Construction of quasimodes for non-selfadjoint operators under finite-type dynamical conditions

Víctor Arnaiz Solórzano

CNRS and Université Paris-Saclay

January 18, 2021

Problèmes Spectraux en Physique Mathématique

The spectral theory for selfadjoint operators on Hilbert spaces is quite confortable. We have the resolvent estimate

$$||(P - \zeta)^{-1}|| = (\operatorname{dist}(\zeta, \sigma(P)))^{-1},$$

and the spectral theorem also gives very good control over functions of selfadjoint operators, so for instance if P is selfadjoint with $\sigma(P) \subset [\lambda_0, +\infty)$, then

$$||e^{-tP}|| \le e^{-\lambda_0 t}, \quad t \ge 0.$$

The spectral theory for selfadjoint operators on Hilbert spaces is quite confortable. We have the resolvent estimate

$$||(P - \zeta)^{-1}|| = (\operatorname{dist}(\zeta, \sigma(P)))^{-1},$$

and the spectral theorem also gives very good control over functions of selfadjoint operators, so for instance if P is selfadjoint with $\sigma(P) \subset [\lambda_0, +\infty)$, then

$$||e^{-tP}|| \le e^{-\lambda_0 t}, \quad t \ge 0.$$

However, non-normal operators appear frequently in different problems; Scattering poles, Convection-diffusion problems, Kramers-Fokker-Planck equation, damped wave equations, linearized operators in fluid dynamics. Typically, $||(P - \zeta)^{-1}||$ may be very large even when ζ is far from the spectrum.

Let us consider the typical example

$$\widehat{P}_{\hbar} := -\hbar^2 \Delta + V(x), \quad x \in \mathbb{R}^d.$$

The semiclassical pseudo-spectrum of $P(\hbar)$ is defined as

 $\Lambda(p) = \overline{\{p(x,\xi) = \xi^2 + V(x) : (x,\xi) \in \mathbb{R}^{2d}, \ \Im\langle\xi, \partial V(x)\rangle \neq 0\}}.$

Theorem (Davies, 1999; Zworski, 2001)

Suppose that $V \in \mathcal{C}^{\infty}(\mathbb{R}^d)$. Then, for any $\zeta \in \{\xi^2 + V(x) : (x,\xi) \in \mathbb{R}^{2d}, \Im\langle \xi, \partial V(x) \rangle \neq 0\}$, there exists $(\psi_{\hbar}) \subset L^2(\mathbb{R}^d)$ with the property

$$\|(\widehat{P}_{\hbar}-\zeta)\psi_{\hbar}\|_{L^{2}(\mathbb{R}^{d})}=O(\hbar^{\infty})\|\psi_{\hbar}\|_{L^{2}}.$$

Moreover, $WF_{\hbar}(\psi_{\hbar}) = \{(x_0, \xi_0)\}$ for some (x_0, ξ_0) with $p(x_0, \xi_0) = \zeta$.

Take $(x_0, \xi_0) \in p^{-1}(\zeta)$ with $\Im\langle \xi_0, \partial V(x_0) \rangle < 0$. Then the submanifold $p^{-1}(\zeta) \subset \mathbb{R}^{2d}$ has codimension two. The symplectic form restricted to this submanifold is non-degenerate. One can then find a local canonical transformation $\kappa : (x_0, \xi_0) \mapsto (0, 0)$ such that

$$\kappa^*(\xi_1 - ix_1) = up,$$

for some smooth function u with $u(x_0, \xi_0) > 0$, and a Fourier integral operator T such that

$$\widehat{P}_{\hbar} = T^{-1}A(\hbar D_{x_1} - ix_1)T,$$

microlocally near $((x_0, \xi_0), (0, 0))$, where A is elliptic at (0, 0). Then defining

$$\varphi_0^{\hbar}(x) := \frac{1}{(\pi\hbar)^{d/4}} e^{-\frac{|x|^2}{2\hbar}}$$

one can take $\psi_{\hbar} := T^{-1} \varphi_0^{\hbar}$.

$$\widehat{P}_{\hbar} = \operatorname{Op}_{\hbar}(p), \quad p = V + iA, \quad V, A \in S^{N}(\mathbb{R}^{2d}; \mathbb{R}), \quad A \ge 0.$$

$$\widehat{P}_{\hbar} = \operatorname{Op}_{\hbar}(p), \quad p = V + iA, \quad V, A \in S^{N}(\mathbb{R}^{2d}; \mathbb{R}), \quad A \ge 0.$$

Let $z_0 \in \mathbb{R}^{2d}$ such that $A(z_0) = 0$, $\nabla A(z_0) = 0$. Notice that $\{V, A\}(z_0) = 0$. Assume the following **finite-type** condition:

 $\langle X_V(z_0), \partial^2 A(z_0) X_V(z_0) \rangle > 0.$

$$\widehat{P}_{\hbar} = \operatorname{Op}_{\hbar}(p), \quad p = V + iA, \quad V, A \in S^{N}(\mathbb{R}^{2d}; \mathbb{R}), \quad A \ge 0.$$

Let $z_0 \in \mathbb{R}^{2d}$ such that $A(z_0) = 0$, $\nabla A(z_0) = 0$. Notice that $\{V, A\}(z_0) = 0$. Assume the following **finite-type** condition:

 $\langle X_V(z_0), \partial^2 A(z_0) X_V(z_0) \rangle > 0.$

Theorem (Dencker, Sjöstrand, Zworski, 2004)

$$\|(\widehat{P}_{\hbar} - p(z_0))^{-1}\|_{\mathcal{L}(L^2)} \le \frac{C}{\hbar^{2/3}}, \quad \hbar \le \hbar_0.$$

$$\widehat{P}_{\hbar} = \operatorname{Op}_{\hbar}(p), \quad p = V + iA, \quad V, A \in S^{N}(\mathbb{R}^{2d}; \mathbb{R}), \quad A \ge 0.$$

Let $z_0 \in \mathbb{R}^{2d}$ such that $A(z_0) = 0$, $\nabla A(z_0) = 0$. Notice that $\{V, A\}(z_0) = 0$. Assume the following **finite-type** condition:

 $\langle X_V(z_0), \partial^2 A(z_0) X_V(z_0) \rangle > 0.$

Theorem (Dencker, Sjöstrand, Zworski, 2004)

$$\|(\widehat{P}_{\hbar} - p(z_0))^{-1}\|_{\mathcal{L}(L^2)} \le \frac{C}{\hbar^{2/3}}, \quad \hbar \le \hbar_0.$$

Theorem (Sjöstrand, 2009)

There exists $C_0 > 0$ such that, $\forall C_1 > 0$, if $|p(z_0) - \zeta| < \left(C_1 \hbar \log \frac{1}{\hbar}\right)^{2/3}$ then

$$\|(\widehat{P}_{\hbar}-\zeta)^{-1}\|_{\mathcal{L}(L^2)} \le \frac{C_0}{\hbar^{2/3}} \exp\left(\frac{C_0}{\hbar}|p(z_0)-\zeta|^{3/2}\right), \quad \hbar \le \hbar_0(C_0, C_1) > 0.$$

Theorem (Dencker, Sjöstrand, Zworski, 2004)

Let $z_0 \in \mathbb{R}^{2d}$ such that $\{V, A\}(z_0) < 0$. Then there exists a quasimode $(\psi_{\hbar}, p(z_0))$ for \widehat{P}_{\hbar} of width $O(\hbar^{\infty})$ such that $WF_{\hbar}(\psi_{\hbar}) = \{z_0\}$.

Theorem (Dencker, Sjöstrand, Zworski, 2004)

Let $z_0 \in \mathbb{R}^{2d}$ such that $\{V, A\}(z_0) < 0$. Then there exists a quasimode $(\psi_{\hbar}, p(z_0))$ for \widehat{P}_{\hbar} of width $O(\hbar^{\infty})$ such that $WF_{\hbar}(\psi_{\hbar}) = \{z_0\}$.

Theorem (A.; 2020)

Let $z_0 \in A^{-1}(0)$, assume that $\nabla A(z_0) = 0$, and

$$\langle X_V(z_0), \partial^2 A(z_0) X_V(z_0) \rangle > 0.$$

Then there exists a quasimode $(\psi_{\hbar}, \lambda_{\hbar})$ for \widehat{P}_{\hbar} of width $r_{\hbar} = O(\hbar^{\infty})$ with quasi-eigenvalue

$$\lambda_{\hbar} = V(z_0) + i\beta_{\hbar}, \quad \hbar^{2/3-\epsilon} \gg \beta_{\hbar} \gg \left(\hbar \log \frac{1}{\hbar}\right)^{2/3},$$

such that

$$WF_{\hbar}(\psi_{\hbar}) = \{z_0\}.$$

Let us consider the harmonic oscillator

$$\widehat{H}_{\hbar} := \frac{1}{2} \sum_{j=1}^d \omega_j (-\hbar^2 \partial_{x_j}^2 + x_j^2), \quad \hbar > 0,$$

acting on $L^2(\mathbb{R}^d)$, where $\omega = (\omega_1, \ldots, \omega_d) \in \mathbb{R}^d_+$ is called vector of frequencies.

Let us consider the harmonic oscillator

$$\widehat{H}_{\hbar} := \frac{1}{2} \sum_{j=1}^d \omega_j (-\hbar^2 \partial_{x_j}^2 + x_j^2), \quad \hbar > 0,$$

acting on $L^2(\mathbb{R}^d)$, where $\omega = (\omega_1, \ldots, \omega_d) \in \mathbb{R}^d_+$ is called vector of frequencies.

We consider perturbations of the form:

$$\widehat{P}_{\hbar} = \widehat{H}_{\hbar} + \varepsilon_{\hbar}\widehat{V}_{\hbar} + i\hbar\widehat{A}_{\hbar},$$

where the symbols $A, V \in S^0(\mathbb{R}^d; \mathbb{R})$ are real valued and bounded together with all its derivatives. We assume that $A \ge 0$ and that $\hbar^2 \ll \varepsilon_\hbar \lesssim \hbar^{\alpha}$ for some $0 < \alpha < 2$. Consider sequences of (pseudo-)eigenvalues $\lambda_{\hbar} = \alpha_{\hbar} + i\hbar\beta_{\hbar}$ such that

$$(\alpha_{\hbar}, \beta_{\hbar}) \to (1, \beta), \text{ as } \hbar \to 0^+,$$

and

$$\widehat{P}_{\hbar}\psi_{\hbar} = \lambda_{\hbar}\psi_{\hbar} + R_{\hbar}, \quad \|\psi_{\hbar}\|_{L^2} = 1, \tag{1}$$

where $r_{\hbar} = \|R_{\hbar}\|_{L^2}$ is the **width** of the quasimode, typically of order $o(\hbar)$.

Consider sequences of (pseudo-)eigenvalues $\lambda_{\hbar} = \alpha_{\hbar} + i\hbar\beta_{\hbar}$ such that

$$(\alpha_{\hbar}, \beta_{\hbar}) \to (1, \beta), \text{ as } \hbar \to 0^+,$$

and

$$\widehat{P}_{\hbar}\psi_{\hbar} = \lambda_{\hbar}\psi_{\hbar} + R_{\hbar}, \quad \|\psi_{\hbar}\|_{L^2} = 1,$$
(1)

where $r_{\hbar} = ||R_{\hbar}||_{L^2}$ is the **width** of the quasimode, typically of order $o(\hbar)$. If there exists a quasimode $(\psi_{\hbar}, \lambda_{\hbar})$ for \widehat{P}_{\hbar} of with r_{\hbar} , then

$$\|(\widehat{P}_{\hbar} - \lambda_{\hbar})^{-1}\|_{\mathcal{L}(L^2)} \ge \frac{1}{r_{\hbar}}$$

Geometric control (I)

Let

$$H(x,\xi) = \frac{1}{2} \sum_{j=1}^{d} \omega_j (\xi_j^2 + x_j^2).$$

For any $a \in \mathcal{C}^{\infty}(\mathbb{R}^{2d})$, we define

$$\langle a \rangle(x,\xi) = \lim_{T \to \infty} \frac{1}{T} \int_0^T a \circ \phi_t^H(x,\xi) dt.$$

Geometric control (I)

Let

$$H(x,\xi) = \frac{1}{2} \sum_{j=1}^{d} \omega_j (\xi_j^2 + x_j^2).$$

For any $a \in \mathcal{C}^{\infty}(\mathbb{R}^{2d})$, we define

$$\langle a \rangle(x,\xi) = \lim_{T \to \infty} \frac{1}{T} \int_0^T a \circ \phi_t^H(x,\xi) dt.$$

Proposition

Let $\lambda_{\hbar} = \alpha_{\hbar} + i\hbar\beta_{\hbar}$ be a sequence of quasi-eigenvalues for (1) with $r_{\hbar} = o(\hbar)$. Then

$$\beta \in \left[\min_{(x,\xi)\in H^{-1}(1)} \langle A\rangle(z), \max_{z\in H^{-1}(1)} \langle A\rangle(z)\right].$$

In particular, if $\langle A \rangle \geq a_0 > 0$ (GC), then $\beta > 0$.

Geometric control (II)

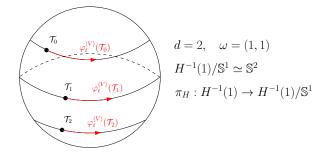
We assume that $\min_{(x,\xi)\in H^{-1}(1)}\langle A\rangle(x,\xi)=0$ but one still has a *Weak Geometric Control* (WGC):

 $\forall z = (x,\xi) \in H^{-1}(1) \cap \langle A \rangle^{-1}(0), \quad \exists t \in \mathbb{R} : \quad \langle A \rangle \circ \phi_t^{\langle V \rangle}(z) > 0.$

Geometric control (II)

We assume that $\min_{(x,\xi)\in H^{-1}(1)}\langle A\rangle(x,\xi)=0$ but one still has a *Weak Geometric Control* (WGC):

 $\forall z = (x,\xi) \in H^{-1}(1) \cap \langle A \rangle^{-1}(0), \quad \exists t \in \mathbb{R}: \quad \langle A \rangle \circ \phi_t^{\langle V \rangle}(z) > 0.$



The dimension of the minimal invariant tori by ϕ_t^H depends on the arithmetic relations between components of the vector of frequencies $\omega = (\omega_1, \ldots, \omega_d)$.

The **resonant set** $\Lambda_{\omega} = \{k \in \mathbb{Z}^d : k \cdot \omega = 0\}$ determines the maximal dimension d_{ω} of the Kronecker tori reached by ϕ_t^H . Precisely,

$$d_{\omega} = d - \operatorname{rk} \Lambda_{\omega}.$$

In particular, in the case $d_{\omega} = d$, conditions (GC) and (WGC) are equivalent.

The dimension of the minimal invariant tori by ϕ_t^H depends on the arithmetic relations between components of the vector of frequencies $\omega = (\omega_1, \ldots, \omega_d)$.

The **resonant set** $\Lambda_{\omega} = \{k \in \mathbb{Z}^d : k \cdot \omega = 0\}$ determines the maximal dimension d_{ω} of the Kronecker tori reached by ϕ_t^H . Precisely,

$$d_{\omega} = d - \operatorname{rk} \Lambda_{\omega}.$$

In particular, in the case $d_{\omega} = d$, conditions (GC) and (WGC) are equivalent.

We will say that ω is **partially Diophantine** if

$$|k \cdot \omega| \ge \frac{C}{|k|^{\nu}}, \quad k \in \mathbb{Z}^d \setminus \Lambda_\omega, \quad \nu \ge d_\omega - 1.$$

Theorem (A., Rivière; 2018)

Assume (WGC) and $\varepsilon_{\hbar} \gg \hbar^2$.

Then, for every sequence $\lambda_{\hbar} = \alpha_{\hbar} + i\hbar\beta_{\hbar}$ satisfying (1) with $r_{\hbar} \ll \hbar\varepsilon_{\hbar}$,

$$\liminf_{\hbar \to 0^+} \frac{\beta_\hbar}{\varepsilon_\hbar} = +\infty.$$
⁽²⁾

As a consequence, for every R > 0, there exists $\delta_R > 0$ s.t.

$$\frac{\operatorname{Im}\zeta}{\hbar} \leq R\varepsilon_{\hbar} \implies \left\| \left(\widehat{P}_{\hbar} - \zeta \right)^{-1} \right\|_{L^2 \to L^2} \leq \frac{1}{\delta_R \hbar \varepsilon_{\hbar}}.$$

Theorem (A., Rivière; 2018)

Assume (WGC) and $\varepsilon_{\hbar} \gg \hbar^2$.

Then, for every sequence $\lambda_{\hbar} = \alpha_{\hbar} + i\hbar\beta_{\hbar}$ satisfying (1) with $r_{\hbar} \ll \hbar\varepsilon_{\hbar}$,

$$\liminf_{\hbar \to 0^+} \frac{\beta_{\hbar}}{\varepsilon_{\hbar}} = +\infty.$$
⁽²⁾

As a consequence, for every R > 0, there exists $\delta_R > 0$ s.t.

$$\frac{\operatorname{Im}\zeta}{\hbar} \leq R\varepsilon_{\hbar} \implies \left\| \left(\widehat{P}_{\hbar} - \zeta \right)^{-1} \right\|_{L^{2} \to L^{2}} \leq \frac{1}{\delta_{R} \hbar \varepsilon_{\hbar}}.$$

Theorem (Asch, Lebeau, 2003; A., Rivière, 2018)

Let A, V be **real analytic**, and ω partially Diophantine. Assume (WGC), $\varepsilon_{\hbar} \ge \hbar$ and $r_{\hbar} \equiv 0$. Then

$$\beta > 0.$$

Construction of quasimodes

Question: Under the hypothesis of the second theorem, there exist quasimodes of width $r_{\hbar} = o(\varepsilon_{\hbar}\hbar)$ such that $\varepsilon_{\hbar} \ll \beta_{\hbar} \to 0$?

Construction of quasimodes

Question: Under the hypothesis of the second theorem, there exist quasimodes of width $r_{\hbar} = o(\varepsilon_{\hbar}\hbar)$ such that $\varepsilon_{\hbar} \ll \beta_{\hbar} \to 0$?

Theorem (A.; 2020)

Let $\varepsilon_{\hbar} = \hbar$. Assume that ω is partially Diophantine, (WGC) and let \mathcal{T}_0 be a minimal invariant torus for ϕ_t^H such that $\langle A \rangle|_{\mathcal{T}_0} = 0$. Suppose also that

$$\langle X_{\langle V \rangle}(z_0), \partial^2 \langle A \rangle(z_0) X_{\langle V \rangle}(z_0) \rangle > 0, \quad z_0 \in \mathcal{T}_0.$$

Then there exists a quasimode $(\psi_{\hbar}, \lambda_{\hbar})$ for \widehat{P}_{\hbar} of width $r_{\hbar} = O(\hbar^{\infty})$ with quasi-eigenvalue

$$\lambda_{\hbar} = 1 + \hbar \langle V \rangle(z_0) + i\hbar\beta_{\hbar}, \quad \hbar^{2/3-\epsilon} \gg \beta_{\hbar} \gg \left(\hbar \log \frac{1}{\hbar}\right)^{2/3},$$

such that

$$WF_{\hbar}(\psi_{\hbar}) = \mathcal{T}_0.$$

• We first conjugate the operator $\widehat{P}_{\hbar} = \widehat{H}_{\hbar} + \hbar \operatorname{Op}_{\hbar}(V) + i\hbar \operatorname{Op}_{\hbar}(A)$ into a normal form

$$\widehat{P}_{\hbar}^{\dagger} = \widehat{H}_{\hbar} + \hbar \operatorname{Op}_{\hbar}(\langle V \rangle) + i\hbar \operatorname{Op}_{\hbar}(\langle A \rangle) + O(\hbar^2).$$

• We first conjugate the operator $\widehat{P}_{\hbar} = \widehat{H}_{\hbar} + \hbar \operatorname{Op}_{\hbar}(V) + i\hbar \operatorname{Op}_{\hbar}(A)$ into a normal form

$$\widehat{P}_{\hbar}^{\dagger} = \widehat{H}_{\hbar} + \hbar \operatorname{Op}_{\hbar}(\langle V \rangle) + i\hbar \operatorname{Op}_{\hbar}(\langle A \rangle) + O(\hbar^2).$$

• We next construct (following A., Macià 2020) a sequence of eigenfunctions $(\Psi_{\hbar}, E_{\hbar})_{\hbar}$ for \widehat{H}_{\hbar} which concentrates on \mathcal{T}_0 of the form:

$$\Psi_{\hbar}(x) := \left(\frac{|dH(z_0)|}{\sqrt{\pi\hbar}T_{\omega}}\right)^{1/2} \int_0^{T_{\omega}} e^{\frac{it}{\hbar}E_{\hbar}} e^{\frac{-it|\omega|_1}{2}} \varphi_{\phi_t^H(z_0)}^{\hbar}(x) dt,$$

where $E_{\hbar} \to H(z_0) = 1$, and

$$\varphi_z^{\hbar}(x) = \frac{1}{(\pi\hbar)^{d/4}} e^{\frac{-|x-x_0|^2}{2\hbar}} e^{\frac{i}{\hbar}\xi_0 \cdot \left(x - \frac{x_0}{2}\right)}, \quad z_0 = (x_0, \xi_0).$$

• We first conjugate the operator $\widehat{P}_{\hbar} = \widehat{H}_{\hbar} + \hbar \operatorname{Op}_{\hbar}(V) + i\hbar \operatorname{Op}_{\hbar}(A)$ into a normal form

$$\widehat{P}_{\hbar}^{\dagger} = \widehat{H}_{\hbar} + \hbar \operatorname{Op}_{\hbar}(\langle V \rangle) + i\hbar \operatorname{Op}_{\hbar}(\langle A \rangle) + O(\hbar^2).$$

• We next construct (following A., Macià 2020) a sequence of eigenfunctions $(\Psi_{\hbar}, E_{\hbar})_{\hbar}$ for \hat{H}_{\hbar} which concentrates on \mathcal{T}_0 of the form:

$$\Psi_{\hbar}(x) := \left(\frac{|dH(z_0)|}{\sqrt{\pi\hbar}T_{\omega}}\right)^{1/2} \int_0^{T_{\omega}} e^{\frac{it}{\hbar}E_{\hbar}} e^{\frac{-it|\omega|_1}{2}} \varphi_{\phi_t^H(z_0)}^{\hbar}(x) dt,$$

where $E_{\hbar} \to H(z_0) = 1$, and

$$\varphi_z^{\hbar}(x) = \frac{1}{(\pi\hbar)^{d/4}} e^{\frac{-|x-x_0|^2}{2\hbar}} e^{\frac{i}{\hbar}\xi_0 \cdot \left(x - \frac{x_0}{2}\right)}, \quad z_0 = (x_0, \xi_0).$$

• We then average the eigenmode Ψ_{\hbar} also by the propagator of a suitable polynomial approximation of $\operatorname{Op}_{\hbar}(\langle V \rangle + i \langle A \rangle)$ near \mathcal{T}_0 to obtain our quasimode ψ_{\hbar} .

Self-adjoint operators:

- Hagedorn (1985).
- De Bièvre, Houard, Irac-Astaud (1992-1993).
- Paul, Uribe (1993).
- Combescure, Robert (1996).
- Eswarathasan, Nonnenmacher (2015).
- A., Macià (2020).

Non-selfadjoint operators:

- Graefe, Schubert (2011, 2012).
- Dietert, Keller, Troppmann (2016).
- Lasser, Schubert, Troppmann (2018).
- Pravda-Starov (2018).

Let us consider a wave packet centered at $z_0 = (x_0, \xi_0)$ and with Lagrangian frame $Z_0 = (P_0, Q_0) = (i \operatorname{Id}_d, \operatorname{Id}_d) \in \mathbb{C}^{2d \times d}$:

$$\varphi_0^{\hbar}[Z_0, z_0](x) = \frac{\det(Q_0)^{-1/2}}{(\pi\hbar)^{d/4}} e^{\frac{i}{2\hbar}P_0Q_0^{-1}(x-x_0)\cdot(x-x_0)} e^{\frac{i}{\hbar}\xi_0\cdot\left(x-\frac{x_0}{2}\right)}.$$

Let us consider a wave packet centered at $z_0 = (x_0, \xi_0)$ and with Lagrangian frame $Z_0 = (P_0, Q_0) = (i \operatorname{Id}_d, \operatorname{Id}_d) \in \mathbb{C}^{2d \times d}$:

$$\varphi_0^{\hbar}[Z_0, z_0](x) = \frac{\det(Q_0)^{-1/2}}{(\pi\hbar)^{d/4}} e^{\frac{i}{2\hbar}P_0Q_0^{-1}(x-x_0)\cdot(x-x_0)} e^{\frac{i}{\hbar}\xi_0\cdot\left(x-\frac{x_0}{2}\right)}.$$

When we apply the propagator $e^{\frac{it\hat{P}_{h}}{\hbar}}$ of \hat{P}_{h} to $\varphi_{0}^{\hbar}[Z_{0}, z_{0}]$, the center of the wave-packet evolves approximately according to the evolution equation (Graefe-Schubert, 2011):

$$\dot{z}_t = -\Omega \nabla V(z_t) - G_t^{-1} \nabla A(z_t),$$

$$\dot{G}_t = G_t \Omega \partial^2 V(z_t) - \partial^2 V(z_t) \Omega G_t - \partial^2 A(z_t) - G_t \Omega \partial^2 A(z_t) \Omega G_t$$

This system is well-posed for $z_t|_{t=0} = z_0$, $G_0 = \text{Id}_{2d}$, $0 \le t \le T$.

We split $p(z) = p_2(t, z) + R(t, z)$, where

$$p_{2}(t,z) = p(z_{t}) + (z - z_{t}) \cdot \nabla p(z_{t}) + \frac{1}{2}(z - z_{t}) \cdot \partial^{2} p(z_{t})(z - z_{t}),$$
$$R(t,z) = \sum_{|\beta|=3} \frac{|\beta|}{\beta!} (z - z_{t})^{\beta} \int_{0}^{1} (1 - s)^{|\beta|-1} D^{\beta} p(z_{t} + s(z - z_{t})) ds.$$

н.

The evolution of Hagedorn wave packets (and more generally excited states) by the propagator of $Op_{\hbar}(p_2(t,z))$ has been characterized by Lasser, Schübert and Troppmann (2018). We also need to estimate the contribution of the remainder term R(t,z).

A matrix $Z = (Q, P) \in \mathbb{C}^{2d \times d}$ is called normalized Lagrangian frame if:

$$Z^T \Omega Z = 0, \quad \frac{i}{2} Z^* \Omega Z = \mathrm{Id}_d.$$

If Z is a normalized Lagrangian frame, then $L = \operatorname{range} Z$ is a positive Lagrangian space, meaning that

$$L = \{ (PQ^{-1}x, x) : x \in \mathbb{C}^d \}, \quad \Im (PQ^{-1}) > 0.$$

With Z one can associate ladder operators:

$$A[Z,z] = \frac{i}{\sqrt{2\hbar}} Z \cdot \Omega(\hat{z} - z), \quad A^{\dagger}[Z,z] = -\frac{i}{\sqrt{2\hbar}} \overline{Z} \cdot \Omega(\hat{z} - z).$$

Proposition

The set of states:

$$\varphi_k^{\hbar}[Z,z](x) = \frac{1}{\sqrt{k!}} A_k^{\dagger}[Z,z] \varphi_0^{\hbar}[Z,z](x), \quad k \in \mathbb{N}^d.$$

defines an orthonormal basis of $L^2(\mathbb{R}^d)$.

In the selfadjoint case the evolution of a Hagedorn excites state is determined by the system of differential equations

$$\dot{z}_t = -\Omega \nabla p(z_t), \qquad \qquad z_t|_{t=0} = z_0,$$
$$\dot{S}_t = \partial^2 p(z_t) S_t, \qquad \qquad S_0 = \text{Id}.$$

We have:

$$e^{\frac{itP_{2,\hbar}}{\hbar}}\varphi_k[Z_0, z_0](x) = e^{\frac{i}{\hbar}\Lambda_t(z_0)}\varphi_k^{\hbar}[Z_t, z_t](x), \quad k \in \mathbb{N}^d,$$

where $Z_t = S_t Z_0$ and

$$\Lambda_t(z_0) = -\int_0^t \left(\frac{\dot{\xi}_s \cdot x_s - \dot{x}_s \cdot \xi_s}{2} - p(z_s)\right) ds$$

In the selfadjoint case the evolution of a Hagedorn excites state is determined by the system of differential equations

$$\dot{z}_t = -\Omega \nabla p(z_t), \qquad \qquad z_t|_{t=0} = z_0,$$
$$\dot{S}_t = \partial^2 p(z_t) S_t, \qquad \qquad S_0 = \text{Id}.$$

We have:

$$e^{\frac{itP_{2,\hbar}}{\hbar}}\varphi_k[Z_0,z_0](x) = e^{\frac{i}{\hbar}\Lambda_t(z_0)}\varphi_k^{\hbar}[Z_t,z_t](x), \quad k \in \mathbb{N}^d,$$

where $Z_t = S_t Z_0$ and

$$\Lambda_t(z_0) = -\int_0^t \left(\frac{\dot{\xi}_s \cdot x_s - \dot{x}_s \cdot \xi_s}{2} - p(z_s)\right) ds.$$

In this case, the metric G_t , given by $G_t = S_t^{-T} S_t^{-1}$, satisfies the Ricatti equation

$$\dot{G}_t = G_t \Omega \partial^2 p(z_t) - \partial^2 p(z_t) \Omega G_t$$

Quadratic evolution (non-selfadjoint case)

Let S_t be the complex symplectic matrix satisfying:

$$\dot{S}_t = \Omega \partial^2 p(z_t) S_t, \quad S_0 = \mathrm{Id}_d,$$

and define

$$N_t := \left(\frac{1}{2i} (S_t Z_0)^* \Omega(S_t Z_0)\right)^{-1/2}$$

Theorem (Lasser, Schubert, Troppmann (2018))

$$e^{\frac{itP_{2,\hbar}}{\hbar}}\varphi_k^{\hbar}[Z_0, z_0](x) = e^{\frac{i}{\hbar}\Lambda_t(z_0) + \varrho_t} \sum_{|l| \le |k|} b_{kl}(t)\varphi_l^{\hbar}[Z_t, z_t], \quad 0 \le t \le T,$$

where $Z_t = S_t Z_0 N_t$ is a normalized Lagrangian frame, and

$$egin{aligned} &\Lambda_t(z_0) = -\int_0^t \left(rac{\dot{\xi}_s \cdot x_s - \dot{x}_s \cdot \xi_s}{2} - p(z_s)
ight) ds, \ &arrho_t = -rac{1}{4} \int_0^t ext{Tr} \left(G_s^{-1} \partial^2 A(z_s)
ight) ds. \end{aligned}$$

We look at the equation:

$$i\hbar\partial_t\varphi_{\hbar}(t,x) + \widehat{P}_{\hbar}\varphi_{\hbar}(t,x) = 0, \quad \varphi_{\hbar}(0,x) = \varphi_0^{\hbar}[Z_0,z_0](x).$$
(3)

Making the ansatz

$$\varphi_{\hbar}(t,x) = \sum_{k \in \mathbb{N}^d} c_k(t,\hbar) \varphi_k^{\hbar}[Z_t, z_t](x),$$

equation (3) can be viewed as an equation on the coefficients:

$$\dot{c}_k(t,\hbar) = \sum_{l \in \mathbb{N}^d} \left(\frac{i\dot{\Lambda}_t}{\hbar} + \dot{\varrho}_t + p_{kl}(t) + r_{kl}(t,\hbar) \right) c_l(t,\hbar), \quad k \in \mathbb{N}^d.$$

The coefficients $p_{kl}(t)$ correspond to the quadratic part $p_2(t, z)$, while the coefficients $r_{kl}(t, \hbar)$ correspond to the remainder term R(t, z).

Lemma

The matrix elements $p_{kl}(t)$ corresponding with the quadratic part satisfy

$$p_{0l}(t) = 0, \quad \forall l \in \mathbb{N}^d,$$
$$p_{kl}(t) = 0, \quad if \ |k - l| > 2,$$
$$\sup_{0 \le t \le T} |p_{kl}(t)| \le C|k|.$$

Lemma

The matrix elements $r_{kl}(t,\hbar) = \hbar^{-1} \langle \varphi_k^{\hbar}[Z_t, z_t], \operatorname{Op}_{\hbar}(R(t)) \varphi_l^{\hbar}[Z_t, z_t] \rangle$ satisfy

$$\sup_{0 \le t \le T} |r_{k,k+l}(t,\hbar)| \le C\sqrt{\hbar} (1+|k|)^{N/2}, \quad |l| \le N$$

$$r_{k,k+l}(t,\hbar) = 0, \quad |l| > N.$$

Let us consider the Banach spaces:

$$\ell_{\rho}^{N}(\mathbb{N}^{d}) = \left\{ \vec{c} = (c_{k}) : \|\vec{c}\|_{\rho} := \sum_{k \in \mathbb{N}^{d}} |c_{k}| e^{\rho |k|^{N/2}} < +\infty \right\}.$$

Given the two evolution equations

(1)
$$\frac{d}{dt}\vec{c}(t) = \left(\frac{i\dot{\Lambda}_t}{\hbar} + \dot{\varrho}_t\right)\vec{c}(t) + (p_{kl}(t))\vec{c}(t),$$

(2)
$$\frac{d}{dt}\vec{c}(t) = \left(\frac{i\dot{\Lambda}_t}{\hbar} + \dot{\varrho}_t\right)\vec{c}(t) + (p_{kl}(t) + r_{kl}(t,\hbar))\vec{c}(t),$$

there exist propagators satisfying, for $0 \le s \le t \le T$ small enough,

$$U_j(t,s): \ell_{\rho}^N(\mathbb{N}^d) \to \ell_{\rho-\sigma}^N(\mathbb{N}^d), \quad j=1,2.$$

This allows us to compare the coefficients of the whole solution with those of the quadratic evolution; by Duhamel's principle:

$$U_1(t,s) - U_2(t,s) = \int_s^t U_2(t,\tau) (r_{kl}(\tau,\hbar)) U_1(\tau,s) d\tau = O(\sqrt{\hbar}).$$

Therefore, given $\vec{c}(0) := (1, 0, \ldots) \in \ell_{\rho}^{N}(\mathbb{N}^{d})$, we obtain, for $0 \leq t \leq T$,

$$c_0(t,\hbar) = e^{\frac{i}{\hbar}\Lambda_t + \varrho_t} (1 + O(\sqrt{\hbar})),$$

$$c_k(t,\hbar) = e^{\frac{i}{\hbar}\Lambda_t + \varrho_t} O\left(\sqrt{\hbar} e^{-(\rho - \sigma)|k|^{N/2}}\right), \quad k \neq 0.$$

We define our quasimode as:

$$\begin{split} \psi_{\hbar}(x) &:= \sqrt{C_{\hbar}} \int_{\mathbb{R}} \chi_{\hbar}(t) e^{-\frac{it\lambda_{\hbar}}{\hbar}} \varphi_{\hbar}(t, x) dt \\ &= \sqrt{C_{\hbar}} \sum_{k \in \mathbb{N}^d} \int_{\mathbb{R}} \chi_{\hbar}(t) e^{-\frac{it\lambda_{\hbar}}{\hbar}} c_k(t, \hbar) \varphi_k^{\hbar}[Z_t, z_t](x) dt, \end{split}$$

where $\chi_{\hbar} \in \mathcal{C}^{\infty}_{c}(\mathbb{R})$ satisfies

supp
$$\chi_{\hbar} \subset \left\{ -\hbar^{1/3} \leq t \leq \hbar^{1/3-\delta} \right\},$$

supp $\chi'_{\hbar} \subset \left\{ -\hbar^{1/3} \leq t \leq -\hbar^{1/3}(1-c) \right\} \cup \left\{ \hbar^{1/3}(\hbar^{-\delta}-c) \leq t \leq \hbar^{1/3-\delta} \right\},$
and $\lambda_{\hbar} = V(z_0) + i\beta_{\hbar}.$

We compute, for $a \in \mathcal{C}^{\infty}_{c}(\mathbb{R}^{2d})$:

$$\begin{split} \left\langle \psi_{\hbar}, \operatorname{Op}_{\hbar}(a)\psi_{\hbar} \right\rangle_{L^{2}(\mathbb{R}^{d})} \\ &= C_{\hbar} \int_{\mathbb{R}^{2}} \int_{\mathbb{R}^{2d}} \chi_{\hbar}(t)\chi_{\hbar}(t') e^{-\frac{i}{\hbar}(t\lambda_{\hbar}-t'\overline{\lambda}_{\hbar})} W_{\hbar}[\varphi_{\hbar}(t),\varphi_{\hbar}(t')](z)a(z)dzdtdt'. \end{split}$$

The cross-Wigner functions $W_{\hbar} \left[\varphi_k^{\hbar}[Z_t, z_t], \varphi_{k'}^{\hbar}[Z_{t'}, z_{t'}] \right]$ can be computed explicitely. Using a stationary-phase argument near |t - t'| = 0, and expanding by Taylor in t near t = 0, we obtain the leading term:

$$\langle \psi_{\hbar}, \operatorname{Op}_{\hbar}(a)\psi_{\hbar} \rangle_{L^{2}(\mathbb{R}^{d})} \sim \frac{C_{\hbar}\sqrt{\pi}\hbar^{1/2}}{|V(z_{0})|}a(z_{0})\int_{\mathbb{R}}\chi_{\hbar}(t)^{2}e^{\frac{1}{\hbar}\left(2t\beta_{\hbar}-\frac{c_{0}t^{3}}{3}\right)}dt,$$

where

$$c_0 = \left\langle X_V(z_0), \partial^2 A(z_0) X_V(z_0) \right\rangle > 0.$$

Leading term

Making the change $t = \hbar^{1/3} s$, we get

$$\left\langle \psi_{\hbar}, \operatorname{Op}_{\hbar}(a)\psi_{\hbar} \right\rangle_{L^{2}(\mathbb{R}^{d})} \sim \frac{C_{\hbar}\sqrt{\pi}\hbar^{5/6}}{|V(z_{0})|} a(z_{0}) \int_{\mathbb{R}} \chi_{\hbar} \left(\hbar^{1/3}s\right)^{2} e^{\frac{2s\beta_{\hbar}}{\hbar^{2/3}} - \frac{s^{3}c_{0}}{3}} ds.$$

We take $C_{\hbar} := \frac{\hbar^N |V(z_0)|}{\sqrt{\pi}\hbar^{5/6}}$ and β_{\hbar} so that the above integral converges to $a(z_0)$. We obtain

$$\left(C_N \hbar \log \frac{1}{\hbar}\right)^{2/3} \le \beta_\hbar \ll \hbar^{2/3-\epsilon}, \quad \forall \epsilon > 0.$$

Similarly, applying $\widehat{P}_{\hbar},$ integrating by parts in t, and repeating the argument, we obtain

$$\begin{split} \left\langle \psi_{\hbar}, \widehat{P}_{\hbar} \psi_{\hbar} \right\rangle_{L^{2}(\mathbb{R}^{d})} &= \lambda_{\hbar} \|\psi_{\hbar}\|^{2} + C_{\hbar} \int_{\mathbb{R}} \chi_{\hbar}'(t) \chi_{\hbar}(t) e^{\frac{1}{\hbar} \left(2t\beta_{\hbar} - \frac{c_{0}t^{3}}{3} \right)} dt \\ &= \lambda_{\hbar} \|\psi_{\hbar}\|^{2} + O(\hbar^{N}). \end{split}$$

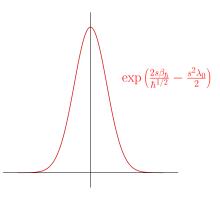
Therefore, we get a quasimode of width $O(\hbar^N)$.

What with Hörmander-bracket-condition?

Assuming that $\lambda_0 = \{A, V\}(z_0) < 0$, we obtain the leading term:

$$\int_{\mathbb{R}} \chi_{\hbar} \left(\hbar^{1/2} s \right)^2 e^{\frac{2s\beta_{\hbar}}{\hbar^{1/2}} - \frac{s^2\lambda_0}{2}} a(z_0) ds.$$

Then it is sufficient to take $\beta_{\hbar} \equiv 0$ to obtain a quasimode of with $O(\hbar^{\infty})$.



Thank you for your attention!