

# CONTACT TAUTOLOGIES

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ABSTRACT. Arnold conjecture form the conical view point.

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## CONTACT TAUTOLOGY

and the "conic" version of Arnold's conjecture from the conical view point

- I) Tautologies
- II) Conical statements
- III) Idea of proof, generating hypersurfaces
- IV) Persistence of GFQI
- V) Generating objects

### I) $T^*M$ , $CM$ , $J^1M$ .

$M$   $\mathbb{C}^\infty$  manifold       $T^*M$  its cotangent bundle

$(q, p)$  canonical coordinates       $\lambda = "pdq"$  Liouville form

Goal / Motivation: Arnold's Lagrangian intersection conjecture:

$L \hookrightarrow T^*M$  Hamiltonian isotopic to the zero section  $0_M$

$\Rightarrow \#\{L \cap 0_M\} \geqslant \text{Morse}(M)$  (with multiplicities included)

( $M$  is compact)

Motivation: Morse theory.

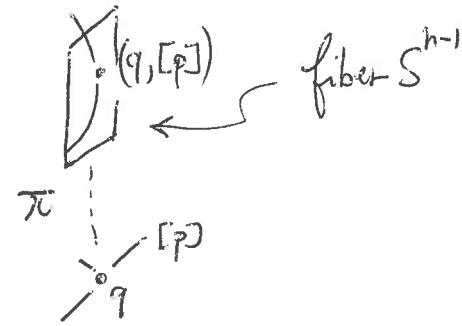
(2)

$C\mathcal{M} = \text{mfld of } \text{cooriented Contact elements}$

$(q, [p])$

$$C\mathcal{M} = \frac{T^*N \setminus \{0\}}{\mathbb{R}^{>0}}$$

$$\pi \downarrow \\ M$$



Tautological contact structure:

$$\xi_{(q,[p])} = D\pi_{(q,[p])}^{-1}([p]) \cap T_q M$$

$$\cap T_{(q,[p])}(C\mathcal{M})$$

Rem:  $C\mathcal{M} \cong T_1 M$  (using Riemannian metric)  $\cong \{ \|p\| = 1\} / CT^*M$

$$\xi = \ker \alpha \quad \alpha = pdq$$

Rem: Contact manifold := locally like  $C\mathcal{M}$   
(odd dimensional)

Local Model:  $M \cong U \subset \mathbb{R}^n \quad q = (q_1, \dots, q_n)$

choose one fixed direction:  $\frac{\partial}{\partial q_n}$

$$U \subset C\mathcal{U} \quad \mathcal{U} = \left\{ [p] \wedge \frac{\partial}{\partial q_n}, \text{ positively} \right\} \quad (p \cdot \frac{\partial}{\partial q_n} > 0)$$

$$[p] = [p_1, \dots, p_{n-1}, 1] \quad \alpha = dq_n + \sum_{i=1}^{n-1} p_i dq_i$$

this looks like....

(3)

$$J^1M = \underset{(q,p)}{T^*M \times \mathbb{R}} \cup \underset{\xi = \ker \alpha}{\alpha = du - pdq} \subset C(M \times \mathbb{R})$$

↓  
open set in...

$$\begin{array}{c} T^*M \\ \downarrow \\ CM \end{array}$$

Def: Legendrian submanifold: integral submfld of  $\xi$   
of maximal dimension.

Example:  $f: M \rightarrow \mathbb{R}$  graph of  $df$  Lagrangian  $\subset T^*M$

$$j^1f \subset J^1M$$

$$\left\{ \begin{matrix} q, p = \frac{\partial f}{\partial q}(q), u = f(q) \end{matrix} \right\}$$

Example:  $N \subset M$  submanifold  
 $\mathcal{V}N =$  spherization of its conormal bundle  
 $\mathcal{V}N = \{(q, [p]) \mid q \in N, T_q N \subset [p]\}$   
 $(p|_{T_q N} = 0)$

observe  $\dim \mathcal{V}N = n-1$  (if  $n = \dim M$ )  
whatever  $\dim N$  is.

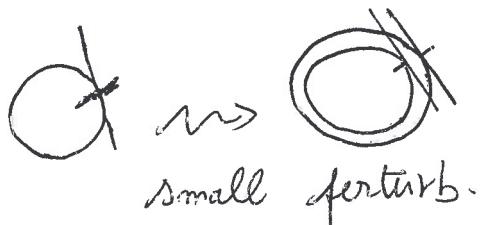
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$N = 4$  points       $\nu N = \text{fiber} = \text{sphere}$ .



$N = \text{knot} \subset \mathbb{R}^3$      $\nu N = \text{Legendrian torus in } \mathbb{R}^3 \times S^2$

$N = \text{hypersurface}$        $\nu N = \text{double cover of } N$



$\nu N = \nu^+ N \cup \nu^- N$  (if  $N$  orientable)

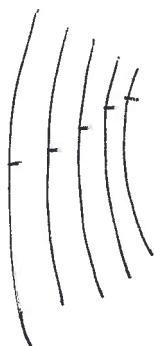
Def  $\lambda$  Front of  $L$  legendrian  $\subset CM$   
 $\pi \downarrow$   
 $\pi(L) :=$

Typical front if  $\dim M = 2$ :

Def: "Wall": assume  $M$  open,  $\phi: M \rightarrow \mathbb{R}$  w.o. critical pts

$$W_\phi = \bigcup_{t \in \mathbb{R}} \nu^+(\phi^{-1}(t)) = \{\phi_q[\alpha\phi(q)]\}, q \in M\}$$

$$\cap \\ CM$$



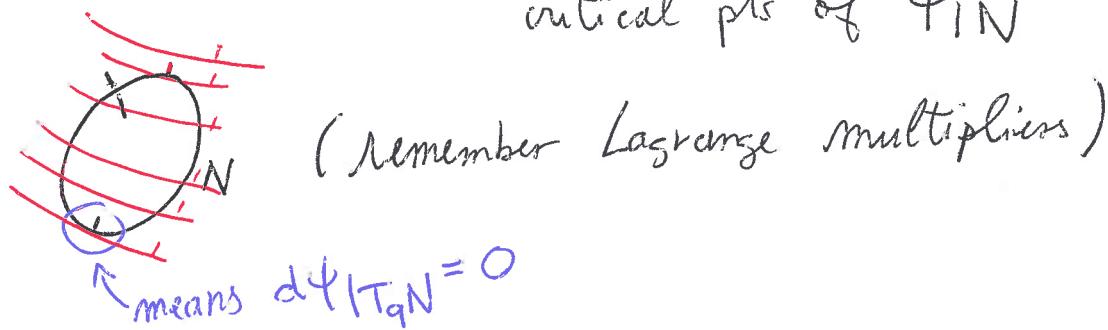
(5)

## II Conical formulation version of Arnold's intersection conjecture.

Morse theory:  $N \hookrightarrow M \xrightarrow{\psi} \mathbb{R}$

$$\#\{vN \cap W_+\} \geq \text{Morse}(N) \geq \dots$$

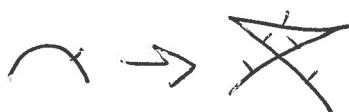
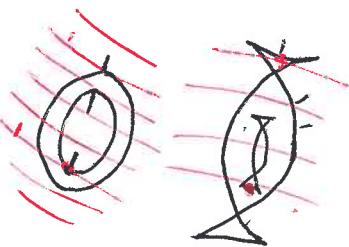
$\uparrow$  in one-one correspondance with  
critical pts of  $\psi|_N$



THM 1 Let,  $t \in [0,1]$  one parameter family of  
Legendrian embeddings s.t.  $L_0 = vN$  for some cpt  $N$ .

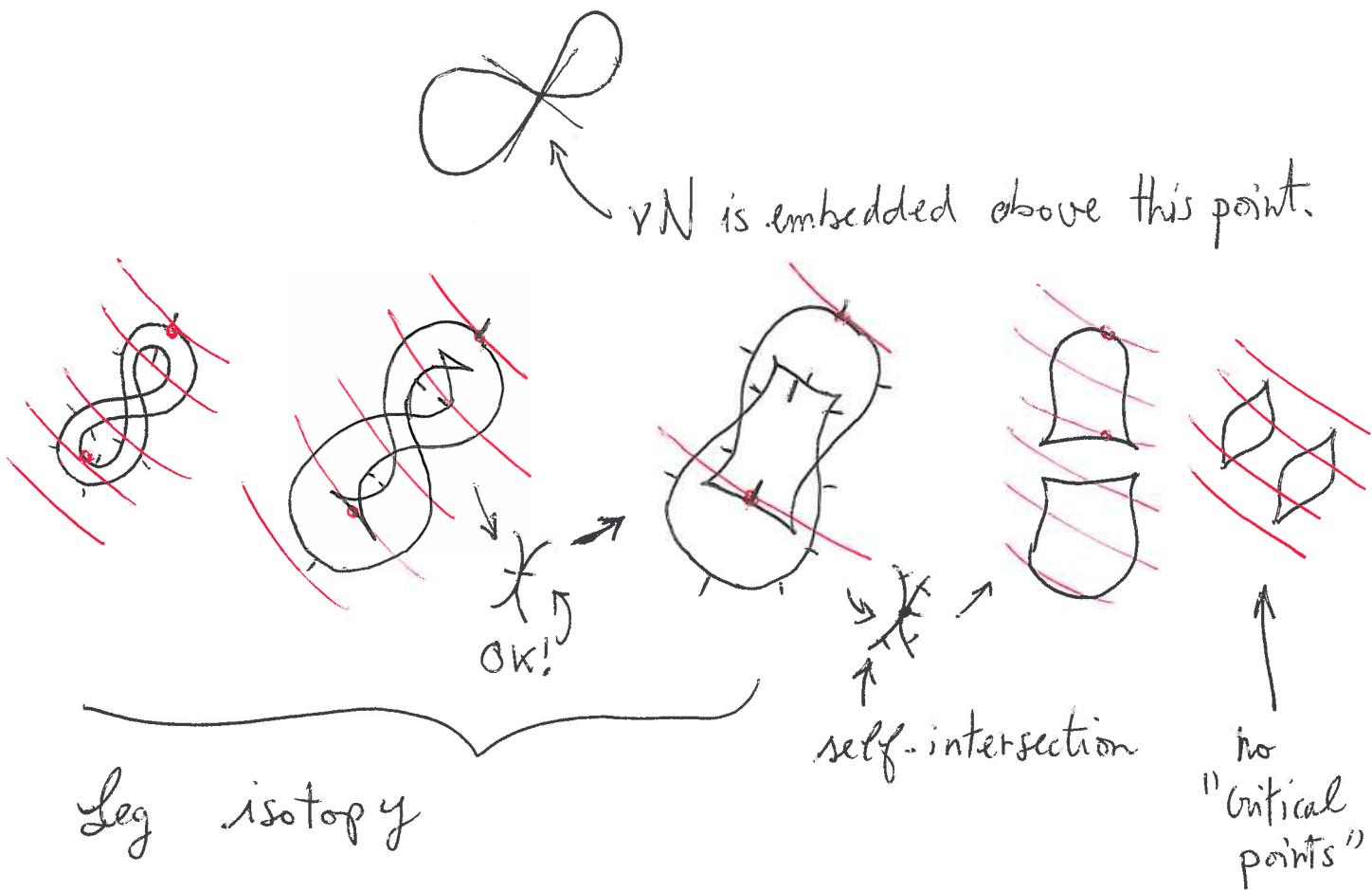
then:  $\#\{L_t \cap W_+\} \geq \text{stab Morse}(N) \geq \dots > 0$

Example:  $N = \text{circle}$

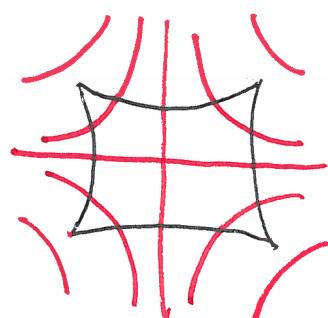
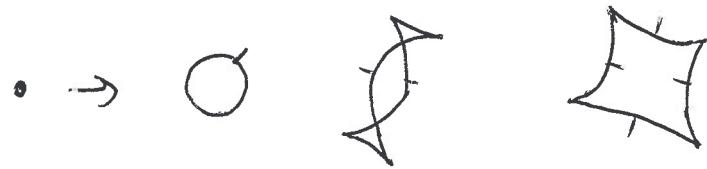


(6)

Example: Remark that  $\nu N$  is well defined (and generically embedded) when  $N$  is an immersed submanifold.



Example:  $N = \text{one point}$

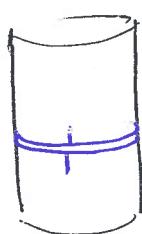


$\Rightarrow$  the hypothesis the  $\nu$  has no critical pt is needed!

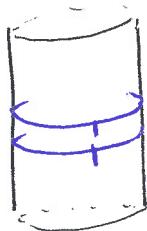
(8)

Rmk:  $N = S^1$      $\nu N \subset S^1 \times S^1 \times \mathbb{R}$  is a 2-comp. link  
 $\nu^+ N \cup \nu^- N$

this argument shows that this link is not Legendrian equivalent to  $\nu^- N \cup \nu_\varepsilon^- N$



≠



$\uparrow$   
small shift  
of  $\nu^- N$

which has no intersection  
with  $W_4$

but those 2 links have the same "classical invariants"  
(i.e. same framed knot type and same Legendrian  
immersion class)

THM 2 Let,  $t \in [0, 1]$  1-param family of leg embeddings  
 in  $J^1 N$  st  $L_0 = \{u=0, p=0\} = \mathcal{O}_N$   
 cpt.

then  $\# \{L_t \cap \{p=0\}\} \geq \text{staborse}(M) \geq \dots > 0$

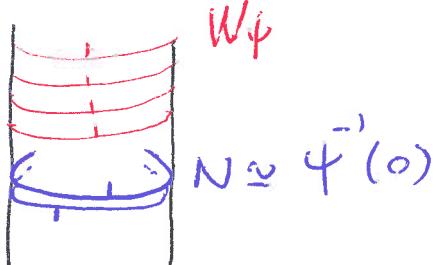
Rmk: . this implies a weak form of Arnold's conjecture in  $T^* M$

because an Hamiltonian isotopy of  $\mathcal{O}_M$  can be lifted in  $J^1$

. this is slightly more general: Self-intersections  
 may appear in the Lagrangian projection of  $L_t$

THM 1  $\Rightarrow$  THM 2:  $M = N \times \mathbb{R}$   $J^1 N \subset CM$

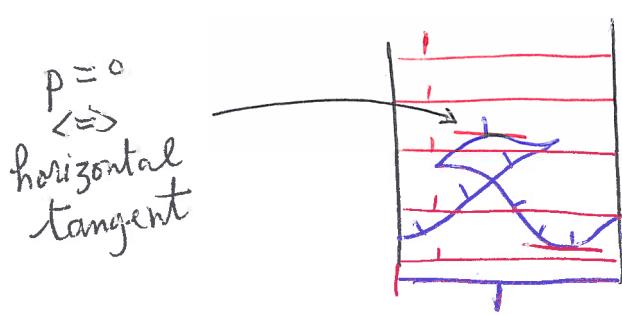
$$\begin{aligned} \phi: M &\rightarrow \mathbb{R} \\ (q, t) &\mapsto t \end{aligned}$$



$$W_\phi = \{p=0\}$$

$$VN = V^+ N \cup V^- N$$

$$L_0 = V^+ N$$



$L_t \cup V^- N =$  deformation of  $VN$   
 through leg. embeddings

$$V^- N \cap W_\phi = \emptyset$$

### III Proof of THM 1

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We will use the so called "Chekanov thm", which immediately implies THM 2 as well (Chekanov's proof of it)

The only interest in explaining things in this way is to emphasize the "conical version".

We will see below later that, combined with the notion of "Generating hypersurface", this conical version leads to some interesting applications.

~~THM 3 ("Chekanov's thm") [See [F] for more references and historical remarks]~~

Def (FGQI) Consider  $F: M \times \mathbb{R}^{2k} \rightarrow \mathbb{R}$   
 $(q, w) \mapsto F(q, w)$

s.t.  $F(q, w) = Q(w)$  Non-degenerate quadratic form  
of signature  $(k, k)$   
outside of a compact set.

the associated Legendrian submanifold  $L_F \subset J^1 M$  is

$$L_F = \left\{ (q, p, w) \mid \exists w, \frac{\partial F}{\partial w}(q, w) = 0, p = \frac{\partial F}{\partial q}(q, w) \right\}$$



We assume it is a  $\neq$  equation.

- the front of  $L_F$  ("forgetting  $w$ ") is the bifurcation diagram of the critical values of a family of functions on  $\mathbb{R}^{2k}$  with a fixed behavior at  $\infty$



- $L_F$  is obtained by contact reduction from  $J^1 F \subset J^1(M \times \mathbb{R}^{2k})$   
if  $(q, w, p, r, u)$  are canonical coordinates on  $J^1(M \times \mathbb{R}^{2k})$ :  $x = du - pdq - rdw$   
let  $\mathcal{C} = \{r=0\}$  (of codim 2k). There is a natural projection  $p: \mathcal{C} \rightarrow J^1 M$  ("forgetting  $w$ "), and  $L_F = p_*(J^1 F \cap \mathcal{C})$   
one can prove that, generically,  $L_F$  is an embedded Legendrian submanifold.

### THM 3 "Chekanov's thm"

$L_t, t \in [0,1]$  1-param family of Legendrian embeddings in  $\mathbb{J}^1 M$  with compact support. Assume  $\exists F_0: M \times \mathbb{R}^{2k} \rightarrow \mathbb{R}$  FGQ $\hat{i}$

s.t.  $L_0 = L_{F_0}$ .

Then,  $\exists F: M \times [0,1] \times \mathbb{R}^{2k} \rightarrow \mathbb{R}$  1-param family of FGQ $\hat{i}$  ( $k' \geq k$ ) s.t.  $\forall t \in [0,1], L_t = L_{F_t}$ .

[the idea of the proof is given in the next section]

THM 3  $\Rightarrow$  THM 1 (change of notations:  $L := L_1$   $L_0 := L_0$ )

recall that we have  $N \subset M$   $\psi: N \rightarrow \mathbb{R}$   $W\psi \subset CM$

$L_t \subset CM$  s.t.  $L_1 = \nu N$ . One can assume that

there exists  $\phi_t, t \in [0,1]$  1-param family of contactomorphisms s.t.  $L_t = \phi(L_1)$  ("isotopy extension Lemma", easy to prove with the notion of contact hamiltonian).

(we want  $\phi_t$  brings  $L_1$  to  $L_1 = \nu N$ : not the same notations as in the formulation of THM 1)

We want to estimate  $\#\{L_1 \cap W\psi\}$ . This is the same as

$$\#\{L_1 = \nu N = \phi_1(L_1) \cap \phi_1(W\psi)\}$$

One can lift  $\phi_t$  as ~~Hamiltonian isotopy~~ in  $\overset{*}{T} M(\partial M)$

$$\begin{array}{ccc}
 \text{graph} & \tilde{\Phi}_t : \Gamma_\psi \subset \dot{T}^*M & \xrightarrow{\sim} \dot{T}^*M \\
 \text{of } d\psi & \downarrow & \downarrow \\
 W_\psi \subset CM & \xrightarrow{\Phi_t} CM
 \end{array}
 \quad (12)$$

$\tilde{\Phi}_t$  has not a compact support (conical!)  
 but  $\tilde{L}_t$  is a compactly supported deformation of  $L_\psi$ .

$(\tilde{\Phi}_t - \Gamma_\psi)_{t \in [0,1]}$  is a compactly supported  
 hamiltonian deformation of the 0-section of  $TM$ .

By THM 3 (Sikorav version, for the symplectic case)

$\exists F: M \times \mathbb{R}^{2k} \rightarrow \mathbb{R}$ ,  $F \in C^\infty$  st.

$$\begin{aligned}
 i = \tilde{\Phi}_1(\Gamma_\psi) &= " \Gamma_\psi + L_F " \\
 &= \{(q, p) / \exists w \frac{\partial F}{\partial w}(q, w) = 0, p = \frac{\partial \psi}{\partial q} + \frac{\partial F}{\partial q}(q, w)\}
 \end{aligned}$$

$$\begin{array}{ccc}
 \phi_1(W_\psi) \cap v^*N & \longleftrightarrow & \tilde{\Phi}_1(\Gamma_\psi) \cap v^*N \text{ in } T^*M \\
 & & \downarrow \\
 & & \text{critical points of } \psi + F|_{N \times \mathbb{R}^{2k}}
 \end{array}$$

Using the same trick one can observe: (13)

Proposition:  $M$  open,  $\psi: \mathbb{N} \rightarrow \mathbb{R}$  non critical

$N = \psi^{-1}(0)$   $L_t, t \in [a_1]$  1-param Legendrians

s.t.  $L_0 = \nu^+ N$ . then  $\exists F: M \times \mathbb{R}^{2k} \rightarrow \mathbb{Q}$

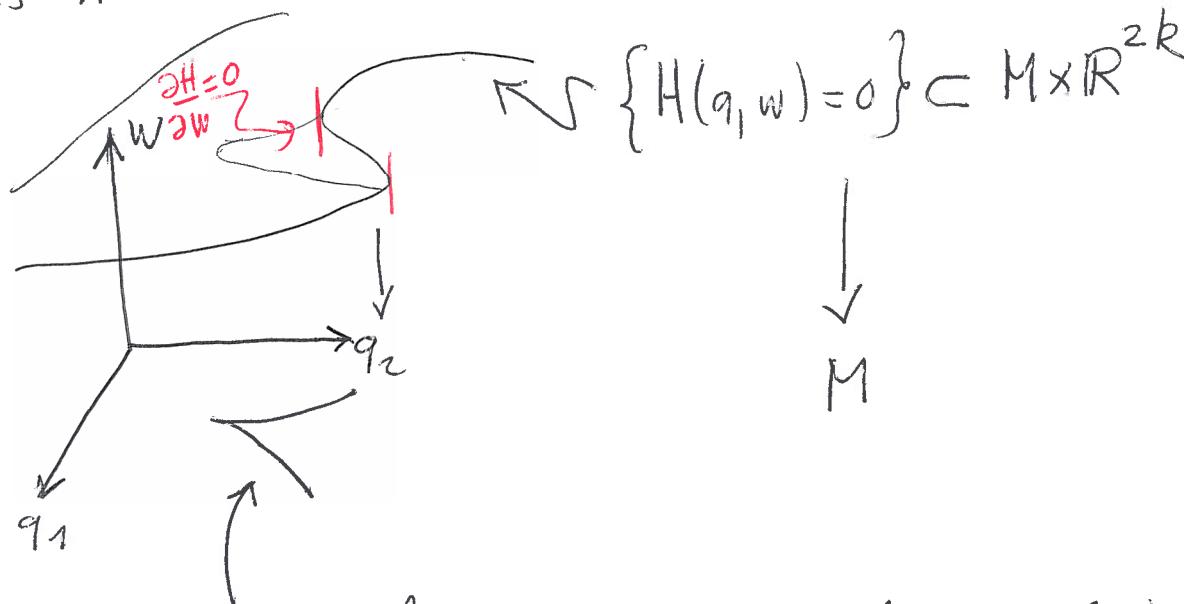
s.t.  $L_1 = \left\{ (q, [p]) \mid \exists w, \psi(q) + F(q, w) = 0, \frac{\partial F}{\partial w}(q, w) = 0 \right.$   

$$p = \frac{\partial F}{\partial q}(q, w) + \frac{\partial \psi}{\partial q}(q) \left. \right\}$$

$L_1 = \left\{ (q, [p]) \mid \exists w, H(q, w) = 0, \frac{\partial H}{\partial w}(q, w) = 0, p = \frac{\partial H}{\partial q}(q, w) \right\}$

$$H(q, w) = \psi(q) + F(q, w)$$

this means



$\pi(L_1) = \text{front of } L_1 = \text{"contour" of the hypersurface } \{H=0\}$

IV) Persistence of GFOI (Proof of THM 3 : geometric viewpoint) (14)

for simplicity:  $M = \mathbb{R}$   $J^1 M = \mathbb{R}^3$   
 $(q, p, u)$

Stabilization:  $f: M \rightarrow \mathbb{R}$   $j^1 f \subset J^1 M$  its "one-graph"

Consider  $F(q, w_1, w_2) = f(w_1) + w_2 (q - w_1)$

- it is almost a FGOI (one can fix this easily)

- $L_F = j^1 f$ :  $\frac{\partial F}{\partial w_2} = 0 \Leftrightarrow q = w_1$

$$\frac{\partial F}{\partial w_1} = 0 \Leftrightarrow w_2 = \frac{\partial f}{\partial q}(w_1) = \frac{\partial f}{\partial q}(q)$$

$$p = \frac{\partial F}{\partial q}(q, w_1, w_2) = \frac{\partial f}{\partial q}(q)$$

$$u = F(q, w_1, w_2) = f(w_1) = f(q)$$

Consider now a contactomorphism  $\bar{\Phi}: J^1 N \rightarrow J^1 M$   
 with compact support.

Claim: if  $\bar{\Phi}$  is close enough to the identity<sup>(\*)</sup>, then,

for any affine function  $g_{a,b}: q \mapsto aq + b$ ,  $\bar{\Phi}(j^1 g_{a,b})$

is still a graph,  $\bar{\Phi}(j^1 g_{a,b}) = j^1 G_{a,b}(q)$

Proof: there is a "compact choice" of affine functions on  
 which  $\bar{\Phi}$  acts non-trivially.

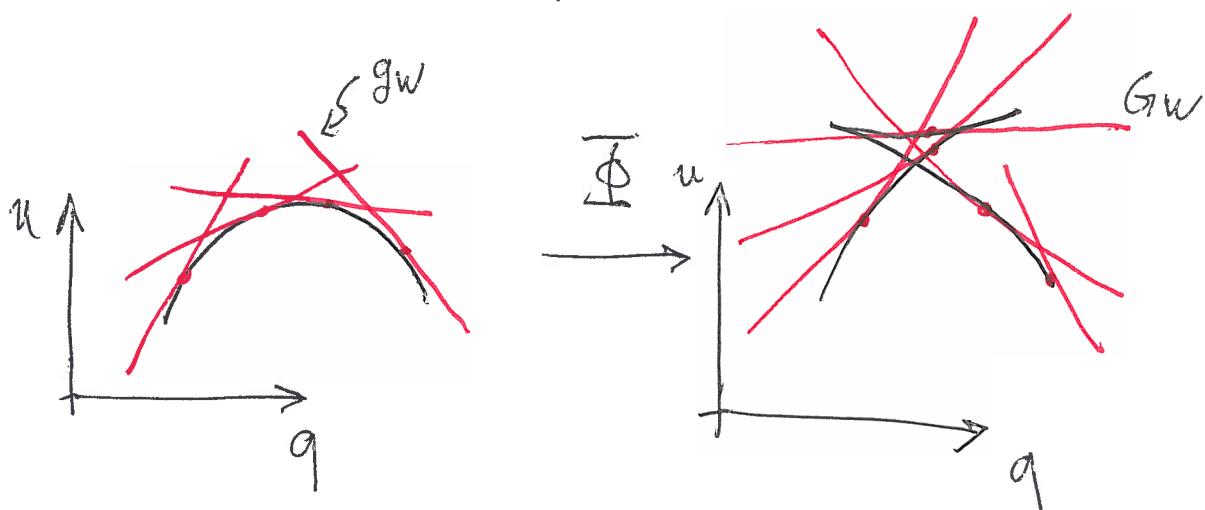
(\*) One can assume this:  
 Cut  $\bar{\Phi}$  into small slices  
 $\bar{\Phi} = \bar{\Phi}_1 \circ \bar{\Phi}_2 \circ \dots \circ \bar{\Phi}_K$

## Envelopes:

the formula  $L_F = \{ u = F(q, w), P = \frac{\partial F}{\partial q}(q, w) / \exists w \frac{\partial F}{\partial w}(q, w) = 0 \}$   
means that the front of  $L_F = \{ (q, u), u = F(q, w), \frac{\partial F}{\partial w}(q, w) = 0 \}$   
is the envelope of the family, parametrized by  $w$ ,  
of the smooth graphs of the functions:  
 $q \mapsto F(q, w)$

for example, the graph of  $f$  is the envelope of the  
graphs of  $g_w: q \mapsto f(w) + w_1/q - w_2$

But a contact transformation "preserves the envelopes"!



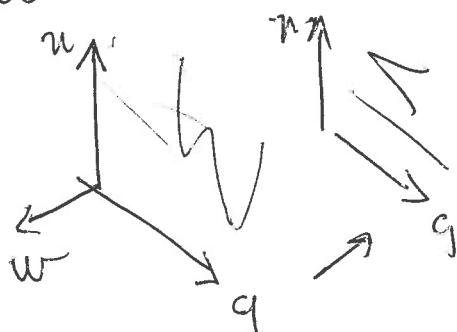
⚠ this is a schematic picture:  $w$  is 2-dimensional! Furthermore it is  
not true that a graph is the envelope of its tangents. (~~✓~~)

One can translate this idea into the language of differential  
calculus and prove that

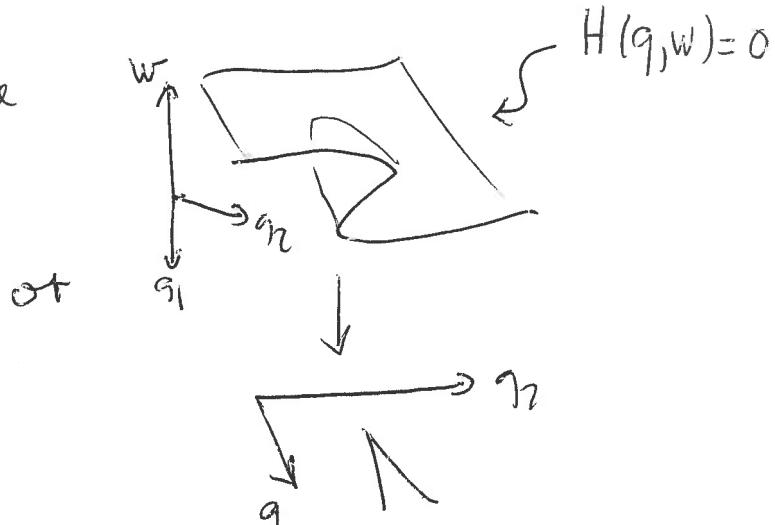
$$\Phi(j^1 f) = L_G$$

## II) Generating things

let's come back to this picture

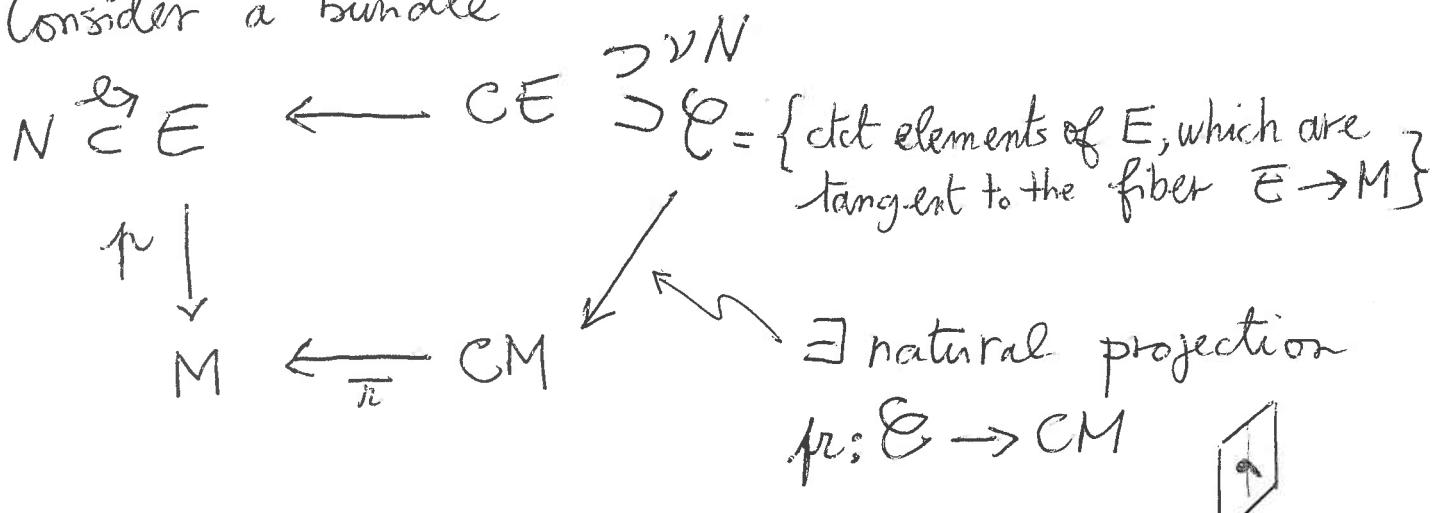


Generating Functions



generating hyper-surfaces.

Consider a bundle



Prop  $L_N = p(\nu N \cap \mathcal{C})$  is generically an embedded submanifold in  $CM$

Legendrian

Examples: Boy, Klein, etc...

