{C}} \left(\mathcal{L}, \overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}], [\mathcal{L}, [\mathcal{L}, \overline{\mathcal{L}}]], [\overline{\mathcal{L}}, [\mathcal{L}, \overline{\mathcal{L}}]] \right).$$

Theorem. *Restricting to:*

$$\dim_{\mathbb{R}} M \leq 5,$$

this is the last general class in CR dimension:

$$n = 1. \quad \square$$

The Class III₂ model example in $\mathbb{C}^4 \ni (z, w_1, w_2, w_3)$ is:

$$w_1 - \overline{w}_1 = 2i z \overline{z},$$

$$w_2 - \overline{w}_2 = 2i z \overline{z} (z + \overline{z}),$$

$$w_3 - \overline{w}_3 = 2i z \overline{z} \left(z^2 + \frac{3}{2} z \overline{z} + \overline{z}^2 \right).$$

8. Biholomorphic invariance of the Levi form

Consider a \mathcal{C}^ω hypersurface (hence CR-generic):

$$M^{2n+1} \subset \mathbb{C}^{n+1},$$

with:

$$n = \text{CRdim } M,$$

$$1 = \text{codim } M.$$

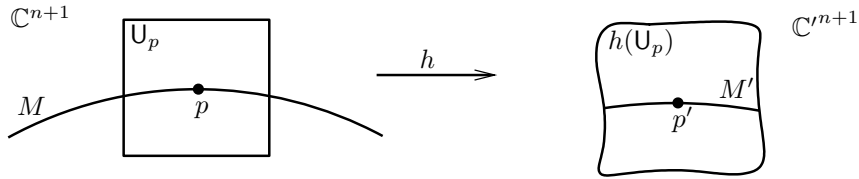
Pick $p \in M$ and $U_p \ni p$ a small open ball or polydisc.

Suppose a local biholomorphism is given:

$$h: U_p \xrightarrow{\sim} U'_{p'}$$

of U_p onto the image open set:

$$U'_{p'} := h(U_p) \subset \mathbb{C}^{n+1} \quad (p' = h(p)).$$



Denote the image hypersurface by:

$$M' := h(M) \subset \mathbb{C}^{n+c}.$$

Current goal. *The objective is to compare the Levi forms of M and of M' .*

Choose local frames:

$$\{\mathcal{L}_1, \dots, \mathcal{L}_n\} \quad \text{for } T^{1,0}M,$$

$$\{\mathcal{L}'_1, \dots, \mathcal{L}'_n\} \quad \text{for } T^{1,0}M'.$$

Because:

$$h_*(T^{1,0}M) = T^{1,0}M',$$

there must exist \mathcal{C}^ω functions a'_{jk} defined on M' so that (mind indices):

$$h_*(\mathcal{L}_1) = a'_{11} \mathcal{L}'_1 + \dots + a'_{n1} \mathcal{L}'_n,$$

.....

$$h_*(\mathcal{L}_n) = a'_{1n} \mathcal{L}'_1 + \dots + a'_{nn} \mathcal{L}'_n.$$

Simultaneously:

$$h_*(\overline{\mathcal{M}}) = \overline{h_*(\mathcal{M})}$$

keeping in mind that such equalities are *truly satisfied* after the replacement:

$$q' = h(q)$$

through which source points are linked to image points.

Hence the thing is to replace all this in the Levi matrix of M .

Abbreviating:

$$\begin{aligned} \mathcal{L}_1 &= \sum_{j=1}^n a'_{j1} \mathcal{L}'_j, & \overline{\mathcal{L}}_1 &= \sum_{k=1}^n \overline{a}'_{k1} \overline{\mathcal{L}}'_k, \\ \dots & \dots & \dots & \dots \\ \mathcal{L}_n &= \sum_{j=1}^n a'_{jn} \mathcal{L}'_j, & \overline{\mathcal{L}}_n &= \sum_{k=1}^n \overline{a}'_{kn} \overline{\mathcal{L}}'_k, \end{aligned}$$

One therefore has to expand:

$$\text{Levi-Matrix}_{\mathcal{L}, \overline{\mathcal{L}}}^M := \rho_0 \begin{pmatrix} \sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1] & \cdots & \sqrt{-1} [\mathcal{L}_n, \overline{\mathcal{L}}_1] \\ \vdots & \ddots & \vdots \\ \sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_n] & \cdots & \sqrt{-1} [\mathcal{L}_n, \overline{\mathcal{L}}_n] \end{pmatrix}$$

which is:

$$= b' \rho'_0 \begin{pmatrix} \sqrt{-1} \left[\sum_{j=1}^n a'_{j1} \mathcal{L}'_j, \sum_{k=1}^n \overline{a}'_{k1} \overline{\mathcal{L}}'_k \right] & \cdots & \sqrt{-1} \left[\sum_{j=1}^n a'_{jn} \mathcal{L}'_j, \sum_{k=1}^n \overline{a}'_{k1} \overline{\mathcal{L}}'_k \right] \\ \vdots & \ddots & \vdots \\ \sqrt{-1} \left[\sum_{j=1}^n a'_{j1} \mathcal{L}'_j, \sum_{k=1}^n \overline{a}'_{kn} \overline{\mathcal{L}}'_k \right] & \cdots & \sqrt{-1} \left[\sum_{j=1}^n a'_{jn} \mathcal{L}'_j, \sum_{k=1}^n \overline{a}'_{kn} \overline{\mathcal{L}}'_k \right] \end{pmatrix}.$$

Here, when one expands any appearing Lie bracket:

$$\left[\sum_{j=1}^n a'_{jl} \mathcal{L}'_j, \sum_{k=1}^n \overline{a}'_{km} \overline{\mathcal{L}}'_k \right] \equiv \sum_{j=1}^n \sum_{k=1}^n a'_{jl} \overline{a}'_{km} [\mathcal{L}'_j, \overline{\mathcal{L}}'_k] \pmod{(\mathcal{L}'_\bullet, \overline{\mathcal{L}}'_\bullet)},$$

taking account of:

$$\rho'_0(\text{vector modulo } (\mathcal{L}'_\bullet, \overline{\mathcal{L}}'_\bullet)) = \rho'_0(\text{vector}),$$

one gets as a continuation:

$$= b' \rho'_0 \begin{pmatrix} \sqrt{-1} \sum_{j=1}^n \sum_{k=1}^n a'_{j1} \overline{a}'_{k1} [\mathcal{L}'_j, \overline{\mathcal{L}}'_k] & \cdots & \sqrt{-1} \sum_{j=1}^n \sum_{k=1}^n a'_{jn} \overline{a}'_{k1} [\mathcal{L}'_j, \overline{\mathcal{L}}'_k] \\ \vdots & \ddots & \vdots \\ \sqrt{-1} \sum_{j=1}^n \sum_{k=1}^n a'_{j1} \overline{a}'_{kn} [\mathcal{L}'_j, \overline{\mathcal{L}}'_k] & \cdots & \sqrt{-1} \sum_{j=1}^n \sum_{k=1}^n a'_{jn} \overline{a}'_{kn} [\mathcal{L}'_j, \overline{\mathcal{L}}'_k] \end{pmatrix},$$

and classically, one may reconstitute the product of 3 matrices:

$$= b' \underbrace{\begin{pmatrix} \bar{a}'_{11} & \cdots & \bar{a}'_{n1} \\ \vdots & \ddots & \vdots \\ \bar{a}'_{1n} & \cdots & \bar{a}'_{nn} \end{pmatrix}}_{\text{recognize } \bar{A}'} \underbrace{\begin{pmatrix} \rho'_0(\sqrt{-1}[\mathcal{L}'_1, \bar{\mathcal{L}}'_1]) & \cdots & \rho'_0(\sqrt{-1}[\mathcal{L}'_n, \bar{\mathcal{L}}'_1]) \\ \vdots & \ddots & \vdots \\ \rho'_0(\sqrt{-1}[\mathcal{L}'_1, \bar{\mathcal{L}}'_n]) & \cdots & \rho'_0(\sqrt{-1}[\mathcal{L}'_n, \bar{\mathcal{L}}'_n]) \end{pmatrix}}_{\text{Levi-Matrix}_{\mathcal{L}', \bar{\mathcal{L}}'}^{M'}} \underbrace{\begin{pmatrix} a'_{11} & \cdots & a'_{1n} \\ \vdots & \ddots & \vdots \\ a'_{n1} & \cdots & a'_{nn} \end{pmatrix}}_{\text{recognize } A'}.$$

Conclusion. *Through any local biholomorphism:*

$$h: M \longrightarrow M'$$

between hypersurfaces of \mathbb{C}^{n+1} , one has:

$$\boxed{\text{Levi-Matrix}_{\mathcal{L}, \bar{\mathcal{L}}}^M(q) = \underbrace{b'(h(q))}_{\text{nowhere 0 function}} \cdot \underbrace{\bar{A}'(h(q))}_{\text{invertible matrix}} \cdot \text{Levi-Matrix}_{\mathcal{L}', \bar{\mathcal{L}}'}^{M'}(h(q)) \cdot \underbrace{A'(h(q))}_{\text{invertible matrix}}},$$

and moreover:

$$\boxed{\text{rank}_{\mathbb{C}}\left(\text{Levi-Matrix}_{\mathcal{L}, \bar{\mathcal{L}}}^M(q)\right) = \text{rank}_{\mathbb{C}}\left(\text{Levi-Matrix}_{\mathcal{L}', \bar{\mathcal{L}}'}^{M'}(h(q))\right)},$$

for every point $q \in M \cap U_p$. □

Importantly, it follows from the latter conclusion that:

Scholium. *The rank of the Levi form of a hypersurface $M^{2n+1} \subset \mathbb{C}^{n+1}$ at one of its points is independent both of the choice of local coordinates, and of the choice of a local frame for $T^{1,0}M$.* □

This is why one will allow to employ the lightedned notation:

$$\text{Levi-Form}^M$$

to emphasize the invariant features of the Levi form.

Yet a bit more about the Levi form. In the local frame:

$$\{\mathcal{L}_1, \dots, \mathcal{L}_n\}$$

for $T^{1,0}M$, at a point $q \in M \cap U_p$, pick two constant vectors:

$$\mathcal{M}_q = \mu_{1q} \mathcal{L}_1|_q + \cdots + \mu_{nq} \mathcal{L}_n|_q,$$

$$\mathcal{N}_q = \nu_{1q} \mathcal{L}_1|_q + \cdots + \nu_{nq} \mathcal{L}_n|_q,$$

and define as a matrix triple product:

$$\text{Levi-Form}_{\mathcal{L}, \bar{\mathcal{L}}}^{M, q} \left(\begin{pmatrix} \mu_{1q} \\ \vdots \\ \mu_{nq} \end{pmatrix}, \begin{pmatrix} \nu_{1q} \\ \vdots \\ \nu_{nq} \end{pmatrix} \right) := (\bar{\nu}_{1q}, \dots, \bar{\nu}_{nq}) \begin{pmatrix} \rho_0(\sqrt{-1}[\mathcal{L}_1, \bar{\mathcal{L}}_1])(q) & \cdots & \rho_0(\sqrt{-1}[\mathcal{L}_n, \bar{\mathcal{L}}_1])(q) \\ \vdots & \ddots & \vdots \\ \rho_0(\sqrt{-1}[\mathcal{L}_1, \bar{\mathcal{L}}_n])(q) & \cdots & \rho_0(\sqrt{-1}[\mathcal{L}_n, \bar{\mathcal{L}}_n])(q) \end{pmatrix} \begin{pmatrix} \mu_{1q} \\ \vdots \\ \mu_{nq} \end{pmatrix}$$

which matches up with all what precedes and which shows, once more, that the value depends only on the two vectors at q .

Kernel of the Levi form and its biholomorphic invariance. At a point $q \in M \cap U_p$, consider a vector:

$$\mathcal{K}_q = \kappa_{1q} \mathcal{L}_1|_q + \cdots + \kappa_{nq} \mathcal{L}_n|_q,$$

with constants $\kappa_{1q}, \dots, \kappa_{nq} \in \mathbb{C}$.

Definition. Such a vector \mathcal{K}_q is said to *belong to the kernel of the Levi form* when:

$$0 = \text{Levi-Form}_{\mathcal{L}, \overline{\mathcal{L}}}^{M,q} \left(\begin{pmatrix} \kappa_{1q} \\ \vdots \\ \kappa_{nq} \end{pmatrix}, \begin{pmatrix} \nu_{1q} \\ \vdots \\ \nu_{nq} \end{pmatrix} \right),$$

for every:

$$(\nu_{1q}, \dots, \nu_{nq}) \in \mathbb{C}^n,$$

that is to say equivalently, when:

$$\begin{pmatrix} \kappa_{1q} \\ \vdots \\ \kappa_{nq} \end{pmatrix} \in \text{Kernel} \left(\text{Levi-Matrix}_{\mathcal{L}, \overline{\mathcal{L}}}^M(q) \right).$$

Passim, recall the known fact that:

$$\text{Kernel} \subset \text{Isotropic cone},$$

which means, choosing plainly:

$$(\nu_{1q}, \dots, \nu_{nq}) := (\kappa_{1q}, \dots, \kappa_{nq}),$$

that:

$$0 = \text{Levi-Form}_{\mathcal{L}, \overline{\mathcal{L}}}^{M,q} \left(\begin{pmatrix} \kappa_{1q} \\ \vdots \\ \kappa_{nq} \end{pmatrix}, \begin{pmatrix} \kappa_{1q} \\ \vdots \\ \kappa_{nq} \end{pmatrix} \right).$$

Next, examine how Levi kernels transfer through biholomorphisms.

Thus, assume:

$$0 = \underbrace{\text{Levi-Matrix}_{\mathcal{L}, \overline{\mathcal{L}}}^M(q)}_{\text{replace}} \cdot \begin{pmatrix} \kappa_{1q} \\ \vdots \\ \kappa_{nq} \end{pmatrix},$$

and replace, or *transfer*, the Levi matrix:

$$0 = \underbrace{b'(h(q))}_{\text{nowhere } 0} \cdot \underbrace{\overline{A'}(h(q))}_{\text{invertible}} \cdot \text{Levi-Matrix}_{\mathcal{L}', \overline{\mathcal{L}'}}^{M'}(h(q)) \cdot A'(h(q)) \cdot \begin{pmatrix} \kappa_{1q} \\ \vdots \\ \kappa_{nq} \end{pmatrix},$$

that is to say after simplification:

$$0 = \text{Levi-Matrix}_{\mathcal{L}', \overline{\mathcal{L}'}}^{M'}(h(q)) \cdot \begin{pmatrix} a'_{11}(h(q)) & \cdots & a'_{1n}(h(q)) \\ \vdots & \ddots & \vdots \\ a'_{n1}(h(q)) & \cdots & a'_{nn}(h(q)) \end{pmatrix} \cdot \begin{pmatrix} \kappa_{1q} \\ \vdots \\ \kappa_{nq} \end{pmatrix}.$$

Natural proposition. *At an arbitrary point $q \in M \cap U_p$, a vector:*

$$\mathcal{K}_q = \kappa_{1q} \mathcal{L}_1|_q + \cdots + \kappa_{nq} \mathcal{L}_n|_q \in \text{Kernel}\left(\text{Levi-Matrix}_{\mathcal{L}, \overline{\mathcal{L}}}^M(q)\right)$$

belongs to the kernel of the source Levi form if and only if its transferred image:

$$\begin{aligned} h_*(\mathcal{K}_q) &= (a'_{11}(h(q)) \kappa_{1q} + \cdots + a'_{1n}(h(q)) \kappa_{nq}) \mathcal{L}'_1|_{h(q)} + \\ &\quad + \dots + \\ &\quad + (a'_{n1}(h(q)) \kappa_{1q} + \cdots + a'_{nn}(h(q)) \kappa_{nq}) \mathcal{L}'_n|_{h(q)} \end{aligned}$$

belongs to the kernel of the target Levi form:

$$h_*(\mathcal{K}_q) \in \text{Kernel}\left(\text{Levi-Matrix}_{\mathcal{L}', \overline{\mathcal{L}'}}^{M'}(h(q))\right). \quad \square$$

9. Levi kernel and Freeman form in CR dimension $n = 2$

Now, consider a connected \mathcal{C}^ω hypersurface:

$$M^5 \subset \mathbb{C}^3,$$

hence:

$$\begin{aligned} c &= 1, \\ n &= 2. \end{aligned}$$

Let $p \in M$, let $U_p \ni p$ be a small open ball, and let:

$$\{\mathcal{L}_1, \mathcal{L}_2\}$$

be a local frame for $T^{1,0}M$.

Also, in terms of a differential 1-form:

$$\rho_0: TM \longrightarrow \mathbb{R}$$

satisfying:

$$\{\rho_0 = 0\} = TM \cap J(TM),$$

at every point $q \in M \cap U_p$, abbreviate:

$$\begin{aligned} \text{Levi-Matrix}_{\mathcal{L}, \overline{\mathcal{L}}}^M(q) &= \begin{pmatrix} \rho_0(\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1]) & \rho_0(\sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_1]) \\ \rho_0(\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_2]) & \rho_0(\sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_2]) \end{pmatrix} (q) \\ &=: \begin{pmatrix} \ell_{11}(q) & \ell_{12}(q) \\ \ell_{21}(q) & \ell_{22}(q) \end{pmatrix}, \end{aligned}$$

in terms of a 2×2 matrix-valued \mathcal{C}^ω function:

$$q \mapsto \begin{pmatrix} \ell_{11}(q) & \ell_{12}(q) \\ \ell_{21}(q) & \ell_{22}(q) \end{pmatrix}.$$

At any point $q \in M \cap U_p$:

$$\text{possible ranks}_{\mathbb{C}} = \mathbf{0}, \mathbf{1}, \mathbf{2}.$$

Assume temporarily that the:

$$\begin{aligned} \text{Levi-Determinant}(q) &:= \ell_{11}(q) \ell_{22}(q) - \ell_{12}(q) \ell_{21}(q) \\ &\neq 0 \end{aligned}$$

is not identically zero as a \mathcal{C}^ω function of $q \in M \cap U_p$.

Introducing then the *proper* real analytic subset:

$$\Sigma_p := \{q \in M \cap U_p : 0 = (\ell_{11} \ell_{22} - \ell_{12} \ell_{21})(q)\},$$

one by definition has then for every:

$$q \in (M \cap U_p) \setminus \Sigma_p$$

that:

$$\mathbf{2} = \text{rank}_{\mathbb{C}} \left(\text{Levi-Matrix}_{\mathcal{L}, \overline{\mathcal{L}}}^M(q) \right).$$

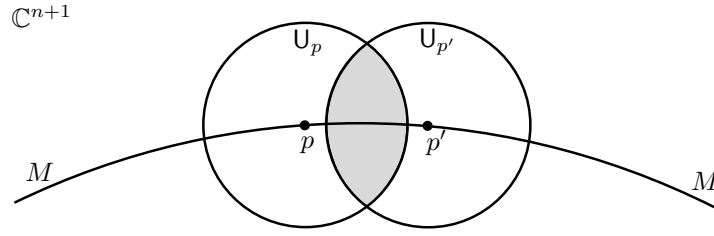
Although the following fact is well known, it is advisable to spend some energy in explaining it.

Assertion. *If the Levi form of a connected hypersurface $M^5 \subset \mathbb{C}^3$ is of rank $\mathbf{2}$ at one of its points, then:*

$$\Sigma := \{q \in M : \text{rank}_{\mathbb{C}}(\text{Levi-Form}^M(q)) \leq \mathbf{1}\}$$

is a global, proper \mathcal{C}^ω subset of M , so that the Levi form is of rank $\mathbf{2}$ at almost every point of M .

Proof. Let $p \in M$, let $U_p \ni p$ be a small ball in which the equation of M is locally expandable in convergent Taylor series.



Let $p' \in M$ be another point, let $U_{p'} \ni p'$ be another small ball and suppose:

$$U_p \cap U_{p'} \neq \emptyset.$$

Take affine coordinates:

$$(z_1, z_2, w)$$

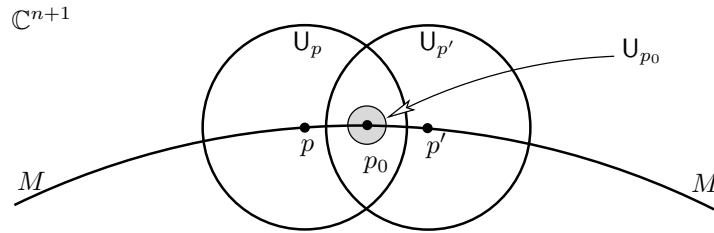
centered at p and affine coordinates:

$$(z'_1, z'_2, w')$$

centered at p' , so that:

$$\begin{aligned} (z'_1, z'_2, w') &= \text{affine}(z_1, z_2, w) \\ &=: h(z_1, z_2, w). \end{aligned}$$

Let $\{\mathcal{L}_1, \mathcal{L}_2\}$ be a local frame in U_p for $T^{1,0}M$ having \mathcal{C}^ω coefficients.



Let also $\{\mathcal{L}'_1, \mathcal{L}'_2\}$ be a local frame in $U_{p'}$ for $T^{1,0}M$ having \mathcal{C}^ω coefficients, so that:

$$\begin{aligned} \mathcal{L}_1 &= a'_{11} \mathcal{L}'_1 + a'_{21} \mathcal{L}'_2, \\ \mathcal{L}_2 &= a'_{12} \mathcal{L}'_1 + a'_{22} \mathcal{L}'_2, \end{aligned}$$

on the intersection. Take in fact to fix ideas:

$$p_0 \in U_p \cap U_{p'},$$

and a small open ball:

$$U_{p_0} \subset U_p \cap U_{p'}.$$

Of course:

$$0 \neq \det \begin{pmatrix} a'_{11}(q') & a'_{12}(q') \\ a'_{21}(q') & a'_{22}(q') \end{pmatrix},$$

for q' in the intersection domain.

In terms of this invertible matrix and of two differential 1-forms ρ_0, ρ'_0 , one already knows that:

$$\begin{pmatrix} \ell_{11}(q) & \ell_{12}(q) \\ \ell_{21}(q) & \ell_{22}(q) \end{pmatrix} = \begin{pmatrix} \text{invertible} \\ \text{matrix} \end{pmatrix} \cdot \begin{pmatrix} \ell'_{11}(h(q)) & \ell'_{12}(h(q)) \\ \ell'_{21}(h(q)) & \ell'_{22}(h(q)) \end{pmatrix} \cdot \begin{pmatrix} \text{invertible} \\ \text{matrix} \end{pmatrix},$$

which implies that the two real analytic subsets:

$$\begin{aligned} \Sigma_p &:= \{q \in M \cap U_p : 0 = (\ell_{11} \ell_{22} - \ell_{12} \ell_{21})(q)\}, \\ \Sigma_{p'} &:= \{q' \in M \cap U_{p'} : 0 = (\ell'_{11} \ell'_{22} - \ell'_{12} \ell'_{21})(q')\}, \end{aligned}$$

coincide on U_{p_0} . Thus they glue together, and from point to point $p, p', p'', \dots \in M$ (use connectedness), all $\Sigma_p, \Sigma_{p'}, \Sigma_{p''}, \dots$ glue alltogether as a global real analytic subset $\Sigma \subset M$.

The uniqueness principle on *connected* open sets:

$$\text{analytic functions are } \begin{cases} \text{either } \equiv 0, \\ \text{or almost everywhere } \neq 0, \end{cases}$$

then insures that:

$$\begin{aligned} \left[(\ell_{11} \ell_{22} - \ell_{12} \ell_{21})(q) \neq 0 \text{ on } M \cap U_p \right] &\implies \left[(\ell'_{11} \ell'_{22} - \ell'_{12} \ell'_{21})(q') \neq 0 \text{ on } M \cap U_{p_0} \right] \\ &\implies \left[(\ell'_{11} \ell'_{22} - \ell'_{12} \ell'_{21})(q') \neq 0 \text{ on } M \cap U_{p'} \right] \end{aligned}$$

(the converse is also trivially true), so that:

$$\begin{aligned} \Sigma_p \cap (M \cap U_p) \text{ is proper} &\implies \Sigma_p \cap (M \cap U_p \cap U_{p'}) \text{ is proper} \\ &\implies \Sigma_p \cap (M \cap U_{p_0}) \text{ is proper} \\ [\Sigma_{p'} = \Sigma_p \text{ inside } U_{p_0}] &\implies \Sigma_{p'} \cap (M \cap U_{p_0}) \text{ is proper} \\ &\implies \Sigma_{p'} \cap (M \cap U_{p'}) \text{ is proper,} \end{aligned}$$

which shows by connectedness of M that the glued global Σ is nowhere dense (proper) as soon as one Σ_p is. \square

Passim, a known generalized statement can be mentioned.

Theorem. *On a \mathcal{C}^ω connected hypersurface:*

$$M^{2n+1} \subset \mathbb{C}^{n+1},$$

there exists an integer:

$$\begin{aligned} r_M &= \text{Zariski-generic (maximal possible) rank} \\ &\text{of the Levi form of } M \\ &=: \text{genrank}_{\mathbb{C}}(\text{Levi-Form}^M), \end{aligned}$$

satisfying:

$$0 \leq r_M \leq n = \text{CRdim } M,$$

and there exists a global proper real analytic (\mathcal{C}^ω) subset:

$$\Sigma \subset M$$

such that:

$$M \setminus \Sigma \ni p \iff r_M = \text{rank}_{\mathbb{C}}(\text{Levi-Form}^M(p)),$$

which means equivalently:

$$\Sigma \ni p \iff \text{rank}_{\mathbb{C}}(\text{Levi-Form}^M(p)) \leq \text{genrank}_{\mathbb{C}}(\text{Levi-Form}^M) - 1. \quad \square$$

For a hypersurface $M^5 \subset \mathbb{C}^3$:

$$r_M = \begin{cases} 0: & \text{Levi-flat mostly degenerate case } M \cong \mathbb{C}^2 \times \mathbb{R}. \\ 1: & \text{Intermediate case to be examined.} \\ 2: & \text{Anciently known Levi nondegenerate case.} \end{cases}$$

Admitting as before the:

Lie-Cartan Principle of Relocalization,

one arrives at a well known fifth *general class* of CR-generic manifolds:

General Class IV₁:

$M^5 \subset \mathbb{C}^3$ with $\{\mathcal{L}_1, \mathcal{L}_2, \overline{\mathcal{L}}_1, \overline{\mathcal{L}}_2, [\mathcal{L}_1, \overline{\mathcal{L}}_1]\}$
constituting a frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$,
and with the Levi-Form:
 $\text{Levi-Form}^M(p)$
being of rank **2** at every point $p \in M$.

Hypersurfaces $M^5 \subset \mathbb{C}^3$ having Levi Form everywhere of rank 1. Examine now the circumstance where:

$$\text{rank}_{\mathbb{C}}(\text{Levi-Form}^M(p)) = 1,$$

at every point p of a connected hypersurface $M^5 \subset \mathbb{C}^3$.

Assertion. *If $M^5 \subset \mathbb{C}^3$ is of \mathcal{C}^κ smoothness with $\kappa \geq 2$, or \mathcal{C}^∞ , or \mathcal{C}^ω , then there exists a unique \mathbb{C} -vector subbundle:*

$$K^{1,0}M \subset T^{1,0}M$$

of $\mathcal{C}^{\kappa-2}$ smoothness, or \mathcal{C}^∞ , or \mathcal{C}^ω , having:

$$\text{rank}_{\mathbb{C}}(K^{1,0}M) = 1,$$

such that, at every point $p \in M$:

$$K_p^{1,0}M \ni \mathcal{H}_p \iff \mathcal{H}_p \in \text{Kernel}(\text{Levi-Form}^M(p)).$$

In other words, this means that the union of all the 1-dimensional kernels gathers coherently and smoothly to constitute a true subbundle of $T^{1,0}M$.

Proof. Let $p \in M$, let $U_p \ni p$ be a small open ball or polydisc, let:

$$\{\mathcal{L}_1, \mathcal{L}_2\}$$

be a local frame for $T^{1,0}M$, and pick a local real differential 1-form:

$$\rho_0: TM \longrightarrow \mathbb{R}$$

whose extension to $\mathbb{C} \otimes_{\mathbb{R}} TM$ satisfies: satisfying:

$$\{\rho_0 = 0\} = T^{0,1}M \oplus T^{0,1}M.$$

One should look at the kernels of the Levi Matrices:

$$\text{Kernel} \begin{pmatrix} \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_1]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \overline{\mathcal{L}}_1]) \\ \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_2]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \overline{\mathcal{L}}_2]) \end{pmatrix} (q)$$

at various points $q \in M \cap U_p$, which one abbreviates as:

$$\text{Kernel} \begin{pmatrix} \ell_{11}(q) & \ell_{12}(q) \\ \ell_{21}(q) & \ell_{22}(q) \end{pmatrix},$$

with entry functions $\ell_{11}, \ell_{12}, \ell_{21}, \ell_{22}$ being $\mathcal{C}^{\kappa-2}$, or \mathcal{C}^∞ , or \mathcal{C}^ω .

By assumption, at p , one entry is nonzero:

$$\ell_{11}(p) \neq 0, \quad \text{or} \quad \ell_{12}(p) \neq 0, \quad \text{or} \quad \ell_{21}(p) \neq 0, \quad \text{or} \quad \ell_{22}(p) \neq 0,$$

because at no point of M , the rank 1 Levi Form can be zero.

It is easy to check that there exists a constant matrix:

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \text{GL}_2(\mathbb{C})$$

so that, replacing the $T^{1,0}M$ -frame by:

$$\mathcal{L}_1^\# := \alpha \mathcal{L}_1 + \beta \mathcal{L}_2,$$

$$\mathcal{L}_2^\# := \gamma \mathcal{L}_1 + \delta \mathcal{L}_2,$$

one can assume (dropping $\#$ symbols) that:

$$0 \neq \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_1])(p) = \ell_{11}(p),$$

so that, shrinking U_p if necessary, one has by continuity:

$$0 \neq \ell_{11}(q)$$

for every $q \in M \cap U_p$.

On the other hand, the rank of the Levi form being nowhere equal to 2 by hypothesis, one must have:

$$\begin{aligned} 0 &\equiv \text{Levi-Determinant} \\ &= \ell_{11}(q) \ell_{22}(q) - \ell_{12}(q) \ell_{21}(q) \quad (\forall q \in M \cap U_p). \end{aligned}$$

Consequently, by plain linear algebra:

$$\mathbf{1} = \dim_{\mathbb{C}} \left(\text{Kernel}(\text{Levi-Form}^M(q)) \right) \quad (\forall q \in M \cap U_p).$$

Next, at one point $q \in M \cap U_p$, consider a *nonzero* vector:

$$\mathcal{K}_q = \kappa_{1q} \mathcal{L}_1|_q + \kappa_{2q} \mathcal{L}_2|_q$$

which is assumed to belong to the Levi kernel, namely:

$$0 = \begin{pmatrix} \ell_{11}(q) & \ell_{12}(q) \\ \ell_{21}(q) & \ell_{22}(q) \end{pmatrix} \begin{pmatrix} \kappa_{1q} \\ \kappa_{2q} \end{pmatrix},$$

that is to say:

$$\begin{aligned} 0 &= \overbrace{\ell_{11}(q)}^{\neq 0} \kappa_{1q} + \ell_{12}(q) \kappa_{2q}, \\ 0 &= \ell_{21}(q) \kappa_{1q} + \ell_{22}(q) \kappa_{2q}. \end{aligned}$$

Thanks to the nowhere vanishing of ℓ_{11} , one can solve the first line:

$$\kappa_{1q} = - \frac{\ell_{12}(q)}{\ell_{11}(q)} \kappa_{2q},$$

while the second line is automatically satisfied (mental exercise) thanks to the zeroness of the Levi determinant.

One therefore gets that at $q \in M \cap U_p$ arbitrary, the Levi kernel is generated, as a 1-dimensional \mathbb{C} -vector space, precisely by:

$$\mathcal{K}_q := - \frac{\ell_{12}(q)}{\ell_{11}(q)} \mathcal{L}_1|_q + \mathcal{L}_2|_q.$$

Final observation: here, because the coefficient-function:

$$- \frac{\ell_{12}(q)}{\ell_{11}(q)}$$

is of $\mathcal{C}^{\kappa-2}$ smoothness, or \mathcal{C}^∞ , or \mathcal{C}^ω on $M \cap U_p$, since ℓ_{11} is nowhere vanishing, then the collection of all complex lines $\mathbb{C} \cdot \mathcal{K}_q$ organizes coherently as a certain true line \mathbb{C} -subbundle $K^{1,0}M \subset T^{1,0}M$.

Of course, when one passes from one open set U_p to a nearby open set $U_{p'}$ with $U_{p'} \cap U_p \neq \emptyset$, the two definitions match up in the intersection, because the Levi kernel exists independently of the choice of local coordinates, and independently of the choice of a local frame for $T^{1,0}M$, as is already known. \square

Now, come back temporarily to hypersurfaces of any dimension $2n + 1$.

Lemma. *On a connected hypersurface:*

$$M^{2n+1} \subset \mathbb{C}^{n+1},$$

which is \mathcal{C}^κ ($\kappa \geq 2$), or \mathcal{C}^∞ , or \mathcal{C}^ω , if the kernel of the Levi form is of constant rank equal to a certain integer e with:

$$0 \leq e \leq n,$$

then the union of kernels gathers coherently to constitute a certain complex vector subbundle:

$$K^{1,0}M \subset T^{1,0}M$$

of rank e which in addition satisfies the three involutiveness conditions:

$$\begin{aligned} [K^{1,0}M, K^{1,0}M] &\subset K^{1,0}M, \\ [K^{0,1}M, K^{0,1}M] &\subset K^{0,1}M, \\ [K^{1,0}M, K^{0,1}M] &\subset K^{1,0}M \oplus K^{0,1}M. \end{aligned}$$

Proof. Taking for granted (exercise) that the proof of the previous Assertion can be elementarily generalized to yield that $K^{1,0}M$ is a rank e vector subbundle of $T^{1,0}M$, it remains to check the stated involutiveness conditions.

Recall how one expresses the hypotheses that a local vector field section:

$$\mathcal{H}$$

of $K^{1,0}M$ belongs to the Levi-kernel at every point. In terms of any frame:

$$\{\mathcal{L}_1, \dots, \mathcal{L}_n\}$$

for $T^{1,0}M$, and in terms of any local differential 1-form:

$$\rho_0: TM \longrightarrow \mathbb{C}$$

satisfying:

$$\{\rho_0 = 0\} = T^{1,0}M \oplus T^{0,1}M,$$

one must have by hypothesis:

$$0 = \rho_0([\mathcal{H}, \overline{\mathcal{L}}_1]) = \dots = \rho_0([\mathcal{H}, \overline{\mathcal{L}}_n]).$$

Since the goal is to prove that:

$$\begin{aligned} [K^{1,0}M, K^{1,0}M] &\subset K^{1,0}M, \\ [K^{0,1}M, K^{0,1}M] &\subset K^{0,1}M, \\ [K^{1,0}M, K^{0,1}M] &\subset K^{1,0}M \oplus K^{0,1}M, \end{aligned}$$

the second condition being the conjugate of the first, take two local sections:

$$\mathcal{H}_1 \quad \text{and} \quad \mathcal{H}_2$$

which both satisfy such a hypothesis:

$$\begin{aligned} [\mathcal{H}_1, \overline{\mathcal{L}}_j] &= \sum_{k=1}^n \text{function} \cdot \mathcal{L}_k + \sum_{l=1}^n \text{function} \cdot \overline{\mathcal{L}}_l, \\ [\mathcal{H}_2, \overline{\mathcal{L}}_j] &= \sum_{k=1}^n \text{function} \cdot \mathcal{L}_k + \sum_{l=1}^n \text{function} \cdot \overline{\mathcal{L}}_l. \end{aligned}$$

Simultaneously, reminding the automatic involutiveness:

$$[T^{1,0}M, T^{1,0}M] \subset T^{1,0}M \implies [K^{1,0}M, T^{1,0}M] \subset T^{1,0}M,$$

one also has for free:

$$\begin{aligned} [\mathcal{H}_1, \mathcal{L}_j] &= \sum_{k=1}^n \text{function} \cdot \mathcal{L}_k, \\ [\mathcal{H}_2, \mathcal{L}_j] &= \sum_{k=1}^n \text{function} \cdot \mathcal{L}_k. \end{aligned}$$

It is now time to examine whether the bracket:

$$[\mathcal{H}_1, \mathcal{H}_2]$$

still belongs to the kernel of the Levi form, namely to compute:

$$\rho_0([\mathcal{H}_1, \mathcal{H}_2], \overline{\mathcal{L}}_j) \stackrel{?}{=} 0 \quad (j=1 \dots n).$$

But the Jacobi identity:

$$[[\mathcal{H}_1, \mathcal{H}_2], \overline{\mathcal{L}}_j] = -[[\overline{\mathcal{L}}_j, \mathcal{H}_1], \mathcal{H}_2] - [[\mathcal{H}_2, \overline{\mathcal{L}}_j], \mathcal{H}_1]$$

yields thanks to two applications of the hypothesis:

$$\begin{aligned} \rho_0([\mathcal{H}_1, \mathcal{H}_2], \overline{\mathcal{L}}_j) &= -\rho_0([\overline{\mathcal{L}}_j, \mathcal{H}_1], \mathcal{H}_2) - \rho_0([\mathcal{H}_2, \overline{\mathcal{L}}_j], \mathcal{H}_1) \\ &= -\rho_0([\text{function} \cdot \mathcal{L} + \text{function} \cdot \overline{\mathcal{L}}, \mathcal{H}_2]) - \\ &\quad - \rho_0([\text{function} \cdot \mathcal{L} + \text{function} \cdot \overline{\mathcal{L}}, \mathcal{H}_1]) \\ &= \rho_0([\text{function} \cdot \mathcal{L} + \text{function} \cdot \overline{\mathcal{L}}]) \\ &= 0, \end{aligned}$$

as desired.

The second involutiveness condition is proved similarly (or by conjugating).

To prove the third condition, one has to compute:

$$[\mathcal{H}_1, \overline{\mathcal{H}}_2].$$

Since this bracket is at least a local section of:

$$T^{1,0}M \oplus T^{0,1}M,$$

one decomposes it as:

$$[\mathcal{K}_1, \overline{\mathcal{K}}_2] = \mathcal{M} + \overline{\mathcal{N}},$$

where \mathcal{M} and \mathcal{N} are local sections of $T^{1,0}M$. The goal is to prove that both are local sections of the Levi kernel subbundle $K^{1,0}M \subset T^{1,0}M$.

At first, one abbreviates what precedes as:

$$\begin{aligned} [\mathcal{K}_1, \mathcal{L}_j] &= \mathcal{R}_{1j}, \\ [\mathcal{K}_2, \mathcal{L}_j] &= \mathcal{R}_{2j}, \\ [\mathcal{K}_1, \overline{\mathcal{L}}_j] &= \mathcal{S}_{1j} + \overline{\mathcal{T}}_{1j}, \\ [\mathcal{K}_2, \overline{\mathcal{L}}_j] &= \mathcal{S}_{2j} + \overline{\mathcal{T}}_{2j}. \end{aligned}$$

Then the Jacobi identity yields on one hand:

$$\begin{aligned} [[\mathcal{K}_1, \overline{\mathcal{K}}_2], \overline{\mathcal{L}}_j] &= - [[\overline{\mathcal{L}}_j, \mathcal{K}_1], \overline{\mathcal{K}}_2] - [[\overline{\mathcal{K}}_2, \overline{\mathcal{L}}_j], \mathcal{K}_1] \\ &= [\mathcal{S}_{1j} + \overline{\mathcal{T}}_{1j}, \overline{\mathcal{K}}_2] + [\overline{\mathcal{R}}_{2j}, \mathcal{K}_1] \\ &= \underbrace{[\mathcal{S}_{1j}, \overline{\mathcal{K}}_2]}_{\text{section of } T^{1,0}M \oplus T^{0,1}M} + \underbrace{[\overline{\mathcal{T}}_{1j}, \overline{\mathcal{K}}_2]}_{\text{section of } T^{0,1}M} + \underbrace{[\overline{\mathcal{R}}_{2j}, \mathcal{K}_1]}_{\text{section of } T^{1,0}M \oplus T^{0,1}M} \\ &= \text{vector field section of } T^{1,0}M \oplus T^{0,1}M, \end{aligned}$$

while on another hand the same length 3 bracket has value:

$$\begin{aligned} [[\mathcal{K}_1, \overline{\mathcal{K}}_2], \overline{\mathcal{L}}_j] &= [\mathcal{M} + \overline{\mathcal{N}}, \overline{\mathcal{L}}_j] \\ &= [\mathcal{M}, \overline{\mathcal{L}}_j] + \underbrace{[\overline{\mathcal{N}}, \overline{\mathcal{L}}_j]}_{\text{section of } T^{0,1}M}, \end{aligned}$$

and then a final subtraction provides:

$$[\mathcal{M}, \overline{\mathcal{L}}_j] \text{ is a local section of } T^{1,0}M \oplus T^{0,1}M,$$

which means precisely that:

$$\mathcal{M} \text{ is a section of the Levi kernel subbundle } K^{1,0}M.$$

One verifies (exercise) that a similar reasoning starting from:

$$[[\mathcal{K}_1, \overline{\mathcal{K}}_2], \mathcal{L}_j]$$

proves that:

$$[\overline{\mathcal{N}}, \mathcal{L}_j] \text{ is a local section of } T^{1,0}M \oplus T^{0,1}M,$$

which means, after conjugation, that:

$$\mathcal{N} \text{ is a section of the Levi kernel subbundle } K^{1,0}M,$$

and this concludes the proof. \square

Introduce the *real* subbundle:

$$\begin{aligned} K^c M &:= \operatorname{Re} K^{1,0} M \\ &\subset \operatorname{Re} T^{1,0} M = T^c M. \end{aligned}$$

Corollary. *One has the involutiveness condition:*

$$[K^c M, K^c M] \subset K^c M.$$

Proof. Indeed, two general local sections of $K^c M$ write:

$$\begin{aligned} K_1 &= \mathcal{K}_1 + \overline{\mathcal{K}}_1, \\ K_2 &= \mathcal{K}_2 + \overline{\mathcal{K}}_2, \end{aligned}$$

whence:

$$\begin{aligned} [K_1, K_2] &= [\mathcal{K}_1 + \overline{\mathcal{K}}_1, \mathcal{K}_2 + \overline{\mathcal{K}}_2] \\ &= \underbrace{[\mathcal{K}_1, \mathcal{K}_2]}_{\substack{\text{section } \mathcal{K}_3 \\ \text{of } K^{1,0} M}} + \underbrace{[\overline{\mathcal{K}}_1, \overline{\mathcal{K}}_2]}_{\overline{\mathcal{K}}_3} + \underbrace{[\mathcal{K}_1, \overline{\mathcal{K}}_2]}_{\substack{\text{section } \mathcal{K}_4 + \overline{\mathcal{K}}_5 \\ \text{of } K^{1,0} M \oplus K^{0,1} M}} + \underbrace{[\overline{\mathcal{K}}_1, \mathcal{K}_2]}_{\overline{\mathcal{K}}_4 + \mathcal{K}_5} \\ &= \underbrace{\mathcal{K}_3 + \overline{\mathcal{K}}_3}_{\substack{\text{section} \\ \text{of } K^c M}} + \underbrace{\mathcal{K}_4 + \overline{\mathcal{K}}_4}_{\substack{\text{section} \\ \text{of } K^c M}} + \underbrace{\mathcal{K}_5 + \overline{\mathcal{K}}_5}_{\substack{\text{section} \\ \text{of } K^c M}}, \end{aligned}$$

which concludes. \square

Explicit expression of the function k for $M^5 \subset \mathbb{C}^3$. Now, come back to the case under study of a hypersurface:

$$M^5 \subset \mathbb{C}^3$$

whose Levi-kernel bundle:

$$K^{1,0} M \subset T^{1,0} M$$

if of rank:

$$\mathbf{1} = \operatorname{rank}_{\mathbb{C}}(K^{1,0} M) < \mathbf{2} = \operatorname{rank}_{\mathbb{C}}(T^{1,0} M).$$

Take as usual a local differential 1-form:

$$\rho_0: TM \longrightarrow \mathbb{R}$$

whose extension to $\mathbb{C} \otimes_{\mathbb{R}} TM$ satisfies:

$$\{\rho_0 = 0\} = T^{1,0} M \oplus T^{0,1} M.$$

Of course, such a ρ_0 is far from unique: it can be changed by multiplying it by any nowhere vanishing real function.

Here, one must make more explicit all the data for $K^{1,0} M$ in terms of a graphing function φ for M :

$$v = \varphi(x_1, x_2, y_1, y_2, u),$$

in some local affine holomorphic coordinates:

$$(z_1, z_2, w) = (x_1 + \sqrt{-1}y_1, x_2 + \sqrt{-1}y_2, u + \sqrt{-1}v)$$

centered at some point $p \in M$.

Concerning smoothness, assume that:

$$\varphi \in \mathcal{C}^\kappa \ (\kappa \geq 3), \quad \text{or} \quad \varphi \in \mathcal{C}^\infty, \quad \text{or} \quad \varphi \in \mathcal{C}^\omega,$$

because φ will be differentiated thrice.

First of all, a natural local frame for $T^{1,0}M$:

$$\{\mathcal{L}_1, \mathcal{L}_2\}$$

is constituted by the two $(1, 0)$ vector fields:

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} - \frac{\varphi_{z_1}}{\sqrt{-1} + \varphi_u} \frac{\partial}{\partial u}, \\ \mathcal{L}_2 &= \frac{\partial}{\partial z_2} - \frac{\varphi_{z_2}}{\sqrt{-1} + \varphi_u} \frac{\partial}{\partial u}, \end{aligned}$$

together with their conjugates:

$$\begin{aligned} \overline{\mathcal{L}}_1 &= \frac{\partial}{\partial \bar{z}_1} - \frac{\varphi_{\bar{z}_1}}{-\sqrt{-1} + \varphi_u} \frac{\partial}{\partial u}, \\ \overline{\mathcal{L}}_2 &= \frac{\partial}{\partial \bar{z}_2} - \frac{\varphi_{\bar{z}_2}}{-\sqrt{-1} + \varphi_u} \frac{\partial}{\partial u}; \end{aligned}$$

recall indeed that φ being real:

$$\overline{\varphi(x_1, x_2, y_1, y_2, u)} = \varphi(x_1, x_2, y_1, y_2, u),$$

one has:

$$\overline{\varphi_{z_1}} = \varphi_{\bar{z}_1}, \quad \overline{\varphi_{z_2}} = \varphi_{\bar{z}_2}.$$

To begin with, compute the entries of:

$$\text{Levi - Matrix}_{\mathcal{L}, \overline{\mathcal{L}}}^M(q) = \begin{pmatrix} \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_1]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \overline{\mathcal{L}}_1]) \\ \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_2]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \overline{\mathcal{L}}_2]) \end{pmatrix} (q).$$

As an intermediation, if one abbreviates:

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} + A_1 \frac{\partial}{\partial u}, & \overline{\mathcal{L}}_1 &= \frac{\partial}{\partial \bar{z}_1} + \overline{A_1} \frac{\partial}{\partial u}, \\ \mathcal{L}_2 &= \frac{\partial}{\partial z_2} + A_2 \frac{\partial}{\partial u}, & \overline{\mathcal{L}}_2 &= \frac{\partial}{\partial \bar{z}_2} + \overline{A_2} \frac{\partial}{\partial u}, \end{aligned}$$

with of course:

$$\begin{aligned} A_1 &:= -\frac{\varphi_{z_1}}{\sqrt{-1} + \varphi_u}, \\ A_2 &:= -\frac{\varphi_{z_2}}{\sqrt{-1} + \varphi_u}, \end{aligned}$$

when one computes the 4 Lie brackets:

$$\begin{aligned}\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1] &= \sqrt{-1} \left[\frac{\partial}{\partial z_1} + A_1 \frac{\partial}{\partial u}, \frac{\partial}{\partial \bar{z}_1} + \overline{A_1} \frac{\partial}{\partial u} \right] \\ &= \sqrt{-1} \left(\mathcal{L}_1(\overline{A_1}) - \overline{\mathcal{L}}_1(A_1) \right) \frac{\partial}{\partial u}, \\ \sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_1] &= \sqrt{-1} \left(\mathcal{L}_2(\overline{A_1}) - \overline{\mathcal{L}}_1(A_2) \right) \frac{\partial}{\partial u}, \\ \sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_2] &= \sqrt{-1} \left(\mathcal{L}_1(\overline{A_2}) - \overline{\mathcal{L}}_2(A_1) \right) \frac{\partial}{\partial u}, \\ \sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_2] &= \sqrt{-1} \left(\mathcal{L}_2(\overline{A_2}) - \overline{\mathcal{L}}_2(A_2) \right) \frac{\partial}{\partial u},\end{aligned}$$

8 functions appear:

$$\begin{array}{ll}\mathcal{L}_1(\overline{A_1}), & \overline{\mathcal{L}}_1(A_1), \\ \mathcal{L}_2(\overline{A_1}), & \overline{\mathcal{L}}_1(A_2), \\ \mathcal{L}_1(\overline{A_2}), & \overline{\mathcal{L}}_2(A_1), \\ \mathcal{L}_2(\overline{A_2}), & \overline{\mathcal{L}}_2(A_2),\end{array}$$

that one should express in terms of φ .

Here is detailed computation for the first one:

$$\begin{aligned}\mathcal{L}_1(\overline{A_1}) &= \left(\frac{\partial}{\partial z_1} - \frac{\varphi_{z_1}}{\sqrt{-1} + \varphi_u} \frac{\partial}{\partial u} \right) \left[- \frac{\varphi_{\bar{z}_1}}{-\sqrt{-1} + \varphi_u} \right] \\ &= - \frac{\varphi_{z_1 \bar{z}_1}}{-\sqrt{-1} + \varphi_u} + \frac{\varphi_{\bar{z}_1} \varphi_{z_1 u}}{(-\sqrt{-1} + \varphi_u)^2} - \frac{\varphi_{z_1}}{\sqrt{-1} + \varphi_u} \left[- \frac{\varphi_{\bar{z}_1 u}}{-\sqrt{-1} + \varphi_u} + \frac{\varphi_{\bar{z}_1} \varphi_{uu}}{(-\sqrt{-1} + \varphi_u)^2} \right] \\ &= \frac{-\varphi_{z_1 \bar{z}_1} (1 + \varphi_u^2) + \varphi_{\bar{z}_1} \varphi_{z_1 u} (\sqrt{-1} + \varphi_u) + \varphi_{z_1} \varphi_{\bar{z}_1 u} (-\sqrt{-1} + \varphi_u) - \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu}}{(\sqrt{-1} + \varphi_u) (-\sqrt{-1} + \varphi_u)^2}.\end{aligned}$$

The conjugate is:

$$\overline{\mathcal{L}}_1(A_1) = \frac{-\varphi_{z_1 \bar{z}_1} (1 + \varphi_u^2) + \varphi_{z_1} \varphi_{\bar{z}_1 u} (-\sqrt{-1} + \varphi_u) + \varphi_{\bar{z}_1} \varphi_{z_1 u} (\sqrt{-1} + \varphi_u) - \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu}}{(\sqrt{-1} + \varphi_u)^2 (-\sqrt{-1} + \varphi_u)}.$$

Similarly, one obtains:

$$\begin{aligned}\mathcal{L}_2(\overline{A_1}) &= \frac{-\varphi_{z_2 \bar{z}_1} (1 + \varphi_u^2) + \varphi_{\bar{z}_1} \varphi_{z_2 u} (\sqrt{-1} + \varphi_u) + \varphi_{z_2} \varphi_{\bar{z}_1 u} (-\sqrt{-1} + \varphi_u) - \varphi_{z_2} \varphi_{\bar{z}_1} \varphi_{uu}}{(\sqrt{-1} + \varphi_u) (-\sqrt{-1} + \varphi_u)^2}, \\ \mathcal{L}_1(\overline{A_2}) &= \frac{-\varphi_{z_1 \bar{z}_2} (1 + \varphi_u^2) + \varphi_{\bar{z}_2} \varphi_{z_1 u} (\sqrt{-1} + \varphi_u) + \varphi_{z_1} \varphi_{\bar{z}_2 u} (-\sqrt{-1} + \varphi_u) - \varphi_{z_1} \varphi_{\bar{z}_2} \varphi_{uu}}{(\sqrt{-1} + \varphi_u) (-\sqrt{-1} + \varphi_u)^2}, \\ \mathcal{L}_2(\overline{A_2}) &= \frac{-\varphi_{z_2 \bar{z}_2} (1 + \varphi_u^2) + \varphi_{\bar{z}_2} \varphi_{z_2 u} (\sqrt{-1} + \varphi_u) + \varphi_{z_2} \varphi_{\bar{z}_2 u} (-\sqrt{-1} + \varphi_u) - \varphi_{z_2} \varphi_{\bar{z}_2} \varphi_{uu}}{(\sqrt{-1} + \varphi_u) (-\sqrt{-1} + \varphi_u)^2},\end{aligned}$$

while the conjugates are:

$$\begin{aligned}\overline{\mathcal{L}}_2(A_1) &= \frac{-\varphi_{z_1\bar{z}_2}(1 + \varphi_u^2) + \varphi_{z_1}\varphi_{\bar{z}_2u}(-\sqrt{-1} + \varphi_u) + \varphi_{\bar{z}_2}\varphi_{z_1u}(\sqrt{-1} + \varphi_u) - \varphi_{z_1}\varphi_{\bar{z}_2}\varphi_{uu}}{(\sqrt{-1} + \varphi_u)^2(-\sqrt{-1} + \varphi_u)}, \\ \overline{\mathcal{L}}_1(A_2) &= \frac{-\varphi_{z_2\bar{z}_1}(1 + \varphi_u^2) + \varphi_{z_2}\varphi_{\bar{z}_1u}(-\sqrt{-1} + \varphi_u) + \varphi_{\bar{z}_1}\varphi_{z_2u}(\sqrt{-1} + \varphi_u) - \varphi_{z_2}\varphi_{\bar{z}_1}\varphi_{uu}}{(\sqrt{-1} + \varphi_u)^2(-\sqrt{-1} + \varphi_u)}, \\ \overline{\mathcal{L}}_2(A_2) &= \frac{-\varphi_{z_2\bar{z}_2}(1 + \varphi_u^2) + \varphi_{z_2}\varphi_{\bar{z}_2u}(-\sqrt{-1} + \varphi_u) + \varphi_{\bar{z}_2}\varphi_{z_2u}(\sqrt{-1} + \varphi_u) - \varphi_{z_2}\varphi_{\bar{z}_2}\varphi_{uu}}{(\sqrt{-1} + \varphi_u)^2(-\sqrt{-1} + \varphi_u)}.\end{aligned}$$

While computing the entries of the Levi matrix, in the subtractions after reduction a common denominator, a number of terms disappear. For instance, in:

$$\begin{aligned}\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_1] &= \sqrt{-1}\left(\mathcal{L}_1(\overline{A}_1) - \overline{\mathcal{L}}_1(A_1)\right) \frac{\partial}{\partial u} \\ &= \sqrt{-1}\left(\overline{A}_{1z_1} + A_1\overline{A}_{1u} - A_{1\bar{z}_1} - \overline{A}_1A_{1u}\right) \frac{\partial}{\partial u}\end{aligned}$$

one obtains after simplifications:

$$\begin{aligned}\sqrt{-1}\left(\mathcal{L}_1(\overline{A}_1) - \overline{\mathcal{L}}_1(A_1)\right) &= \frac{1}{(\sqrt{-1} + \varphi_u)^2(-\sqrt{-1} + \varphi_u)^2} \left\{ 2\varphi_{z_1\bar{z}_1} + 2\varphi_{z_1\bar{z}_1}\varphi_u\varphi_u - \right. \\ &\quad - 2\sqrt{-1}\varphi_{\bar{z}_1}\varphi_{z_1u} - 2\varphi_{\bar{z}_1}\varphi_{z_1u}\varphi_u + 2\sqrt{-1}\varphi_{z_1}\varphi_{\bar{z}_1u} + \\ &\quad \left. + 2\varphi_{z_1}\varphi_{\bar{z}_1}\varphi_{uu} - 2\varphi_{z_1}\varphi_{\bar{z}_1u}\varphi_u \right\}.\end{aligned}$$

Of course, with the natural choice of:

$$\rho_0 := -A_1 dz_1 - A_2 dz_2 - \overline{A}_1 d\bar{z}_1 - \overline{A}_2 d\bar{z}_2 + du,$$

one has:

$$\begin{aligned}\rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_1]) &= \text{this last expression} \\ &= \sqrt{-1}\left(\mathcal{L}_1(\overline{A}_1) - \overline{\mathcal{L}}_1(A_1)\right) \\ &= \sqrt{-1}\left(\overline{A}_{1z_1} + A_1\overline{A}_{1u} - A_{1\bar{z}_1} - \overline{A}_1A_{1u}\right).\end{aligned}$$

Recall that, introducing:

$$\mathcal{F} := \sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_1],$$

one is currently working under the assumption that the Levi form is everywhere of rank 1, so that after a possible $\text{GL}_2(\mathbb{C})$ change of coordinates, one can assume that the 5 fields:

$$\{\mathcal{L}_1, \mathcal{L}_2, \overline{\mathcal{L}}_1, \overline{\mathcal{L}}_2, \mathcal{F}\}$$

constitute a frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$.

Next, one computes similarly the remaining three entries of the Levi matrix:

$$\begin{aligned} \sqrt{-1} (\mathcal{L}_2(\overline{A_1}) - \overline{\mathcal{L}_1}(A_2)) &= \frac{1}{(\sqrt{-1} + \varphi_u)^2(-\sqrt{-1} + \varphi_u)^2} \left\{ 2\varphi_{z_2\overline{z_1}} + 2\varphi_{z_2\overline{z_1}}\varphi_u\varphi_u - \right. \\ &\quad - 2\sqrt{-1}\varphi_{\overline{z_1}}\varphi_{z_2u} - 2\varphi_{\overline{z_1}}\varphi_{z_2u}\varphi_u + 2\sqrt{-1}\varphi_{z_2}\varphi_{\overline{z_1}u} + \\ &\quad \left. + 2\varphi_{z_2}\varphi_{\overline{z_1}}\varphi_{uu} - 2\varphi_{z_2}\varphi_{\overline{z_1}u}\varphi_u \right\}, \end{aligned}$$

$$\begin{aligned} \sqrt{-1} (\mathcal{L}_1(\overline{A_2}) - \overline{\mathcal{L}_2}(A_1)) &= \frac{1}{(\sqrt{-1} + \varphi_u)^2(-\sqrt{-1} + \varphi_u)^2} \left\{ 2\varphi_{z_1\overline{z_2}} + 2\varphi_{z_1\overline{z_2}}\varphi_u\varphi_u - \right. \\ &\quad - 2\sqrt{-1}\varphi_{\overline{z_2}}\varphi_{z_1u} - 2\varphi_{\overline{z_2}}\varphi_{z_1u}\varphi_u + 2\sqrt{-1}\varphi_{z_1}\varphi_{\overline{z_2}u} + \\ &\quad \left. + 2\varphi_{z_1}\varphi_{\overline{z_2}}\varphi_{uu} - 2\varphi_{z_1}\varphi_{\overline{z_2}u}\varphi_u \right\}, \end{aligned}$$

$$\begin{aligned} \sqrt{-1} (\mathcal{L}_2(\overline{A_2}) - \overline{\mathcal{L}_2}(A_2)) &= \frac{1}{(\sqrt{-1} + \varphi_u)^2(-\sqrt{-1} + \varphi_u)^2} \left\{ 2\varphi_{z_2\overline{z_2}} + 2\varphi_{z_2\overline{z_2}}\varphi_u\varphi_u - \right. \\ &\quad - 2\sqrt{-1}\varphi_{\overline{z_2}}\varphi_{z_2u} - 2\varphi_{\overline{z_2}}\varphi_{z_2u}\varphi_u + 2\sqrt{-1}\varphi_{z_2}\varphi_{\overline{z_2}u} + \\ &\quad \left. + 2\varphi_{z_2}\varphi_{\overline{z_2}}\varphi_{uu} - 2\varphi_{z_2}\varphi_{\overline{z_2}u}\varphi_u \right\}. \end{aligned}$$

Thanks to all these expressions, the:

$$\begin{aligned} \text{Levi-Determinant} &= \det \begin{pmatrix} \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}_1}]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \overline{\mathcal{L}_1}]) \\ \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}_2}]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \overline{\mathcal{L}_2}]) \end{pmatrix} \\ &= \det \begin{pmatrix} \sqrt{-1}(\mathcal{L}_1(\overline{A_1}) - \overline{\mathcal{L}_1}(A_1)) & \sqrt{-1}(\mathcal{L}_2(\overline{A_1}) - \overline{\mathcal{L}_1}(A_2)) \\ \sqrt{-1}(\mathcal{L}_1(\overline{A_2}) - \overline{\mathcal{L}_2}(A_1)) & \sqrt{-1}(\mathcal{L}_2(\overline{A_2}) - \overline{\mathcal{L}_2}(A_2)) \end{pmatrix}, \end{aligned}$$

equal to:

$$= \det \begin{pmatrix} \sqrt{-1}(\overline{A_{1z_1}} + A_1\overline{A_{1u}} - A_{1\overline{z_1}} - \overline{A_1}A_{1u}) & \sqrt{-1}(\overline{A_{1z_2}} + A_2\overline{A_{1u}} - A_{2\overline{z_1}} - \overline{A_1}A_{2u}) \\ \sqrt{-1}(\overline{A_{2z_1}} + A_1\overline{A_{2u}} - A_{1\overline{z_2}} - \overline{A_2}A_{1u}) & \sqrt{-1}(\overline{A_{2z_2}} + A_2\overline{A_{2u}} - A_{2\overline{z_2}} - \overline{A_2}A_{2u}) \end{pmatrix}$$

can be computed in terms of φ , and one obtains after simplifications:

$$\text{Levi-Determinant} = \frac{4}{(\sqrt{-1} + \varphi_u)^3 (-\sqrt{-1} + \varphi_u)^3} \left\{ \begin{aligned} & \varphi_{z_2 \bar{z}_2} \varphi_{z_1 \bar{z}_1} - \varphi_{z_2 \bar{z}_1} \varphi_{z_1 \bar{z}_2} + \\ & + \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1 u} \varphi_u - \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1} \varphi_{uu} - \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_{z_1} \varphi_{\bar{z}_2 u} + \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_u \varphi_{z_1 \bar{z}_2} - \\ & - \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_{\bar{z}_2} \varphi_{z_1 u} - \varphi_{z_2} \varphi_{\bar{z}_1} \varphi_{uu} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_u \varphi_{z_1 \bar{z}_2} - \varphi_{z_2 \bar{z}_2} \varphi_{\bar{z}_1} \varphi_{z_1 u} \varphi_u + \\ & + \varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu} - \varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1 u} \varphi_u + \varphi_{z_2 \bar{z}_1} \varphi_{z_1} \varphi_{\bar{z}_2 u} \varphi_u + \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{\bar{z}_1} \varphi_{z_1 u} - \\ & - \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{z_1 \bar{z}_1} \varphi_u + \varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_{z_1} \varphi_{\bar{z}_1 u} - \varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_u \varphi_{z_1 \bar{z}_1} + \varphi_{\bar{z}_2} \varphi_{z_2} \varphi_{uu} \varphi_{z_1 \bar{z}_1} + \\ & + \sqrt{-1} (\varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1 u} + \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1 u} + \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{z_1 \bar{z}_1}) - \\ & - \sqrt{-1} (\varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_{z_1 \bar{z}_1} + \varphi_{z_2 \bar{z}_1} \varphi_{z_1} \varphi_{\bar{z}_2 u} + \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2 \bar{z}_2} \varphi_{\bar{z}_1} \varphi_{z_1 u}) - \\ & - \varphi_{z_2 \bar{z}_1} \varphi_{z_1 \bar{z}_2} \varphi_u \varphi_u + \varphi_{z_2 \bar{z}_2} \varphi_{z_1 \bar{z}_1} \varphi_u \varphi_u \end{aligned} \right\}.$$

So, this Levi determinant is assumed to be identically zero:

$$\begin{aligned} 0 \equiv & \varphi_{z_2 \bar{z}_2} \varphi_{z_1 \bar{z}_1} - \varphi_{z_2 \bar{z}_1} \varphi_{z_1 \bar{z}_2} + \\ & + \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1 u} \varphi_u - \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1} \varphi_{uu} - \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_{z_1} \varphi_{\bar{z}_2 u} + \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_u \varphi_{z_1 \bar{z}_2} - \\ & - \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_{\bar{z}_2} \varphi_{z_1 u} - \varphi_{z_2} \varphi_{\bar{z}_1} \varphi_{uu} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_u \varphi_{z_1 \bar{z}_2} - \varphi_{z_2 \bar{z}_2} \varphi_{\bar{z}_1} \varphi_{z_1 u} \varphi_u + \\ & + \varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu} - \varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1 u} \varphi_u + \varphi_{z_2 \bar{z}_1} \varphi_{z_1} \varphi_{\bar{z}_2 u} \varphi_u + \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{\bar{z}_1} \varphi_{z_1 u} - \\ & - \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{z_1 \bar{z}_1} \varphi_u + \varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_{z_1} \varphi_{\bar{z}_1 u} - \varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_u \varphi_{z_1 \bar{z}_1} + \varphi_{\bar{z}_2} \varphi_{z_2} \varphi_{uu} \varphi_{z_1 \bar{z}_1} + \\ & + \sqrt{-1} (\varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1 u} + \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1 u} + \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{z_1 \bar{z}_1}) - \\ & - \sqrt{-1} (\varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_{z_1 \bar{z}_1} + \varphi_{z_2 \bar{z}_1} \varphi_{z_1} \varphi_{\bar{z}_2 u} + \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2 \bar{z}_2} \varphi_{\bar{z}_1} \varphi_{z_1 u}) - \\ & - \varphi_{z_2 \bar{z}_1} \varphi_{z_1 \bar{z}_2} \varphi_u \varphi_u + \varphi_{z_2 \bar{z}_2} \varphi_{z_1 \bar{z}_1} \varphi_u \varphi_u. \end{aligned}$$

Now, remind that the local generator:

$$\mathcal{H} = k \mathcal{L}_1 + \mathcal{L}_2$$

of the Levi-kernel bundle $K^{1,0}M$ has as its coefficient-function:

$$\begin{aligned} k &= - \frac{\rho_0(\sqrt{-1} [\mathcal{L}_2, \overline{\mathcal{L}}_1])}{\rho_0(\sqrt{-1} [\mathcal{L}_1, \overline{\mathcal{L}}_1])} \\ &= - \frac{\mathcal{L}_2(\overline{A}_1) - \overline{\mathcal{L}}_1(A_2)}{\mathcal{L}_1(\overline{A}_1) - \overline{\mathcal{L}}_1(A_1)}, \end{aligned}$$

namely:

$$k = \frac{\varphi_{z_2 \bar{z}_1} + \varphi_{z_2 \bar{z}_1} \varphi_u \varphi_u - \sqrt{-1} \varphi_{\bar{z}_1} \varphi_{z_2 u} - \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_u + \sqrt{-1} \varphi_{z_2} \varphi_{\bar{z}_1 u} + \varphi_{z_2} \varphi_{\bar{z}_1} \varphi_{uu} - \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_u}{-\varphi_{z_1 \bar{z}_1} - \varphi_{z_1 \bar{z}_1} \varphi_u \varphi_u + \sqrt{-1} \varphi_{\bar{z}_1} \varphi_{z_1 u} + \varphi_{\bar{z}_1} \varphi_{z_1 u} \varphi_u - \sqrt{-1} \varphi_{z_1} \varphi_{\bar{z}_1 u} - \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu} + \varphi_{z_1} \varphi_{\bar{z}_1 u} \varphi_u},$$

and there is a:

Surprising Computational fact. *This function:*

$$k = -\frac{\mathcal{L}_2(\overline{A_1}) - \overline{\mathcal{L}_1}(A_2)}{\mathcal{L}_1(\overline{A_1}) - \overline{\mathcal{L}_1}(A_1)},$$

when expressed back in terms of the graphing function for M :

$$\varphi = \varphi(x_1, x_2, y_1, y_2, u),$$

happens to be also equal to the other two quotients:

$$\begin{aligned} k &= -\frac{\mathcal{L}_2(\overline{A_1})}{\mathcal{L}_1(\overline{A_1})} \\ &= -\frac{-\overline{\mathcal{L}_1}(A_2)}{-\overline{\mathcal{L}_1}(A_1)}. \end{aligned}$$

Proof. By coming back to the expressions of these numerators:

$$\mathcal{L}_2(\overline{A_1}), \quad -\overline{\mathcal{L}_1}(A_2),$$

and of these denominators:

$$\mathcal{L}_1(\overline{A_1}), \quad \overline{\mathcal{L}_1}(A_1)$$

provided explicitly above, the fact becomes visible (eyes exercise). \square

One can provide a partial enlightenment of why this fact is true by sticking to the particular so-called *rigid case* in which the graphing function φ is independent of $u = \operatorname{Re} w$, namely when the equation of M is under the form:

$$v = \varphi(x_1, x_2, y_1, y_2).$$

In this case, the computations greatly simplify. Indeed:

$$\begin{aligned} \mathcal{L}_1 &= \frac{\partial}{\partial z_1} + \underbrace{\sqrt{-1}\varphi_{z_1}}_{=A_1} \frac{\partial}{\partial u}, & \overline{\mathcal{L}}_1 &= \frac{\partial}{\partial \bar{z}_1} + \underbrace{-\sqrt{-1}\varphi_{\bar{z}_1}}_{=A_1} \frac{\partial}{\partial u}, \\ \mathcal{L}_2 &= \frac{\partial}{\partial z_2} + \underbrace{\sqrt{-1}\varphi_{z_2}}_{=A_2} \frac{\partial}{\partial u}, & \overline{\mathcal{L}}_2 &= \frac{\partial}{\partial \bar{z}_2} + \underbrace{-\sqrt{-1}\varphi_{\bar{z}_2}}_{=A_2} \frac{\partial}{\partial u}, \end{aligned}$$

so that the Levi matrix becomes:

$$\begin{pmatrix} 2\varphi_{z_1\bar{z}_1} & 2\varphi_{z_2\bar{z}_1} \\ 2\varphi_{z_1\bar{z}_2} & 2\varphi_{z_2\bar{z}_2} \end{pmatrix},$$

whence:

$$\begin{aligned}
 k &= -\frac{2\varphi_{z_2\bar{z}_1}}{2\varphi_{z_1\bar{z}_1}} \\
 &= -\frac{\varphi_{z_2\bar{z}_1}}{\varphi_{z_1\bar{z}_1}} \\
 &= -\frac{\mathcal{L}_2(\varphi_{\bar{z}_1})}{\mathcal{L}_1(\varphi_{\bar{z}_1})} \\
 &= -\frac{-\overline{\mathcal{L}_1(\varphi_{z_2})}}{-\overline{\mathcal{L}_1(\varphi_{z_1})}}.
 \end{aligned}$$

Transfer through local biholomorphisms and Freeman form. With $p \in M^5 \subset \mathbb{C}^3$ and $U_p \ni p$ open, when a local biholomorphism is given:

$$h: U_p \xrightarrow{\sim} U'_{p'}$$

of U_p onto an image open set:

$$U'_{p'} := h(U_p) \subset \mathbb{C}^{n+1} \quad (p' = h(p)),$$

so that:

$$M' \subset U'_{p'}$$

is also a hypersurface of \mathbb{C}^3 , it has already been proved above that the rank of the Levi form of M' is also equal to 1 at every point $q' \in M'$, and that the Levi-kernel bundles transfer properly through h :

$$\begin{aligned}
 h_*(K^{1,0}M) &= K^{1,0}M', \\
 h_*(K^{0,1}M) &= K^{0,1}M'.
 \end{aligned}$$

In terms of two local vector field generators:

$$\mathcal{H}, \quad \mathcal{H}',$$

for $K^{1,0}M$ and for $K^{1,0}M'$, this means that:

$$h_*(\mathcal{H}) = c' \mathcal{H}',$$

for some $\mathcal{C}^{\kappa-1}$ ($\kappa \geq 3$), or \mathcal{C}^∞ , or \mathcal{C}^ω nowhere vanishing function:

$$c': M' \longrightarrow \mathbb{C} \setminus \{0\}.$$

It is therefore absolutely natural not to take $\{\mathcal{L}_1, \mathcal{L}_2\}$ but:

$$\{\mathcal{L}_1, \mathcal{H}\}$$

as a frame for $T^{1,0}M$ and similarly:

$$\{\mathcal{L}'_1, \mathcal{H}'\}$$

as a frame for $T^{1,0}M'$.

Through a local biholomorphism h , one also has:

$$h_*(\mathcal{L}_1) = a' \mathcal{L}'_1 + b' \mathcal{K}',$$

for two certain functions:

$$\begin{aligned} a' : M' &\longrightarrow \mathbb{C} \setminus \{0\}, \\ b' : M' &\longrightarrow \mathbb{C}, \end{aligned}$$

with a' (only) also vanishing nowhere, since linear independency is preserved.

Now, introduce as before a local differential 1-form:

$$\rho_0 : TM \longrightarrow \mathbb{R},$$

whose extension to $\mathbb{C} \otimes_{\mathbb{R}} TM$ satisfies:

$$\{\rho_0 = 0\} = T^{1,0}M \cap T^{0,1}M,$$

and do the same for the M' -side:

$$\{\rho'_0 = 0\} = T^{1,0}M' \cap T^{0,1}M'.$$

As was seen above, a possible, natural choice is

$$\begin{aligned} \rho_0 &:= -A_1 dz_1 - A_2 dz_2 - \overline{A_1} d\bar{z}_1 - \overline{A_2} d\bar{z}_2 + du, \\ \rho'_0 &:= -A'_1 dz'_1 - A'_2 dz'_2 - \overline{A'_1} d\bar{z}'_1 - \overline{A'_2} d\bar{z}'_2 + du', \end{aligned}$$

if, as understood, the equation of M' writes similarly:

$$v' = \varphi'(x'_1, x'_2, y'_1, y'_2, u'),$$

with:

$$\begin{aligned} \mathcal{L}'_1 &= \frac{\partial}{\partial z'_1} - \underbrace{\frac{\varphi'_{z'_1}}{\sqrt{-1} + \varphi'_{u'}}}_{=: A'_1} \frac{\partial}{\partial u'} = \frac{\partial}{\partial z'_1} + A'_1 \frac{\partial}{\partial u'}, \\ \mathcal{L}'_2 &= \frac{\partial}{\partial z'_2} - \underbrace{\frac{\varphi'_{z'_2}}{\sqrt{-1} + \varphi'_{u'}}}_{=: A'_2} \frac{\partial}{\partial u'} = \frac{\partial}{\partial z'_2} + A'_2 \frac{\partial}{\partial u'}. \end{aligned}$$

One therefore has:

$$\begin{aligned} 0 &= \rho_0(\mathcal{L}_1) = \rho_0(\mathcal{K}) = \rho_0(\overline{\mathcal{L}}_1) = \rho_0(\overline{\mathcal{K}}), \\ 0 &= \rho'_0(\mathcal{L}'_1) = \rho'_0(\mathcal{K}') = \rho'_0(\overline{\mathcal{L}}'_1) = \rho'_0(\overline{\mathcal{K}}'). \end{aligned}$$

Also, since the two Levi determinants:

$$0 \equiv \det \begin{pmatrix} \rho_0(\sqrt{-1}[\mathcal{L}_1, \overline{\mathcal{L}}_1]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \overline{\mathcal{L}}_1]) \\ \rho_0(\sqrt{-1}[\mathcal{L}_1, \mathcal{L}_2]) & \rho_0(\sqrt{-1}[\mathcal{L}_2, \mathcal{L}_2]) \end{pmatrix},$$

$$0 \equiv \det \begin{pmatrix} \rho'_0(\sqrt{-1}[\mathcal{L}'_1, \overline{\mathcal{L}}'_1]) & \rho'_0(\sqrt{-1}[\mathcal{L}'_2, \overline{\mathcal{L}}'_1]) \\ \rho'_0(\sqrt{-1}[\mathcal{L}'_1, \mathcal{L}'_2]) & \rho'_0(\sqrt{-1}[\mathcal{L}'_2, \mathcal{L}'_2]) \end{pmatrix},$$

vanish identically, one introduces two *slant-functions*:

$$k := -\frac{\mathcal{L}_2(\overline{A}_1) - \overline{\mathcal{L}}_1(A_2)}{\mathcal{L}_1(\overline{A}_1) - \overline{\mathcal{L}}_1(A_1)},$$

$$k' := -\frac{\mathcal{L}'_2(\overline{A}'_1) - \overline{\mathcal{L}}'_1(A'_2)}{\mathcal{L}'_1(\overline{A}'_1) - \overline{\mathcal{L}}'_1(A'_1)},$$

in terms of which two local generators of $K^{1,0}M$ and of $K^{1,0}M'$ are:

$$\mathcal{H} = k \mathcal{L}_1 + \mathcal{L}_2,$$

$$\mathcal{H}' = k' \mathcal{L}'_1 + \mathcal{L}'_2.$$

Introduce also the two differential 1-forms:

$$\kappa_0 := dz_1 - k dz_2,$$

$$\kappa'_0 := dz'_1 - k' dz'_2,$$

that are local sections of $T^{*(1,0)}M$ and of $T^{*(1,0)}M'$ which visibly satisfy:

$$0 = \kappa_0(\mathcal{H}) = \kappa_0(\overline{\mathcal{L}}_1) = \kappa_0(\overline{\mathcal{H}}),$$

$$0 = \kappa'_0(\mathcal{H}') = \kappa'_0(\overline{\mathcal{L}}'_1) = \kappa'_0(\overline{\mathcal{H}}').$$

Lastly, introduce the two $(1, 0)$ -differential 1-forms:

$$\zeta_0 := dz_1,$$

$$\zeta'_0 := dz'_1,$$

which complete a coframe:

$$\{\zeta_0, \kappa_0\},$$

$$\{\zeta'_0, \kappa'_0\},$$

for $T^{*(1,0)}M$ and for $T^{*(1,0)}M'$. By conjugating:

$$\{\overline{\zeta}_0, \overline{\kappa}_0\},$$

$$\{\overline{\zeta}'_0, \overline{\kappa}'_0\},$$

constitute a coframe for $T^{*(0,1)}M$ and for $T^{*(0,1)}M'$.

Now, since h is a local biholomorphism, it transfers $(1, 0)$ -differential 1-forms to $(1, 0)$ -differential 1-forms, in the sense that:

$$\begin{aligned} h_* (\{0 = \rho_0\}) &= \{0 = \rho'_0\}, \\ h_* (\{0 = \rho_0 = \bar{\kappa}_0 = \bar{\zeta}_0\}) &= \{0 = \rho'_0 = \bar{\kappa}'_0 = \bar{\zeta}'_0\}, \\ h_* (\{0 = \rho_0 = \kappa_0 = \zeta_0\}) &= \{0 = \rho'_0 = \kappa'_0 = \zeta'_0\}. \end{aligned}$$

Dropping now any symbolic mention of h_* , one therefore has:

$$\begin{aligned} \rho_0 &= d' \rho'_0, \\ \kappa_0 &= e' \rho'_0 + f' \kappa'_0 + g' \zeta'_0, \end{aligned}$$

with four certain functions:

$$\begin{aligned} d' : M' &\longrightarrow \mathbb{C}, \\ e' : M' &\longrightarrow \mathbb{C}, & f' : M' &\longrightarrow \mathbb{C}, & g' : M' &\longrightarrow \mathbb{C}. \end{aligned}$$

But since furthermore:

$$h_* (K^{1,0} M) = K^{1,0} M'$$

a condition which reads in terms of the coframe:

$$h_* (\{0 = \rho_0 = \kappa_0\}) = \{0 = \rho'_0 = \kappa'_0\},$$

one must have:

$$g' = 0,$$

and hence:

$$\begin{aligned} \rho_0 &= d' \rho'_0, \\ \kappa_0 &= e' \rho'_0 + f' \kappa'_0, \end{aligned}$$

with nowhere vanishing:

$$f' : M' \longrightarrow \mathbb{C} \setminus \{0\},$$

to preserve independency.

To motivate the concept of *Freeman form*, pick two functions:

$$\mu : M \longrightarrow \mathbb{C}, \quad \nu : M \longrightarrow \mathbb{C},$$

and compute:

$$\begin{aligned} \kappa_0 \left([\mu \mathcal{H}, \bar{\nu} \bar{\mathcal{L}}_1] \right) &= \kappa_0 \left(\mu \bar{\nu} [\mathcal{H}, \bar{\mathcal{L}}_1] + \mu \mathcal{H}(\bar{\nu}) \cdot \bar{\mathcal{L}}_1 - \bar{\nu} \bar{\mathcal{L}}_1(\mu) \cdot \mathcal{H} \right) \\ &= \kappa_0 \left(\mu \bar{\nu} [\mathcal{H}, \bar{\mathcal{L}}_1] \right) + \mu \mathcal{H}(\bar{\nu}) \cdot \underline{\kappa_0(\bar{\mathcal{L}}_1)} - \bar{\nu} \bar{\mathcal{L}}_1(\mu) \cdot \underline{\kappa_0(\mathcal{H})}. \\ &= \kappa_0 \left(\mu \bar{\nu} [\mathcal{H}, \bar{\mathcal{L}}_1] \right). \end{aligned}$$

The introduction of a natural generalization of the Levi form, the so-called *Freeman form*, will be justified by the:

Claim. *Up to a nonzero function-factor, the result is the same in the right-hand side hypersurface M' :*

$$\kappa_0\left([\mu \mathcal{K}, \bar{\nu} \overline{\mathcal{L}}_1]\right) = \text{nonzero function} \cdot \kappa'_0\left([\mu' \mathcal{K}', \bar{\nu}' \overline{\mathcal{L}}_1']\right).$$

Indeed, setting:

$$\begin{aligned} \mu' &:= \mu \circ h^{-1}, \\ \nu' &:= \nu \circ h^{-1}, \end{aligned}$$

one starts to compute how this expression transfers:

$$\begin{aligned} \kappa_0\left([\mu \mathcal{K}, \bar{\nu} \overline{\mathcal{L}}_1]\right) &= (e' \rho'_0 + f' \kappa'_0)\left([\mu' c' \mathcal{K}', \bar{\nu}' \bar{a}' \overline{\mathcal{L}}_1' + \bar{\nu}' \bar{b}' \overline{\mathcal{K}}']\right) \\ &= (e' \rho'_0 + f' \kappa'_0)\left(\mu' \bar{\nu}' c' \bar{a}' [\mathcal{K}', \overline{\mathcal{L}}_1'] + \mu' \bar{\nu}' c' \bar{b}' [\mathcal{K}', \overline{\mathcal{K}}'] + \right. \\ &\quad \left. + \mu' c' \mathcal{K}' (\bar{\nu}' \bar{a}') \cdot \overline{\mathcal{L}}_1' + \mu' c' \mathcal{K}' (\bar{\nu}' \bar{b}') \cdot \overline{\mathcal{K}}' - \right. \\ &\quad \left. - \bar{\nu}' \bar{a}' \overline{\mathcal{L}}_1' (\mu' c') \cdot \mathcal{K}' - \bar{\nu}' \bar{b}' \overline{\mathcal{K}}' (\mu' c') \cdot \mathcal{K}'\right), \end{aligned}$$

and further, by distributing the actions of the two differential 1-forms $e' \rho'_0$ and $f' \kappa'_0$, starting with the second one:

$$\begin{aligned} \kappa_0\left([\mu \mathcal{K}, \bar{\nu} \overline{\mathcal{L}}_1]\right) &= f' c' \bar{a}' \mu' \bar{\nu}' \kappa'_0([\mathcal{K}', \overline{\mathcal{L}}_1']) + f' \mu' \bar{\nu}' c' \bar{b}' \kappa'_0([\mathcal{K}', \overline{\mathcal{K}}']) + \\ &\quad + f' \mu' c' \mathcal{K}' (\bar{\nu}' \bar{a}') \kappa'_0(\overline{\mathcal{L}}_1') + f' \mu' c' \mathcal{K}' (\bar{\nu}' \bar{b}') \kappa'_0(\overline{\mathcal{K}}') - \\ &\quad - f' \bar{\nu}' \bar{a}' \overline{\mathcal{L}}_1' (\mu' c') \kappa'_0(\mathcal{K}') - f' \bar{\nu}' \bar{b}' \overline{\mathcal{K}}' (\mu' c') \kappa'_0(\mathcal{K}') \\ &\quad + e' \mu' \bar{\nu}' c' \bar{a}' \rho'_0([\mathcal{K}', \overline{\mathcal{L}}_1']) + e' \mu' \bar{\nu}' c' \bar{b}' \rho'_0([\mathcal{K}', \overline{\mathcal{K}}']) + \\ &\quad + e' \mu' c' \mathcal{K}' (\bar{\nu}' \bar{a}') \rho'_0(\overline{\mathcal{L}}_1') + e' \mu' c' \mathcal{K}' (\bar{\nu}' \bar{b}') \rho'_0(\overline{\mathcal{K}}') - \\ &\quad - e' \bar{\nu}' \bar{a}' \overline{\mathcal{L}}_1' (\mu' c') \rho'_0(\mathcal{K}') - e' \bar{\nu}' \bar{b}' \overline{\mathcal{K}}' (\mu' c') \rho'_0(\mathcal{K}'), \end{aligned}$$

so that after eight direct zero-ifications:

$$\begin{aligned} \kappa_0\left([\mu \mathcal{K}, \bar{\nu} \overline{\mathcal{L}}_1]\right) &= f' c' \bar{a}' \mu' \bar{\nu}' \kappa'_0([\mathcal{K}', \overline{\mathcal{L}}_1']) + f' \mu' \bar{\nu}' c' \bar{b}' \kappa'_0([\mathcal{K}', \overline{\mathcal{K}}']) + \\ &\quad + e' \mu' \bar{\nu}' c' \bar{a}' \rho'_0([\mathcal{K}', \overline{\mathcal{L}}_1']) + e' \mu' \bar{\nu}' c' \bar{b}' \rho'_0([\mathcal{K}', \overline{\mathcal{K}}']). \end{aligned}$$

But at this point, reminding that:

$$[K^{1,0} M', K^{1,0} M'] \subset K^{1,0} M' \oplus K^{0,1} M',$$

one has with two certain functions g', h' :

$$[\mathcal{K}', \overline{\mathcal{K}}'] = g' \mathcal{K}' + h' \overline{\mathcal{K}}',$$

whence the second term in the right-hand side above vanishes:

$$f' \mu' \bar{\nu}' c' \bar{b}' \kappa'_0([\mathcal{K}', \overline{\mathcal{K}}']) = g' f' \mu' \bar{\nu}' c' \bar{b}' \kappa'_0(\mathcal{K}') + h' f' \mu' \bar{\nu}' c' \bar{b}' \kappa'_0(\overline{\mathcal{K}}'),$$

and similarly, the fourth term does also vanish:

$$e' \mu' \bar{\nu}' c' \bar{b}' \rho'_0([\mathcal{K}', \bar{\mathcal{K}}']) = g' e' \mu' \bar{\nu}' c' \bar{b}' \rho'_0(\mathcal{K}') + h' e' \mu' \bar{\nu}' c' \bar{b}' \rho'_0(\bar{\mathcal{K}}').$$

Lastly, the third term vanishes too, because \mathcal{K}' being in the Levi kernel, one has:

$$[\mathcal{K}', \bar{\mathcal{L}}'_1] = p' \mathcal{L}'_1 + q' \mathcal{K}' + r' \bar{\mathcal{L}}'_1 + s' \bar{\mathcal{K}}',$$

for certain four other functions.

Thus, just the first term remains:

$$\kappa_0([\mu \mathcal{K}, \bar{\nu} \bar{\mathcal{L}}_1]) = f' c' \bar{a}' \mu' \bar{\nu}' \kappa'_0([\mathcal{K}', \bar{\mathcal{L}}'_1]),$$

and since one easily verifies, by applying an already seen reasoning, that:

$$\mu' \bar{\nu}' \kappa'_0([\mathcal{K}', \bar{\mathcal{L}}'_1]) = \kappa'_0([\mu' \mathcal{K}', \bar{\nu}' \bar{\mathcal{L}}'_1])$$

one concludes that:

$$\boxed{\kappa_0([\mu \mathcal{K}, \bar{\nu} \bar{\mathcal{L}}_1]) = \underbrace{f' c' \bar{a}'}_{\substack{\text{nonzero} \\ \text{factor}}} \kappa'_0([\mu' \mathcal{K}', \bar{\nu}' \bar{\mathcal{L}}'_1]).}$$

so that this quantity is a biholomorphic invariant — in the sense of Élie Cartan — for hypersurfaces $M^5 \subset \mathbb{C}^3$ whose Levi form is everywhere of rank 1.

Having reached this corner-point, it is advisable to state precisely and in a synthetically summarized manner a:

Proposition. *In any system of holomorphic coordinates, for any choice of Levi-kernel adapted local $T^{1,0}M$ -frame:*

$$\{\mathcal{L}_1, \mathcal{K}\}$$

satisfying:

$$K^{1,0}M = \mathbb{C} \mathcal{K},$$

and for any choice of differential 1-forms:

$$\{\rho_0, \kappa_0, \zeta_0\}$$

satisfying:

$$\begin{aligned} \{0 = \rho_0\} &= T^{1,0}M \oplus T^{0,1}M, \\ \{0 = \rho_0 = \kappa_0 = \zeta_0 = \bar{\zeta}_0\} &= K^{1,0}M, \end{aligned}$$

the quantity:

$$\kappa_0([\mathcal{K}, \bar{\mathcal{L}}_1]),$$

is, at one fixed point $p \in M$, either 0 or nonzero, independently of any choice.

Proof. In what precedes, specific choices have been made for \mathcal{H} , \mathcal{L}_1 , ρ_0 , κ_0 , ζ_0 , but the transfer formula:

$$\kappa_0\left([\mu \mathcal{H}, \bar{\nu} \overline{\mathcal{L}}_1]\right) = \text{nonzero function} \cdot \kappa'_0\left([\mu' \mathcal{H}', \bar{\nu}' \overline{\mathcal{L}}'_1]\right),$$

and the reasonings made there included the fact that when one does other choices, any change of choice has the same general form as when dealing with a transfer through a local biholomorphism. Hence the zeroness or the nonzeroness of the interesting quantity is definitely invariant. \square

One should notice the strong similarity of these reasonings with the introduction of the concept of Levi form. Hence it is advisable to conceptualize in an analogous way what was obtained.

Definition. At any point:

$$p \in M^5 \subset \mathbb{C}^3$$

of a \mathcal{C}^κ ($\kappa \geq 3$), or \mathcal{C}^∞ , or \mathcal{C}^ω hypersurface whose Levi form is everywhere of \mathbb{C} -rank 1, so that the Levi-kernel subbundle:

$$K^{1,0}M \subset T^{1,0}M$$

is also of \mathbb{C} -rank $1 = 2 - 1$, the *Freeman form* is the \mathbb{C} -skew bilinear form on:

$$\underbrace{K_p^{1,0}M}_{\cong \mathbb{C}} \times \underbrace{(T_p^{1,0}M \bmod K_p^{1,0}M)}_{\cong \mathbb{C}} \longrightarrow \mathbb{C}$$

defined as follows: given any two constant vectors:

$$\mathcal{H}_p \in K_p^{1,0}M \quad \text{and} \quad \mathcal{L}_{1p}^\sim \in T_p^{1,0}M \bmod K_p^{1,0}M,$$

take any two local vector field extensions:

$$\mathcal{H} \quad \text{and} \quad \mathcal{L}_1$$

of $K^{1,0}M$ and of $T^{1,0}M$ satisfying hence:

$$\mathcal{H}|_p = \mathcal{H}_p \quad \text{and} \quad \mathcal{L}_1|_p = \mathcal{L}_{1p}^\sim,$$

and define:

$$\text{Freeman-form}^{M,p}(\mathcal{H}_p, \mathcal{L}_{1p}) \stackrel{\text{def}}{=} [\mathcal{H}, \overline{\mathcal{L}}_1](p) \bmod (K^{1,0}M \oplus T^{0,1}M).$$

One can show directly that the result is independent of the choice of vector field extensions \mathcal{H} and \mathcal{L}_1 (exercise), but this property will also be clarified with frames in coordinates just below.

To be more precise about the ‘mod out’ of the right-hand side, it is better to introduce as previously a $(1, 0)$ -form κ_0 satisfying:

$$\{0 = \kappa_0\} = K^{1,0}M \quad \text{inside } T^{1,0}M,$$

and to define in accordance to what precedes:

$$\text{Freeman-form}^{M,p}(\mathcal{K}_p, \mathcal{L}_{1p}) \stackrel{\text{def}}{=} \kappa_0([\mathcal{K}, \overline{\mathcal{L}}_1])(p).$$

The computations motivating this definition yielded that, within a Levi-kernel adapted $T^{1,0}M$ -frame:

$$\{\mathcal{L}_1, \mathcal{K}\},$$

one may decompose the two constant vectors:

$$\begin{aligned} \mathcal{K}_p &= \mu_p \mathcal{K}|_p, \\ \mathcal{L}_{1p} &= \nu_{1p} \mathcal{L}_1|_p, \end{aligned}$$

with two constants $\mu_p, \nu_{1p} \in \mathbb{C}$, and extend them both as:

$$\begin{aligned} \mu \mathcal{K} \\ \nu_1 \mathcal{L}_1, \end{aligned}$$

by means of two local functions μ and ν_1 :

$$\begin{aligned} \mu: M &\longrightarrow \mathbb{C}, \\ \nu_1: M &\longrightarrow \mathbb{C}, \end{aligned}$$

satisfying:

$$\begin{aligned} \mu(p) &= \mu_p, \\ \nu_1(p) &= \nu_{1p}, \end{aligned}$$

and one realizes that:

$$\text{Freeman-form}_{\mathcal{K}, \overline{\mathcal{L}}, \kappa_0}^{M,p}(\mathcal{K}_p, \mathcal{L}_{1p}) = \mu_p \bar{\nu}_{1p} \underbrace{\kappa_0([\mathcal{K}, \overline{\mathcal{L}}_1])(p)}_{\text{constant}},$$

an expression which indeed shows (again) that the result is independent of vector field extensions.

Notice that the Freeman form is \mathbb{C} -skew bilinear, but not necessarily Hermitian, for the constant above needs not belong either to \mathbb{R} or to $\sqrt{-1}\mathbb{R}$.

Analysis of everywhere degeneracy of the Freeman form. Applying then the:

Lie-Cartan Principle of Relocalization,

one is lead to a natural dichotomy. Either:

$$\text{Freeman-Form}^M(p) \equiv 0,$$

or:

$$\text{Freeman-Form}^M(p) \neq 0,$$

at every point $p \in M$.

Examine the first possibility. This is a degenerate situation.

Proposition. A \mathcal{C}^ω hypersurface:

$$M^5 \subset \mathbb{C}^3$$

having at every point p :

$$\text{rank}_{\mathbb{C}}(\text{Levi-Form}^M(p)) = \mathbf{1}$$

has an identically vanishing:

$$\text{Freeman-Form}^M(p) \equiv \mathbf{0},$$

if and only if it is biholomorphic, locally in some neighborhood of every point, to a product:

$$M^5 \cong M^3 \times \mathbb{C}$$

with a \mathcal{C}^ω hypersurface:

$$M^3 \subset \mathbb{C}^2.$$

In local coordinates, the graphing function:

$$v = \varphi(x_1, y_1, u)$$

happens then to be completely independent of x_2, y_2 .

Interpretation. One sets aside such an exceptional supposition, because the equivalence problem reduces to that of an:

$$M^3 \subset \mathbb{C}^2$$

in smaller dimension, plus 1 complex parameter coming from $(\cdot) \times \mathbb{C}$. \square

Proof of the Proposition. One centers affine holomorphic coordinates:

$$(z_1, z_2, w)$$

at some point $p \in M$ and one represents M as usual:

$$v = \varphi(x_1, x_2, y_1, y_2, u).$$

One introduces the two generators of $T^{1,0}M$:

$$\mathcal{L}_1 = \frac{\partial}{\partial z_1} - \underbrace{\frac{\varphi_{z_1}}{\sqrt{-1} + \varphi_u}}_{A_1} \frac{\partial}{\partial u},$$

$$\mathcal{L}_2 = \frac{\partial}{\partial z_2} - \underbrace{\frac{\varphi_{z_2}}{\sqrt{-1} + \varphi_u}}_{A_2} \frac{\partial}{\partial u},$$

and, with the hypothesis that the Levi determinant vanishes, the generator:

$$\begin{aligned} \mathcal{K} &= k \mathcal{L}_1 + \mathcal{L}_2 \\ &= k \frac{\partial}{\partial z_1} + \frac{\partial}{\partial z_2} + (k A_1 + A_2) \frac{\partial}{\partial u}, \end{aligned}$$

of the Levi-kernel bundle $K^{1,0}M$, where the slanting function k happens, according to an already seen surprising computational fact, to receive *three equal expressions*:

$$\begin{aligned} k &= -\frac{\mathcal{L}_2(\overline{A_1}) - \overline{\mathcal{L}_1}(A_2)}{\mathcal{L}_1(\overline{A_1}) - \overline{\mathcal{L}_1}(A_1)} \\ &= -\frac{\mathcal{L}_2(\overline{A_1})}{\mathcal{L}_1(\overline{A_1})} \\ &= -\frac{-\overline{\mathcal{L}_1}(A_2)}{-\overline{\mathcal{L}_1}(A_1)}. \end{aligned}$$

First of all, the known involutiveness:

$$[\mathcal{H}, \overline{\mathcal{H}}] = \text{function} \cdot \mathcal{H} + \text{function} \cdot \overline{\mathcal{H}},$$

and the fact that this bracket does not contain either $\frac{\partial}{\partial z_2}$ or $\frac{\partial}{\partial \overline{z}_2}$ entail that:

$$\begin{aligned} 0 &= [\mathcal{H}, \overline{\mathcal{H}}] \\ &= \left[k \frac{\partial}{\partial z_1} + \frac{\partial}{\partial z_2} + (k A_1 + A_2) \frac{\partial}{\partial u}, \overline{k} \frac{\partial}{\partial \overline{z}_1} + \frac{\partial}{\partial \overline{z}_2} + (\overline{k} \overline{A_1} + \overline{A_2}) \frac{\partial}{\partial u} \right] \\ &= \mathcal{H}(\overline{k}) \frac{\partial}{\partial \overline{z}_1} - \overline{\mathcal{H}}(k) \frac{\partial}{\partial z_1} + \left(\mathcal{H}(\overline{k} \overline{A_1} + \overline{A_2}) - \overline{\mathcal{H}}(k A_1 + A_2) \right) \frac{\partial}{\partial u}, \end{aligned}$$

whence one deduces at least that:

$$\boxed{0 \equiv \overline{\mathcal{H}}(k).}$$

Next, the (assumed) zeroness of the Freeman form means that:

$$[\mathcal{H}, \overline{\mathcal{L}_1}] \equiv 0 \pmod{(\mathcal{H}, \overline{\mathcal{H}}, \overline{\mathcal{L}_1}).}$$

But when one indeed computes this bracket:

$$\begin{aligned} [\mathcal{H}, \overline{\mathcal{L}_1}] &= \left[k \frac{\partial}{\partial z_1} + \frac{\partial}{\partial z_2} + (k A_1 + A_2) \frac{\partial}{\partial u}, \frac{\partial}{\partial \overline{z}_1} + \overline{A_1} \frac{\partial}{\partial u} \right] \\ &= \overline{\mathcal{L}_1}(k) \frac{\partial}{\partial z_1} + \text{something} \frac{\partial}{\partial u}, \end{aligned}$$

one obtains a $\frac{\partial}{\partial z_1}$ -component which is *nonzero* modulo $\{\mathcal{H}, \overline{\mathcal{H}}, \overline{\mathcal{L}_1}\}$, whence one obtains also:

$$\boxed{0 \equiv \overline{\mathcal{L}_1}(k).}$$

Next, because:

$$\{\overline{\mathcal{H}}, \overline{\mathcal{L}_1}\}$$

constitute a frame for $T^{0,1}M$, the two latter boxed equations yield that:

The \mathcal{C}^ω slanting function k is Cauchy-Riemann!

Hence, as is known and was reproved earlier on, there exists a *holomorphic* function K locally defined in some open neighborhood of M which extends k :

$$K|_M = k.$$

The first coefficient of the $(1, 0)$ -vector field:

$$\mathcal{K} = K \frac{\partial}{\partial z_1} + \frac{\partial}{\partial z_2} + (K A_1 + A_2) \frac{\partial}{\partial u}$$

is hence *holomorphic*.

What about the second and last coefficient:

$$k A_1 + A_2 = K A_1 + A_2|_M ?$$

Is it also CR?

Yes, mainly thanks to the surprising computational fact recalled above, firstly:

$$\begin{aligned} 0 &\stackrel{?}{=} \overline{\mathcal{L}}_1(k A_1 + A_2) \\ &= k \overline{\mathcal{L}}_1(A_1) + \overline{\mathcal{L}}_1(A_2) \\ &= 0, \end{aligned}$$

while secondly a direct painful computation gives:

$$\begin{aligned} 0 &\stackrel{?}{=} \overline{\mathcal{L}}_2(k A_1 + A_2) \\ &= k \overline{\mathcal{L}}_2(A_1) + \overline{\mathcal{L}}_2(A_2) \\ &= \frac{\text{-- numerator-LD}}{(\sqrt{-1} + \varphi_u) [\varphi_{z_1 \bar{z}_1} + \varphi_{z_1 \bar{z}_1} \varphi_u \varphi_u - \sqrt{-1} \varphi_{\bar{z}_1} \varphi_{z_1 u} - \varphi_{\bar{z}_1} \varphi_{z_1 u} \varphi_u + \sqrt{-1} \varphi_{z_1} \varphi_{\bar{z}_1 u} \varphi_u + \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu}]} \end{aligned}$$

where:

$$\begin{aligned} \text{numerator-LD} &\equiv \varphi_{z_2 \bar{z}_2} \varphi_{z_1 \bar{z}_1} - \varphi_{z_2 \bar{z}_1} \varphi_{z_1 \bar{z}_2} + \\ &+ \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1 u} \varphi_u - \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1} \varphi_{uu} - \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_{z_1} \varphi_{\bar{z}_2 u} + \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_u \varphi_{z_1 \bar{z}_2} - \\ &- \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_{\bar{z}_2} \varphi_{z_1 u} - \varphi_{z_2} \varphi_{\bar{z}_1} \varphi_{uu} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_u \varphi_{z_1 \bar{z}_2} - \varphi_{z_2 \bar{z}_2} \varphi_{\bar{z}_1} \varphi_{z_1 u} \varphi_u + \\ &+ \varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu} - \varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1 u} \varphi_u + \varphi_{z_2 \bar{z}_1} \varphi_{z_1} \varphi_{\bar{z}_2 u} \varphi_u + \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{\bar{z}_1} \varphi_{z_1 u} - \\ &- \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{z_1 \bar{z}_1} \varphi_u + \varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_{z_1} \varphi_{\bar{z}_1 u} - \varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_u \varphi_{z_1 \bar{z}_1} + \varphi_{\bar{z}_2} \varphi_{z_2} \varphi_{uu} \varphi_{z_1 \bar{z}_1} + \\ &+ \sqrt{-1} (\varphi_{z_2 \bar{z}_2} \varphi_{z_1} \varphi_{\bar{z}_1 u} + \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2 \bar{z}_1} \varphi_{\bar{z}_2} \varphi_{z_1 u} + \varphi_{z_2} \varphi_{\bar{z}_2 u} \varphi_{z_1 \bar{z}_1}) - \\ &- \sqrt{-1} (\varphi_{\bar{z}_2} \varphi_{z_2 u} \varphi_{z_1 \bar{z}_1} + \varphi_{z_2 \bar{z}_1} \varphi_{z_1} \varphi_{\bar{z}_2 u} + \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_{z_1 \bar{z}_2} + \varphi_{z_2 \bar{z}_2} \varphi_{\bar{z}_1} \varphi_{z_1 u}) - \\ &- \varphi_{z_2 \bar{z}_1} \varphi_{z_1 \bar{z}_2} \varphi_u \varphi_u + \varphi_{z_2 \bar{z}_2} \varphi_{z_1 \bar{z}_1} \varphi_u \varphi_u \\ &\equiv 0 \end{aligned}$$

was, up to a constant factor 4, the numerator of the Levi determinant already shown above, and assumed throughout to be identically zero!

Once again, this function:

$$k A_1 + A_2$$

being CR, it also happens to be the restriction, to M , of a certain holomorphic function.

Consequently, \mathcal{K} is not only a $(1, 0)$ -vector field, it is a perfect holomorphic vector field with holomorphic coefficients.

To conclude, one uses a local biholomorphism:

$$(z_1, z_2, w) \mapsto (z'_1, z'_2, w')$$

which straightens out:

$$\mathcal{K}' = \frac{\partial}{\partial z'_2}.$$

Since \mathcal{K}' is again tangent to the image M' , this means (exercise), dropping then primes on coordinates, that M becomes a product:

$$M^3 \times \mathbb{C}_{z_2},$$

with graphing function being independent of (x_2, y_2) . □

After all these detailed considerations, one therefore arrives at the very last, sixth *general class* of CR-generic manifolds:

General Class IV₂:

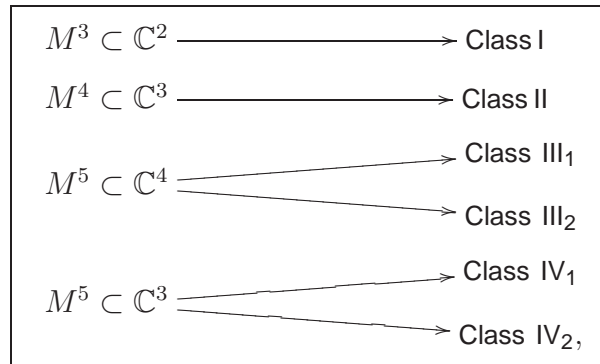
$M^5 \subset \mathbb{C}^3$ with $\{ \mathcal{L}_1, \mathcal{L}_2, \overline{\mathcal{L}}_1, \overline{\mathcal{L}}_2, [\mathcal{L}_1, \overline{\mathcal{L}}_1] \}$
 constituting a frame for $\mathbb{C} \otimes_{\mathbb{R}} TM$,
 with the Levi-Form:

$$\text{Levi-Form}^M(p)$$
 being of rank 1 at every point $p \in M$,
 while the Freeman-Form:

$$\text{Freeman-Form}^M(p)$$
 is nondegenerate at every point.

10. General classes I, II, III₁, III₂, IV₁, IV₂,
of $M^3 \subset \mathbb{C}^2$, of $M^4 \subset \mathbb{C}^3$, of $M^5 \subset \mathbb{C}^4$, of $M^5 \subset \mathbb{C}^3$

In conclusion, there are precisely *six* general classes of real analytic CR-generic manifolds up to dimension **5**:



when one disregards the degenerate classes.

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