

# $p$ -adic Geometry and Homotopy Theory

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# Review of de Rham-Witt Theory

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## INTRODUCTION

$k$  perfect, char.  $p > 0$ ,  $W = W(k)$

$X/k$  proper, smooth

$W\Omega_X^\bullet$  : the de Rham-Witt complex of  $X/k$

an inverse limit of dga  $W_n\Omega_X^\bullet$  on  $X$ ,

with  $W\Omega_X^0 = W\mathcal{O}_X$ ,  $W_1\Omega_X^\bullet = \Omega_{X/k}^\bullet$

- calculates **crystalline cohomology** :

$$H^*(X, W\Omega_X^i) = H^*(X/W)$$

- relates it to :

- **Serre's Witt vector cohomology**  $H^*(X, W\mathcal{O}_X)$
- **Artin-Mazur formal gps**  $\Phi^q$  associated with  $H^q(-, \mathbb{G}_m)$   
( $\Phi^1 = \widehat{\text{Pic}}$ ,  $\Phi^2 = \widehat{\text{Br}}$ , etc. )
- **Hodge cohomology** :  $H^j(X, \Omega_X^i)$

- analyzes Frobenius on crystalline cohomology

via operators  $F, V : W\Omega_X^i \rightarrow W\Omega_X^i$

$$FV = VF = p, \quad FdV = d$$

slope spectral sequence

$$E_1^{ij} = H^j(X, W\Omega_X^i) \Rightarrow H^{i+j}(X/W)$$

1974 Bloch : for  $p > 2$ ,  $\dim X < p$ , constructs

inverse system of complexes of

typical curves on symbolic part of Quillen's K groups

$$0 \rightarrow TC_n\mathcal{K}_1 \rightarrow TC_n\mathcal{K}_2 \rightarrow \cdots \rightarrow TC_n\mathcal{K}_{q+1} \rightarrow \cdots$$

$TC_n\mathcal{K}_{q+1}$  in degree  $q$

1975 Deligne proposes alternate construction :

a universal quotient  $(L_n)_{n \geq 1}$  of  $(\Omega_{W_n}^i(\mathcal{O}_X)/(\mathbb{Z}/p^n\mathbb{Z}))_{n \geq 1}$   
with operators  $V : L_n^i \rightarrow L_{n+1}^i$  and relations

- inspired by a former construction of Lubkin

- based on explicit description of subcomplex of integral forms  
(see below)
- no more  $K$ -theory



1976 - 1978 I. carries out Deligne's program :

- construction of  $W.\Omega_X$  (any  $X/\mathbb{F}_p$ )
- comparison with Bloch's construction, and with crystalline cohomology ( $X/k$  smooth)
- global geometric applications (e. g.  $H^*$  of surfaces)

1979 K. Kato removes restrictions  $p > 2$  and  $\dim X < p$  in Bloch's construction

1979 - 1983 I., Raynaud, Nygaard, Ekedahl  
study fine structure of slope spectral sequence

discovery of [higher Cartier isomorphisms](#)

⇒ new construction of  $W.\Omega_X^i$   
(for  $X/k$  smooth)

1988 Hyodo-Kato adapt it to log geometry  
( $X/k$  log smooth, Cartier type)

⇒ key tool in construction of Hyodo-Kato isomorphism

2004 extensions of DRW theory to  
mixed char. and relative situations

- Hesselholt-Madsen :  $W.\Omega_A, A/\mathbb{Z}_{(p)}, p > 2$
- Langer-Zink :  $W.\Omega_{A/R}, R/\mathbb{Z}_{(p)}$

2007 Olsson : stack-theoretic variants

2008 Davis-Langer-Zink : overconvergent variants

## PLAN

1. Construction(s) of  $W.\Omega_X$
2. Comparison with crystalline cohomology
3. Higher Cartier isomorphisms
4. De Rham-Witt for log schemes
5. The Hyodo-Kato isomorphism

## 1. CONSTRUCTION(S) OF $W_n\Omega_X^i$

$X = (X, \mathcal{O}_X)$  ringed topos over  $\mathbb{F}_p$

$W_n\mathcal{O}_X$  : Witt vectors of length  $n$  on  $\mathcal{O}_X$

### Theorem 1.

(a) There exists an inverse system of dga

$$W_n\Omega_X^i = (W_n\Omega_X^0 \rightarrow W_n\Omega_X^1 \rightarrow \cdots \rightarrow W_n\Omega_X^i \rightarrow \cdots)$$

$(n \geq 1)$

with operators  $V : W_n\Omega_X^i \rightarrow W_{n+1}\Omega_X^i$

satisfying

$$(0) \quad RV = VR$$

$$(R : W_{n+1}\Omega_X \rightarrow W_n\Omega_X)$$

$$(1) \quad W_n\Omega_X^0 = W_n\mathcal{O}_X, \quad R = R, \quad V = V$$

$$(2) \quad V(xdy) = (Vx)dVy$$

$$(3) \quad (Vx)d[y] = V(x[y^{p-1}]d[y]),$$

$$y \in \mathcal{O}_X, \quad [y] = (y, 0, \dots, 0)$$

universal for these properties

(b) The natural map

$$\Omega_{W_n(\mathcal{O}_X)/(\mathbb{Z}/p^n\mathbb{Z})} \rightarrow W_n\Omega_X$$

is surjective,

an isomorphism for  $n = 1$  :

$$\Omega_{X/\mathbb{F}_p} = W_1\Omega_X.$$



(c) There exist unique operators

$$F : W_n \Omega_X^i \rightarrow W_{n-1} \Omega_X^i$$

satisfying :

$$FdV = d$$

$$Fa.Fb = F(ab)$$

$$Fa = RFa, a \in W_n \mathcal{O}_X$$

$$Fd[a] = [a]^{p-1}d[a], a \in \mathcal{O}_X, [a] = (a, 0, \dots, 0).$$

**Proof** : (a), (b) : straightforward

(c) relies on

description of  $W_n\Omega_A$  for  $A = \mathbb{F}_p[T_1, \dots, T_r]$

in terms of the **complex  $E^\cdot$  of integral forms** :

$$W_n\Omega_A = E^\cdot / (V^n E^\cdot + dV^n E^\cdot), \quad V = pF^{-1}, \quad FT_i = T_i^p$$

$$E^\cdot \subset \Omega_{C/\mathbb{Q}_p}^\cdot, \quad C = \mathbb{Q}_p[T_1^{p^{-\infty}}, \dots, T_r^{p^{-\infty}}],$$

$\omega \in E^i \Leftrightarrow \omega$  and  $d\omega$  integral

(i. e. coefficients in  $\mathbb{Z}_p$ )

Example :  $r = 1$ ,  $A = \mathbb{F}_p[T]$ ,

$$W\Omega_A := \text{proj.lim. } W_n\Omega_A$$

$$WA = \left\{ \sum_{k \in \mathbb{N}[1/p]} a_k T^k, a_k \in \mathbb{Z}_p, \text{den}(k) | a_k \forall k, \right. \\ \left. \lim_{k \rightarrow \infty} a_k = 0 \right\}$$

$$W\Omega_A^1 = \left\{ \sum_{k > 0, k \in \mathbb{N}[1/p]} a_k T^k (dT/T), a_k \in \mathbb{Z}_p, \right. \\ \left. \lim_{k \rightarrow \infty} \text{den}(k) \cdot a_k = 0 \right\}$$

$$W\Omega_A^i = 0, i > 1.$$

## More formulas

(1)  $F : W_n \Omega_X^i \rightarrow W_{n-1} \Omega_X^i$  satisfies

$$FV = p, \quad VF = p,$$

$$V(aFb) = (Va)b, \quad \forall a \in W_n \Omega_X^i, b \in W_{n+1} \Omega_X^j$$

(2)  $VW_n \mathcal{O}_X \subset W_{n+1} \mathcal{O}_X$  has a canonical pd-structure :

$$\gamma_k(Va) = (p^{k-1}/k!)Va^k \quad (k \geq 1)$$

and  $d$  is a pd-derivation :

$$d\gamma_k(a) = \gamma_{k-1}(a)da, \quad (a \in VW_n\mathcal{O}_X)$$

Moreover,

$$Fd : W_{n+1}\mathcal{O}_X \rightarrow W_n\Omega_X^1,$$
$$(Fd([a] + Vb) = [a]^{p-1}d[a] + db)$$

is also a pd-derivation.

Structure for  $X/k$  smooth

$$0 \rightarrow \text{gr}^n W.\Omega_X \rightarrow W_{n+1}\Omega_X \rightarrow W_n\Omega_X \rightarrow 0,$$

$$\text{gr}^n = (V_n + dV^n)\Omega_X$$

locally free of finite type  $/\mathcal{O}_X$  in each degree  $i$   
(extension of  $\Omega^{i-1}/Z_n$  by  $\Omega^i/B_n$ )

## New constructions

$d, Fd$  pd-derivations  $\Rightarrow$  Langer-Zink's construction of a relative (pro- $F$ - $V$ -) de Rham-Witt complex

$$W.\Omega_{X/S}^i$$

( $f : X \rightarrow S$  a morphism of  $\mathbb{Z}_{(p)}$ -ringed toposes)

- $W_n \Omega_{X/S}^i = f^{-1} W_n(\mathcal{O}_S)$ -dga
- $F : W_n \Omega_X^i \rightarrow W_{n-1} \Omega_X^i$  built in together with

$$V : W_{n-1}\Omega_X^i \rightarrow W_n\Omega_X^i$$

satisfying above formulas

(except possibly  $VF = p$ )



- $W_n \Omega_{X/S}^0 = W_n \mathcal{O}_X,$
- $\Omega_{W_n \mathcal{O}_X / W_n \mathcal{O}_{S, pd}} \rightarrow W_n \Omega_{X/S}$  surjective
- $W. \Omega_{X/S} = W. \Omega_X$  for  $S = \{pt, \mathbf{F}_p\}$

## Applications :

- theory of displays (Zink)
- generalized Hyodo-Kato isomorphisms (Olsson)

Langer-Zink's construction competes with :

## Hesselholt-Madsen's construction

of an absolute (pro- $F$ - $V$ -) de Rham-Witt complex

$$W.\Omega_X^\bullet$$

( $X \rightarrow S$  a  $\mathbb{Z}_{(p)}$ -ringed topos)

- hypothesis :  $p > 2$
- $W_n \Omega_X^0 = W_n \mathcal{O}_X$
- $W_n \Omega_X^\bullet = \mathbb{Z}_{(p)}$ -dga (not a  $W_n(\mathcal{O}_S)$ -dga)

again :

•  $F : W_n \Omega_X^i \rightarrow W_{n-1} \Omega_X^i$  built in with

$V : W_{n-1} \Omega_X^i \rightarrow W_n \Omega_X^i$

satisfying above formulas (except possibly  $VF = p$ )

•  $W.\Omega_X^i =$  “classical”  $W.\Omega_X^i$  for  $X/\{pt, \mathbf{F}_p\}$

**Applications :**

• relations with **K-theory** and **cyclic homology**

• construction generalizes to **log spaces**

• relations with  **$p$ -adic vanishing cycles**

(fixed points of  $F$ ) (Geisser-Hesselholt)

## Comparison LZ - HM

canonical map

$$W_n \Omega_X \rightarrow W_n \Omega_{X/S}$$

- surjective, iso if  $p1_S = 0$  and  $S$  perfect
- not iso for  $S = \{pt, \mathbb{Z}_p\}$

$$(W.\Omega_{\mathbb{Z}_p/\mathbb{Z}_{(p)}}^1 \otimes \mathbb{Z}/p^n = 0,$$

$$W.\Omega_{\mathbb{Z}_p}^1 \otimes \mathbb{Z}/p^n \supset \mathbb{Z}_p^*/\mathbb{Z}_p^{*p^n} )$$

- $W_n\Omega_{X/S}^1 = W_n\Omega_X^1/I,$

$I =$  dg ideal generated by image of  $f^{-1}W_n\Omega_S^1 \rightarrow W_n\Omega_X^1$

(analyzed by Hesselholt for  $X/S$  smooth,  $S$  noetherian,  $p1_S$  nilpotent)

Overconvergent de Rham-Witt (Davis-Langer-Zink)

gives natural lattices in Berthelot's rigid cohomology of  $X/k$  smooth ( $k$  perfect, char.  $p$ )

## 2. COMPARISON WITH CRYSTALLINE COHOMOLOGY

$k$  perfect field,  $\text{char}(k) = p > 0$ ,  $W_n = W_n(k)$ ,  $X/k$

Recall :

- $u = u_{X/W_n} : (X/W_n)_{\text{crys}} \rightarrow X$  canonical morphism

$$(u_*(E)(U) = \Gamma((U/W_n)_{\text{crys}}, E))$$

- If  $\exists$  embedding,  $X \subset Z/W_n$  smooth :

$$Ru_{X/W_n}^* \mathcal{O}_{X/W_n} = \mathcal{O}_D \otimes \Omega_{Z/W_n}^1,$$

( $D = \text{pd-envelope of } X \subset Z/W_n$ )

**THEOREM 2.1** Assume  $X/k$  smooth.

Then  $\exists$  can., functorial inverse system of isomorphisms  
(of  $D(X, W_n)$ )

$$R\Gamma_{X/W_n} \mathcal{O}_{X/W_n} \xrightarrow{\sim} W_n \Omega_X^i$$

- compatible with multiplicative structures
- compatible with Frobenius

$$(\Phi : W_n \Omega_X^i \rightarrow W_n \Omega_X^i, \Phi|_{W_n \Omega_X^i} = p^i F).$$

## Proof

- construction of map :

- local case :  $X \rightarrow W_n X \rightarrow D \rightarrow Z$ ,

( $Z/W_n$  smooth)

$$\mathcal{O}_D \otimes \Omega_{Z/W_n} \rightarrow \Omega_{W_n X/W_n, pd} \rightarrow W_n \Omega_X$$

-globalization : cohomological descent

- map = iso : use local structure of DRW



$$X = \text{Spec } \mathbb{F}_p[\underline{T}],$$

$$E^\cdot = \text{complex of integral forms } \subset \Omega^\cdot_{\mathbb{Q}_p[\underline{T}^{p^{-\infty}}]/\mathbb{Q}_p}$$

$$E^\cdot = \Omega^\cdot_{\mathbb{Z}_p[\underline{T}]/\mathbb{Z}_p} \oplus \text{homotop. triv. complex}$$

$$W_n \Omega^\cdot_X = E^\cdot / (V^n + dV^n) E^\cdot$$

$$= \Omega^\cdot_{\mathbb{Z}/p^n[\underline{T}]/(\mathbb{Z}/p^n)} \oplus \text{acyclic complex}$$

## Slopes of Frobenius

$X/k$  proper and smooth

$$R\Gamma(X/W_n) := R\Gamma((X/W_n)_{\text{crys}}, \mathcal{O}_{X/W_n}) = R\Gamma(X, Ru_*\mathcal{O}_{X/W_n})$$

$$2.1 \Rightarrow R\Gamma(X/W_n) = R\Gamma(X, W_n\Omega_X^\bullet)$$

$$W\Omega_X^\bullet := \text{proj.lim. } W_n\Omega_X^\bullet,$$

$$R\Gamma(X/W) := R\Gamma(X, W\Omega_X^\bullet)$$

$$2.1 \Rightarrow R\Gamma(X/W) = R\lim R\Gamma(X/W_n) \in D(W)_{\text{perf}}$$

$$(\Rightarrow H^m(X/W) = H^m R\Gamma(X/W) \text{ f. g. over } W)$$

absolute Frobenius  $F : X \rightarrow X$  gives

$\sigma$ -linear  $\Phi : R\Gamma(X/W) \rightarrow R\Gamma(X/W)$

$\Phi : H^m(X/W) \rightarrow H^m(X/W)$  isomorphism mod torsion

(i. e. =  $F$ -crystal)

better :  $v : W\Omega_X^i \rightarrow W\Omega_X^i$ ,  $v|W\Omega_X^i = p^{d-i-1}V$  gives

$\Phi v = v\Phi = p^d$

on  $W\Omega_X^i$  and  $R\Gamma(X/W)$ ,

( $X$  of pure dim.  $d$ )

(cf. Berthelot, Berthelot-Ogus)

## Slope spectral sequence

$$E_1^{ij} = H^j(X, W\Omega_X^i) \Rightarrow H^{i+j}(X/W)$$

- degenerates at  $E_1$  mod  $p$ -torsion
- $K_0 \otimes H^j(X, W\Omega_X^i) =$  part of slope  $\subset [i, i + 1[$  of  $K_0 \otimes H^{i+j}(X/W)$   
( $K_0 = \text{Frac}(W)$ )
- torsion studied by Nygaard, I-Raynaud, Ekedahl

## Other applications

- Poincaré duality, Künneth (Ekedahl)
- logarithmic Hodge Witt sheaves  $W_n\Omega_{X,\log}^i \subset W_n\Omega_X^i$   
étale loc. generated by  $d\log[x_1] \cdots d\log[x_i]$   
(Milne, Bloch-Gabber-Kato, Kato, I-Raynaud, Colliot-Thélène, Gros, ...)
- cycle and Chern classes in crystalline cohomology  
(Gros)

### 3. HIGHER CARTIER ISOMORPHISMS

$X/k$  smooth

$$F^n : W_{2n}\Omega_X^i \rightarrow W_n\Omega_X^i$$

induces isomorphism

$$C^{-n} : W_n\Omega_X^i \xrightarrow{\sim} \mathcal{H}^i W_n\Omega_X^i,$$

compatible with products,

generalizing standard Cartier isomorphism for  $n = 1$  :

$$C^{-1} : \Omega_X^i \rightarrow \mathcal{H}^i \Omega_X^i$$

th. 2.1  $\Rightarrow$  :  $C^{-n}$  induces  $W_n$ -linear isomorphism

$$(3.1) \quad W_n \Omega_X^i \xrightarrow{\sim} \sigma_*^n \mathcal{H}^i(X/W_n)$$

$$(\mathcal{H}^i(X/W_n) = R^i u_{X/W_n}^* \mathcal{O}_{X/W_n})$$

$$i = 0 : W_n \mathcal{O}_X \xrightarrow{\sim} \sigma_*^n \mathcal{H}^0(X/W_n)$$

$$(\text{cf. Fontaine-Messing : } \widetilde{W}_n^{DP} \xrightarrow{\sim} \mathcal{O}_n^{\text{crys}}$$

on  $X_{\text{syn}}$ )

$X$  lifted to  $Z$  smooth  $/W_n$ ,

$$(a_0, \dots, a_{n-1}) \in W_n \mathcal{O}_X$$

$$\mapsto b_0^{p^n} + p b_1^{p^{n-1}} + \dots + p^{n-1} b_{n-1}^p$$

$$\in \mathcal{H}^0(X/W_n) = \mathcal{H}_{dR}^0(Z/W_n)$$

$$b_i \in \mathcal{O}_Z \mapsto a_i$$

$$i = 1 : W_n \Omega_X^1 \xrightarrow{\sim} \sigma_*^n \mathcal{H}^1(X/W_n) = \sigma_*^n \mathcal{H}_{dR}^1(Z/W_n)$$

$$d(a_0, \dots, a_{n-1}) \mapsto \sum b_i^{p^{n-i-1}} db_i$$

$$(\text{cf. Serre's map } F^n d : W_n \mathcal{O}_X \rightarrow \Omega_X^1)$$



Katz's observation : 3.1  $\Rightarrow$

re-construction of the de Rham-Witt complex :

$$W_n \Omega_X^i := \sigma_*^n \mathcal{H}^i(X/W_n)$$

$$d : W_n \Omega_X^i \rightarrow W_n \Omega_X^{i+1} = ?$$

$$R : W_{n+1} \Omega_X^i \rightarrow W_n \Omega_X^i = ?$$

$$F : W_{n+1} \Omega_X^i \rightarrow W_n \Omega_X^i = ?$$

$$V : W_n \Omega_X^i \rightarrow W_{n+1} \Omega_X^i = ?$$

$d = \text{Bockstein} = \text{coboundary from}$

$$0 \rightarrow \Omega_{Z_n/W_n}^i \xrightarrow{p^n} \Omega_{Z_{2n}/W_{2n}}^i \rightarrow \Omega_{Z_n/W_n}^i \rightarrow 0$$

( $X$  lifted to  $Z_{2n}$  smooth  $/W_{2n}$ ,  $Z_n := Z_{2n} \otimes W_n$ )

$F : W_{n+1}\Omega_X^i \rightarrow W_n\Omega_X^i$  induced by can. map  
 $\mathcal{H}^i(X/W_{n+1}) \rightarrow \mathcal{H}^i(X/W_n)$

$V : W_n\Omega_X^i \rightarrow W_{n+1}\Omega_X^i$  induced by  
 $p : \mathcal{H}^i(X/W_n) \rightarrow \mathcal{H}^i(X/W_{n+1})$

$R : W_{n+1}\Omega_X^i \rightarrow W_n\Omega_X^i$  more delicate,

uses formal smooth lifting  $Z/W$ ,

plus lifting  $F$  of Frobenius, and

$\varphi = p^{-i}F^*$  on  $\Omega_{Z/W}^i$

$Ra = b$  if  $a =$  class of  $\varphi b$

(cf. Mazur-Ogus gauges th. :  $F^* : \Omega_{Z_n}^i \rightarrow \Omega_{Z_n, (i \mapsto i)}^i$ )

quasi-isomorphism)

$\Rightarrow$  construction of DRW and subsequent formalism

(for  $X/k$  smooth) independent

of the complex of integral forms for the affine space

## Applications

- structure of **conjugate spectral sequence** (I-Raynaud)  
( $X/k$  proper and smooth)

$$E_2^{ij} = \text{proj.lim } H^i(X, \mathcal{H}^j(X/W_n)) \Rightarrow H^{i+j}(X/W)$$

- degenerates at  $E_2$  mod  $p$ -torsion
- $K_0 \otimes \text{proj.lim } H^i(X, \mathcal{H}^j(X/W_n))$   
= part of slope  $\subset ]j-1, j]$  in  $K_0 \otimes H^{i+j}(X/W)$

- construction works in other contexts :

log schemes (Hyodo-Kato)

algebraic stacks (Olsson)

## 4. DE RHAM-WITT FOR LOG SCHEMES

$\alpha : L \rightarrow k$  : a fine log str. on  $s = \text{Spec}(k)$

$W_n(L)$  : Teichmüller lifting of  $L$  to  $W_n(s)$  :

$$W_n(L) = L \oplus \text{Ker}(W_n(k)^* \rightarrow k^*)$$

$$L \rightarrow W_n(k) : a \mapsto [\alpha(a)]$$

Example :  $L = (\mathbb{N} \rightarrow k, 1 \mapsto 0)^a$  (standard log point)

$$W_n(L) = (\mathbb{N} \rightarrow W_n(k), 1 \mapsto 0)^a$$

$(X, M)/(s, L)$  : fine log scheme, log smooth and Cartier type  $/(s, L)$

Cartier type (= integral, relative Frobenius exact)

ensures the existence of Cartier isomorphism :

$$C^{-1} : \Omega_{(X, M)'/(s, L)}^q \xrightarrow{\sim} F_* \mathcal{H}^q(\Omega_{(X, M)/(s, L)})$$

$(F : (X, M) \rightarrow ((X, M)' = (s, L) \times_{(s, L)} (X, M)$  relative Frobenius) ,

$$C^{-1} : a \mapsto F^* a, \text{ dlog } b \mapsto \text{dlog } b$$

Typical example :  $(s, L)$  standard log point,

$(X, M)$  of **semistable type**  $/ (s, L)$  :

étale loc.  $X = \text{Spec } k[t_1, \dots, t_d] / (t_1 \cdots t_r)$ , with charts

$$\begin{array}{ccc}
 k[t_1, \dots, t_d] / (t_1 \cdots t_r) & \longleftarrow & \mathbb{N}^r \\
 \uparrow & & \uparrow \mathbf{1} \mapsto (1, \dots, 1) \\
 k & \xleftarrow{\mathbf{1} \mapsto 0} & \mathbb{N}
 \end{array}$$

(e. g. special fiber of semistable scheme over trait)



Log crystalline (Hyodo-Kato) cohomology :

$$\begin{aligned} H^m((X, M)/(W_n, W_n(L))) &:= \\ H^m(((X, M)/(W_n, W_n(L)))_{crys}, \mathcal{O}) & \\ = H^m(X, Ru_{(X, M)}/(W_n, W_n(L))^* \mathcal{O}) & \end{aligned}$$

$((X, M)/(W_n, W_n(L)))_{crys}$  : log crystalline site  
(built with local log pd-thickenings)

comes equipped with

- Frobenius operator

$$\varphi : H^m((X, M)/(W_n, W_n(L))) \rightarrow H^m((X, M)/(W_n, W_n(L)))$$

defined by (Frobenius on schemes,  $p$  on monoids)

$\varphi = \sigma$ -linear **isogeny** (Berthelot-Ogus, Hyodo-Kato)

$$\exists \sigma^{-1}\text{-linear } \psi, \varphi\psi = \psi\varphi = p^r$$

$$(r = \dim(X) = \text{rk } \Omega_{(X, M)/(s, L)}^1)$$

- (for  $(s, L)$  = standard log point)  
monodromy operator

$$N : H^m((X, M)/(W_n, W_n(L))) \rightarrow H^m((X, M)/(W_n, W_n(L)))$$

$N$  = residue at  $t = 0$  of Gauss-Manin connection on  $H^m((X, M)/(W_n < t >, \text{can}))$  rel. to  $W_n$  (with trivial log str.)

basic relation :

$$N\varphi = p\varphi N$$

for  $X/k$  proper, get  $(\varphi, N)$ -module structure on

$$K_0 \otimes H^m((X, M)/(W, W(L))),$$

where

$$H^m((X, M)/(W, W(L))) = \text{proj.lim. } H^m((X, M)/(W_n, W_n(L)))$$

a f. g.  $W$ -module,

$N$  is nilpotent

## Log de Rham-Witt complex

imitate Katz-I-Raynaud's re-construction :

change notations :  $Y = (Y, M)$  log smooth, Cartier type  $/(k, L)$

$$W_n \omega_Y^i = \sigma_*^n R^i u_{(Y, M)} / (W_n, W_n(L)^* \mathcal{O}),$$

$d$  : Bockstein

$F$  : given by restriction from  $W_{n+1}$  to  $W_n$

$V$  : given by multiplication by  $p$

$R$  : uses Cartier type, variant of Mazur-Ogus gauges th.

get pro-complex

$$W.\omega_Y,$$

with operators  $F, V$  satisfying standard formulas

( $FV = VF = p, FdV = d$ , etc.)

new feature :  $\exists$  can. homomorphism

$$\mathrm{dlog} : M \rightarrow W_n \omega_Y^1,$$

$(a \mapsto \mathrm{dlog} \tilde{a} \in \mathcal{H}^1(\mathcal{O}_D \otimes \Omega_{(Z, M_Z)/(W_n, W_n(L))})$ ,  $\tilde{a} \in M_Z \mapsto a \in M$ ,

$Y \subset (Z, M_Z)/(W_n, W_n(L))$  log smooth embedding,  
 $D = \text{pd-envelope}$ )

satisfying

$$F \operatorname{dlog} = \operatorname{dlog}, [\alpha(a)] \operatorname{dlog} a = d[\alpha(a)]$$

$$W_n \omega_Y^0 = W_n \mathcal{O}_Y, \text{ and}$$

$W_n \omega_Y^*$  generated as  $W_n(\mathcal{O}_Y)$ -algebra by  
 $dW_n \mathcal{O}_Y, \operatorname{dlog} M^{gp}$



comparison th. 2.1 generalizes :

$$Ru_{(Y,M)/(W_n, W_n(L))^* \mathcal{O}} \xrightarrow{\sim} W_n \omega_{\dot{Y}}$$

$$R\Gamma((Y, M)/(W_n, W_n(L))) \xrightarrow{\sim} R\Gamma(Y, W_n \omega_{\dot{Y}})$$

slope spectral sequence, higher Cartier isom., etc.

generalize, too

## 5. THE HYODO-KATO ISOMORPHISM

$S = \text{Spec } A$ ,  $A$  complete dvr, char.  $(0, p)$ ,

$K = \text{Frac}(A)$ ,  $k = A/\pi A$  residue field, perfect

$K_0 = \text{Frac}(W(k))$

$X/S$  semi-stable reduction

$Y = X \otimes k$  the special fiber

**goal** : for  $X/S$  proper, define  $(K_0, \varphi, N)$ -structure on

$H_{dR}^m(X_K/K)$

using log crystalline cohomology of  $Y$

- case  $X/S$  **smooth** : Berthelot-Ogus isomorphism

$$K \otimes_W H^m(Y/W) \xrightarrow{\sim} H_{dR}^m(X_K/K)$$

$\Rightarrow (K_0, \varphi)$ -structure ( $N = 0$ )

- **general case** : use log str.

$M_X$  : can. log str. on  $X$ , induced by special fiber

$$(M_X = \mathcal{O}_X \cap j_* \mathcal{O}_{X_K}^*, j : X_K \subset X)$$

$M_Y$  : induced log str. on  $Y$

$L : (\mathbb{N} \rightarrow k, 1 \mapsto 0)^a$  : log str. on  $\text{Spec } k$ ,

induced by standard log str. on  $S$ ,  $(\mathbb{N} \rightarrow A, 1 \mapsto \pi)^a$

Put  $A_n = A \otimes \mathbb{Z}/p^n\mathbb{Z} = A \otimes_W W_n$ ,  $S_n = \text{Spec } A_n$

$X_n = X \otimes \mathbb{Z}/p^n\mathbb{Z} = X \otimes_W W_n$ , with induced log str.

Consider projective systems (a) and (b) of

$D^+(X_n, A_n) = D^+(Y, A_n)$  :

$$(a) \quad A_n \otimes_{W_n}^L Ru_{(Y, M_Y)/(W_n, W_n(L))}^* \mathcal{O}$$

$$(b) \quad \omega_{X_n/A_n}^{\cdot} := \Omega_{(X_n, M_{X_n})/(S_n, M_{S_n})}^{\cdot}$$

Note : (a)  $\xrightarrow{\sim} A_n \otimes_{W_n}^L W_n \omega_{Y/W_n}$

## THEOREM 5.1 (Hyodo-Kato)

There exists a canonical isomorphism

$$\rho_\pi : \mathbb{Q} \otimes (A_n \otimes_{W_n}^L W_n \omega_{Y/W_n}) \xrightarrow{\sim} \mathbb{Q} \otimes \omega_{X/S}$$

in  $\mathbb{Q} \otimes \text{proj.sys. } D^+(Y, A_n)$

(for additive cat.  $\mathcal{C}$ ,  $\text{Hom}_{\mathbb{Q} \otimes \mathcal{C}} = \mathbb{Q} \otimes \text{Hom}_{\mathcal{C}}$ ,

$\mathbb{Q} \otimes K$ : image of  $K$  in  $\mathbb{Q} \otimes \mathcal{C}$ )

## COROLLARY 5.2

For  $X/S$  proper (and semistable),  $\rho_\pi$  induces an isomorphism :

$$\rho_\pi : K \otimes_W H^m((Y, M_Y)/(W, W(L))) \xrightarrow{\sim} H_{dR}^m(X_K/K)$$

(gives  $(K_0, \varphi, N)$  structure on  $H_{dR}^m(X_K/K)$ )

### Remarks

(a) 5.1 valid for  $X/S$  log smooth, Cartier type

(b)  $\rho_\pi$  depends on  $\pi$  :

$$\rho_{\pi u} = \rho_\pi \exp(\log(u)N), \quad u \in A^*$$

(c) if  $X/S$  smooth, then :

$$H^m(Y/W) \xrightarrow{\sim} H^m((Y, M_Y)/(W, W(L))),$$

$\rho_\pi =$  Berthelot-Ogus isomorphism, independent of  $\pi$ .

## Highlights of proof

(Rough) idea : (a), (b) come from

$F$ -crystal on  $W \langle t \rangle$  with log pole at  $t = 0$

use Frobenius ( $t \mapsto t^p$ ), an isogeny, contracting the disc, to connect :

(a) = log crys side = fiber at 0,

(b) = dR side = general fiber



Two main steps :

- Use Berthelot-Ogus's method to reduce to rigidity th.  $/W_n < t > \text{ mod bounded } p\text{-torsion}$
- Construction of rigidification  
(uses de Rham-Witt, lifting of higher Cartier isomorphisms)

Embed  $\text{Spec } A$  into  $\text{Spec } W[t]$ ,  $t \rightarrow \pi$ ,

with log str.  $\mathbb{N} \rightarrow W[t]$ ,  $1 \rightarrow t$

$\text{Spec } R_n = \text{pd-envelope of } \text{Spec } A_n \text{ in } \text{Spec } W_n[t]$

$= \text{pd-envelope of } S_1 = \text{Spec } A_1 \text{ in } \text{Spec } W_n[t]$

Then :

$$\omega_{X_n/A_n} = A_n \otimes_{R_n}^L Ru_{X_1/R_n}^* \mathcal{O}$$

and

$$\varphi : Ru_{X_1/R_n^*} \mathcal{O} \rightarrow Ru_{X_1/R_n^*} \mathcal{O}$$

( $F$  on  $X_1, S_1, \varphi t = t^p$  on  $W_n[t]$ )

is an **isogeny** :  $\exists \psi, \psi\varphi = \varphi\psi = p^r, r = \dim Y$

As  $F^N : X_1/S_1 \rightarrow X_1/S_1$  factors

through  $Y/k$  for  $N \gg 0$ ,

Th. 5.1 follows from rigidity th. :

## THEOREM 5.3 (Hyodo-Kato)

(i) There exists a unique homomorphism

$$h : \mathbb{Q} \otimes (W. \langle t \rangle \otimes_{W.} Ru_{(Y/(W., W.(L)))*} \mathcal{O}) \rightarrow \mathbb{Q} \otimes Ru_{(Y/W. \langle t \rangle)*} \mathcal{O}$$

compatible with  $\varphi$ ,

and whose composition with natural map

$$Ru_{(Y/W. \langle t \rangle)*} \mathcal{O} \rightarrow Ru_{(Y/(W., W.(L)))*} \mathcal{O}$$

= can. projection

(ii)  $h$  is an isomorphism.

5.3  $\Rightarrow$  5.1

$$N \geq \log_p e, \quad e = [K : K_0],$$

5.3  $\Rightarrow$

$$\begin{aligned} h_\pi &= \varphi^N h \varphi^{-N} : \mathbb{Q} \otimes (R. \otimes_{W.}^L Ru_{(Y/(W., W.(L)))*} \mathcal{O}) \\ &\xrightarrow{\sim} \mathbb{Q} \otimes Ru_{X_1/R.}^* \mathcal{O} \end{aligned}$$

and

$$A_n \otimes_{R_n}^L Ru_{X_1/R_n}^* \mathcal{O} = \omega_{X_n/A_n}, \quad \rho_\pi = \mathbb{Q} \otimes (A. \otimes_{R.}^L h_\pi)$$

Proof of (i) :

use endomorphism  $\varphi$  of triangle

$$I_n \otimes_{W_n \langle t \rangle}^L Ru(Y/W_n \langle t \rangle)^* \mathcal{O} \rightarrow \\ Ru(Y/W_n \langle t \rangle)^* \mathcal{O} \rightarrow Ru(Y/(W_n, W_n(L)))^* \mathcal{O} \rightarrow$$

$(I_n = \text{Ker } W_n \langle t \rangle \rightarrow W_n)$  together with :

- $\varphi\psi = \psi\varphi = p^r$  on 3rd term
- $\varphi^i(I_n) \subset (p^i)!I_n$

$\Rightarrow$  unique  $\varphi$ -splitting mod bounded  $p$ -torsion

Proof of (ii) :

relies on existence of

lifted higher Cartier isomorphism

If  $(Y, M_Y)/(k, L) \subset (Z., M.)/(W.[t], 1 \mapsto t)$

= compatible system of log smooth embeddings

$$R^q u_{Y/W_n \langle t \rangle *} \mathcal{O} = \mathcal{H}^q(\mathcal{O}_{D_n} \otimes \omega_{Z_n/W_n \langle t \rangle}^\bullet)$$

$(D_n = \text{pd-envelope of } Y \text{ in } Z_n)$

## THEOREM 5.4 (Hyodo-Kato)

(a)  $\exists$  unique homomorphism of graded algebras

$$c_n : W_n \omega_Y^* \rightarrow R^* u_Y / W_n \langle t \rangle^* \mathcal{O},$$

$$(a_0, \dots, a_{n-1}) \mapsto \sum_{0 \leq i \leq n-1} p^i \tilde{a}_i^{p^{n-i}},$$

$$d(a_0, \dots, a_{n-1}) \mapsto \sum_{0 \leq i \leq n-1} \tilde{a}_i^{p^{n-i-1}} d\tilde{a}_i, \quad \text{dlog } b \mapsto \text{dlog } \tilde{b},$$

( $\tilde{a}_i \in \mathcal{O}_{D_n}$  (resp.  $\tilde{b} \in M_{Z_n}$ ) lifts  $a_i$  (resp.  $b$ )).



(b) The composition of  $c_n$  with the inverse higher Cartier isom.  $C^n$

$$R^q u_{Y/(W_n, W_n(L))^* \mathcal{O}} \xrightarrow{C^n} W_n \omega_Y^q \xrightarrow{c_n} R^q u_{Y/W_n \langle t \rangle}^* \mathcal{O}$$

is a  $\varphi$ -equivariant section of the can. projection, and induces a  $W_n \langle t \rangle$ -linear isomorphism

$$h_n^q : W_n \langle t \rangle \otimes_{W_n} R^q u_{Y/(W_n, W_n(L))^* \mathcal{O}} \xrightarrow{\sim} R^q u_{Y/W_n \langle t \rangle}^* \mathcal{O}.$$

End of proof of (ii) :

One shows :

$$\mathcal{H}^q(h) = \mathbb{Q} \otimes h^q,$$

with  $h^q$  as in 5.4 (uniqueness of  $\varphi$ -equivariant sections).

Proof of 5.4 :

uses explicit presentations of  $W_n \omega_Y^*$  by generators and relations.

## Remarks

- Ogus (1995) : Simpler proof, variants and generalizations of 5.2 for  $\log F$ -crystals

But : 5.1, 5.3 crucial for crystalline interpretation of

$$B_{\text{st}}^+ \otimes_W H^m((Y, M_Y)/(W, W(L)))$$

via Künneth formulas at finite levels :

$$H^0(\overline{S}_1/R_n) \otimes_{R_n} H^m(X_1/R_n) \xrightarrow{\sim} H^m(\overline{X}_1/R_n),$$

where

$$\text{lhs} = H^0(\overline{S}_1/R_n) \otimes_{W_n} H^m(Y/(W_n, W_n(L)))$$

mod bounded  $p$ -torsion by Hyodo-Kato 5.1

$$(\overline{X}_1 = X_1 \otimes \mathcal{O}_{\overline{K}}, \text{ etc.},$$

can. log. str. on  $\overline{S}_1, \overline{X}_1, Y, R_n$ )

and construction of [comparison map](#)

$$B_{\text{st}} \otimes H^m(X_{\overline{K}}, \mathbb{Q}_p) \rightarrow B_{\text{st}} \otimes H^m((Y, M_Y)/(W, W(L)))$$

• Olsson (Astérisque 316, 412 p.) :

variants and generalizations of 5.3, 5.4 for

certain [algebraic stacks](#) using

- Langer-Zink relative de Rham-Witt complex

- dictionary :

(log scheme) = (scheme over a certain alg. stack)