

# Sheaf Theory

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The goals of this talk are

- to define a generalization denoted by  $R\Gamma(\mathcal{F})$  of de Rham cohomology;
- to explain the notation  $R\Gamma(\mathcal{F})$  (here  $\mathcal{F}$  is a sheaf and  $R\Gamma$  is a derived functor).

## 1 Presheaves and sheaves

### 1.1 Definitions and examples

Let  $X$  be a topological space.

**Definition 1.1.** A *presheaf of  $k$ -modules*  $\mathcal{F}$  on  $X$  is defined by the following data:

- a  $k$ -module  $\mathcal{F}(U)$  for each open set  $U$  of  $X$ ;
- a map  $r_{UV} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$  for each pair  $V \subset U$  of open subsets such that
  - $r_{WV} \circ r_{VU} = r_{WU}$  for all open subsets  $W \subset V \subset U$ ;
  - $r_{UU} = \text{Id}$  for all open subsets  $U$ .

Therefore, a presheaf is a functor from the opposite category of open sets to the category of  $k$ -modules. If  $\mathcal{F}$  is a presheaf,  $\mathcal{F}(U)$  is called the *set of sections* of  $U$  and  $r_{VU}$  the *restriction* from  $U$  to  $V$ .

**Definition 1.2.** A presheaf  $\mathcal{F}$  is a sheaf if

- for any family  $(U_i)_{i \in I}$  of open subsets of  $X$
- for any family of elements  $s_i \in \mathcal{F}(U_i)$  such that

$$r_{U_i \cap U_j, U_i}(s_i) = r_{U_i \cap U_j, U_j}(s_j) \text{ for all } i, j \in I$$

there exists a unique  $s \in \mathcal{F}(U)$  where  $U = \cup_{i \in I} U_i$  such that  $r_{U_i, U}(s) = s_i$  for all  $i \in I$ .

This means that we can extend a locally defined section.

**Definition 1.3.** A *morphism of presheaves*  $f : \mathcal{F} \rightarrow \mathcal{G}$  is a natural transformation between the functors  $\mathcal{F}$  and  $\mathcal{G}$ : for each open set  $U$ , there exists a morphism  $f(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  such that the following diagram is commutative for  $V \subset U$ .

$$\begin{array}{ccc}
\mathcal{F}(U) & \xrightarrow{r_{VU}^{\mathcal{F}}} & \mathcal{F}(V) \\
f(U) \downarrow & & \downarrow f(V) \\
\mathcal{G}(U) & \xrightarrow{r_{VU}^{\mathcal{G}}} & \mathcal{G}(V)
\end{array}$$

A *morphism of sheaves* is a morphism of the associated presheaves.

We denote by  $k\text{-Presheaf}(X)$  and  $k\text{-Sheaf}(X)$  the categories of presheaves and sheaves of  $k$ -modules.

**Example 1.4.**

1. For any open set  $U$ , let  $\mathcal{C}(U)$  be the set of continuous functions  $f : U \rightarrow \mathbb{R}$ . For  $V \subset U$ , let  $r_{VU}$  be the restriction of function in the ordinary sense. Then  $\mathcal{C}$  is a sheaf of  $\mathbb{R}$ -modules.
2. The sheaf of holomorphic functions on  $\mathbb{C}$ , the sheaf of  $k$ -forms on a manifold...
3. Let  $\mathcal{F}(U)$  be the set of constant functions  $f : U \rightarrow k$  and  $r_{VU}$  be the restriction of function in the ordinary sense. Then  $\mathcal{F}$  is a presheaf but not a sheaf.
4. Let  $\mathcal{G}(U)$  be the set of *locally constant* functions  $f : U \rightarrow k$  and  $r_{VU}$  be the restriction of function in the ordinary sense. Then  $\mathcal{G}$  is a sheaf.
5. Let  $A$  be a closed set in  $X$ , then  $k_A$  is the sheaf where  $k_A(U)$  is the set of locally constant function  $A \cap U \rightarrow k$ . This sheaf is called the *constant sheaf over  $A$* .
6. Let  $\Omega$  be an open set in  $X$ , then  $k_\Omega$  is the sheaf where  $k_\Omega(U)$  is the set of locally constant function  $\Omega \cap U \rightarrow k$  with closed support. This sheaf is called the *constant sheaf over  $\Omega$* .

## 1.2 Localization and sheafification

In this section we define the germ of a presheaf at  $x \in X$ .

### 1.2.1 Stalks

Let  $x \in X$ . The set of open neighborhoods of  $x$  ordered by inclusion is a directed set. Let  $\mathcal{F}$  be a presheaf on  $X$ . It induces a directed system of  $k$ -modules on this directed set.

**Definition 1.5.** The *stalk* of  $\mathcal{F}$  at  $x$  is defined by

$$\mathcal{F}_x = \varinjlim \mathcal{F}(U)$$

By definition of the direct limit, for any open set  $U$  there exists a map  $r_U : \mathcal{F}(U) \rightarrow \mathcal{F}_x$  such that the following diagram is commutative.

$$\begin{array}{ccc}
\mathcal{F}(U) & \xrightarrow{r_{VU}} & \mathcal{F}(V) \\
& \searrow r_U & \swarrow r_V \\
& & \mathcal{F}_x
\end{array}$$

A morphism a presheaves  $f : \mathcal{F} \rightarrow \mathcal{G}$  induces a morphism of directed systems and therefore a map

$$f_x : \mathcal{F}_x \rightarrow \mathcal{G}_x.$$

For each  $x \in X$ , we obtain a functor from the category of presheaves to the category of  $k$ -modules.

**Example 1.6.**

1. If  $\mathcal{C}$  is the sheaf of continuous functions on  $X$ , then  $\mathcal{C}_x$  is the set of germs of continuous functions at  $x$ .
2. Assume  $X$  is locally connected. Let  $A$  be a closed subset and  $k_A$  be the constant sheaf over  $A$ . Then
  - if  $x \in A$ ,  $(k_A)_x = k$  and the map  $k_A(U) \rightarrow (k_A)_x$  is the evaluation  $f \mapsto f(x)$
  - if  $x \notin A$ ,  $(k_A)_x = \{0\}$ .

Similarly, if  $\Omega$  is an open subset and  $k_\Omega$  the constant sheaf over  $\Omega$ , then

- if  $x \in \Omega$ ,  $(k_\Omega)_x = k$
- if  $x \notin \Omega$ ,  $(k_\Omega)_x = \{0\}$ .

Some properties of a morphism of sheaves can be read at the stalk level.

**Proposition 1.7.** *Let  $\mathcal{F}$  and  $\mathcal{G}$  be two sheaves on  $X$ .*

- *If  $f, g : \mathcal{F} \rightarrow \mathcal{G}$  are two morphisms such that  $f_x = g_x$  for all  $x \in X$  then  $f = g$ .*
- *If  $f : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism such that  $f_x$  is an isomorphism for all  $x \in X$  then  $f$  is an isomorphism.*

**1.2.2 Sheafification**

In this section we associate a sheaf  $\tilde{\mathcal{F}}$  to a presheaf  $\mathcal{F}$ .

**Definition 1.8.** Let  $\mathcal{F}$  be a presheaf. The *sheafification*  $\tilde{\mathcal{F}}$  of  $\mathcal{F}$  is the sheaf characterized by the following property: there exists a morphism  $i : \mathcal{F} \rightarrow \tilde{\mathcal{F}}$  such that

- $i_x : \mathcal{F}_x \rightarrow \tilde{\mathcal{F}}_x$  is an isomorphism for all  $x \in X$ ;
- for any morphism  $f : \mathcal{F} \rightarrow \mathcal{G}$  where  $\mathcal{G}$  is a sheaf there exists a unique morphism  $\tilde{f} : \tilde{\mathcal{F}} \rightarrow \mathcal{G}$  such that the following diagram is commutative.

$$\begin{array}{ccc}
\mathcal{F} & \xrightarrow{i} & \tilde{\mathcal{F}} \\
& \searrow f & \downarrow \tilde{f} \\
& & \mathcal{G}
\end{array}$$

The sheafification of  $\mathcal{F}$  is constructed as follows. Let  $U$  be an open subset. A section  $s$  of  $\tilde{\mathcal{F}}(U)$  is an element of  $\prod_{z \in U} \mathcal{F}_z$  satisfying the following condition: for all  $x \in U$  there exist an open subset  $W \subset U$  and a section  $t \in \mathcal{F}(W)$  such that  $p_w(s) = r_W^w(t) \in \mathcal{F}_w$  for all  $w \in W$ .

$$\begin{array}{ccc}
\prod_{z \in U} \mathcal{F}_z & & \mathcal{F}(W) \\
& \searrow p_w & \swarrow r_W^w \\
& & \mathcal{F}_w
\end{array}$$

Here  $p_w : \prod_{z \in U} \mathcal{F}_z \rightarrow \mathcal{F}_w$  is the projection and  $r_W^w : \mathcal{F}(W) \rightarrow \mathcal{F}_w$  is defined in the previous section. The restriction maps are given by projections.

**Example 1.9.** If  $\mathcal{F}$  is the presheaf of constant functions then  $\tilde{\mathcal{F}}$  is the sheaf of locally constant functions.

### 1.3 Operation on sheaves

Let  $f : X \rightarrow Y$  be a continuous map.

**Definition 1.10.** Let  $\mathcal{F}$  be a sheaf on  $X$ , then  $f_*\mathcal{F}$  is the sheaf on  $Y$  given by

- $f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$ ;
- $r_{VU}^{f_*\mathcal{F}} = r_{f^{-1}(V)f^{-1}(U)}^{\mathcal{F}}$  if  $V \subset U$ .

**Definition 1.11.** If  $\mathcal{G}$  is a sheaf on  $Y$ , the sheaf  $f^{-1}\mathcal{G}$  on  $X$  is the sheafification of the presheaf  $Pf^{-1}\mathcal{G}$  defined by  $Pf^{-1}\mathcal{G}(U) = \lim_{V \supset f(U)} \mathcal{G}(V)$ .

**Example 1.12.** If  $A \subset X$  is a closed subset and  $i : A \rightarrow X$  is the inclusion then  $i_*k = k_A$  and  $i^{-1}k_A = k$  (here  $k$  is the constant sheaf on  $A$ ).

**Definition 1.13.** If  $\mathcal{F}$  is a sheaf on  $X$  then  $f_!\mathcal{F}$  is the sheaf on  $Y$  defined by

$$f_!\mathcal{F}(U) = \{s \in \mathcal{F}(f^{-1}(U)), f : \text{supp}(s) \rightarrow U \text{ is proper}\}.$$

**Example 1.14.** If  $\Omega \subset X$  is an open subset and  $i : \Omega \rightarrow X$  is the inclusion then  $i_!k = k_\Omega$ .

## 2 Čech cohomology

In this section, we define the cohomology of sheaves geometrically. Let  $\mathcal{U} = (U_i)_{i \in I}$  be an open cover of  $X$  and  $\mathcal{F}$  be a sheaf. The 0-cochains are

functions which assign to each open set  $U_i$  an element of  $\mathcal{F}(U_i)$ . More generally a  $q$ -cochain  $s \in C^q(\mathcal{U}, \mathcal{F})$  is a function which assign to any  $q + 1$ -tuple  $(U_{i_0}, \dots, U_{i_q})$  an element  $s(i_0, \dots, i_q)$  in  $\mathcal{F}(U_{i_0} \cap \dots \cap U_{i_q})$  such that  $s(i_{\sigma(0)}, \dots, i_{\sigma(q)}) = \varepsilon(\sigma)s(i_0, \dots, i_q)$  for any permutation  $\sigma$ .

We define a coboundary operator  $\delta : C^q(\mathcal{U}, \mathcal{F}) \rightarrow C^{q+1}(\mathcal{U}, \mathcal{F})$  by

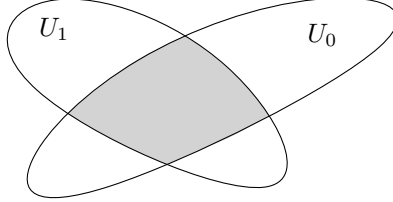
$$(\delta s)(i_0, \dots, i_{q+1}) = \sum_{j=0}^{q+1} (-1)^j s(i_0, \dots, \hat{i}_j, \dots, i_{q+1})|_{U_{i_0} \cap \dots \cap U_{i_{q+1}}}.$$

Here  $r_{VU}(s)$  is denoted by  $s|_V$  if  $s \in \mathcal{F}(U)$  and  $V \subset U$ . Note that

$$s(i_0, \dots, \hat{i}_j, \dots, i_{q+1})|_{U_{i_0} \cap \dots \cap U_{i_{q+1}}}$$

is in  $\mathcal{F}(U_{i_0} \cap \dots \cap U_{i_{q+1}})$ .

**Example 2.1.** If  $q = 1$ , we obtain  $(\delta s)(0, 1) = s(1)|_{U_0 \cap U_1} - s(0)|_{U_0 \cap U_1}$ .



**Proposition 2.2.**  $\delta \circ \delta = 0$

The cohomology  $H^*(\mathcal{U}, \mathcal{F})$  of the complex  $(C(\mathcal{U}, \mathcal{F}), \delta)$  is called the Čech cohomology of the cover  $\mathcal{U}$  with values in  $\mathcal{F}$ .

If  $\mathcal{U}'$  is a refinement of  $\mathcal{U}$ , there exists a map

$$\rho : H^p(\mathcal{U}, \mathcal{F}) \rightarrow H^p(\mathcal{U}', \mathcal{F}).$$

The map  $\rho$  is constructed as follows : pick a map  $\varphi : I' \rightarrow I$  such that  $U_{\varphi(i)} \subset U_i$  for all  $i \in I'$  and let

$$\tilde{\rho}(s)(i_0, \dots, i_q) = s(\varphi(i_0), \dots, \varphi(i_q))|_{U_{i_0} \cap \dots \cap U_{i_q}}.$$

The map  $\tilde{\rho}$  is a chain map and induces a map  $\rho$  in cohomology.

We define the  $p$ -th Čech cohomology group  $\check{H}^p(X, \mathcal{F})$  (or  $R\Gamma^p(X, \mathcal{F})$ ) of  $\mathcal{F}$  as the direct limit of the  $H^p(\mathcal{U}, \mathcal{F})$ . Computing the direct limit is more or less impossible but there exist special covers whose Čech cohomology computes the Čech cohomology  $\check{H}(X, \mathcal{F})$ .

**Definition 2.3.** A cover is *acyclic* if  $\check{H}^p(U_{i_0} \cap \dots \cap U_{i_q}, \mathcal{F}) = \{0\}$  for all  $p > 0$  and distinct indices  $i_0, \dots, i_q$ .

**Theorem 2.4** (Leray). *If the cover  $\mathcal{U}$  is acyclic for  $\mathcal{F}$  then*

$$\check{H}(\mathcal{F}) = H^*(\mathcal{U}, \mathcal{F})$$

The Čech cohomology generalizes de Rham cohomology.

**Proposition 2.5.** *Let  $\mathbb{R}_M$  be the constant sheaf on a manifold  $M$ . Then the Čech cohomology of  $M$  with values in  $\mathbb{R}_M$  is isomorphic to the de Rham cohomology of  $M$ .*

**Remark 2.6.** To compute Čech cohomology for the constant sheaf, one can use good covers (a good cover is a cover such that  $U_{i_0} \cap \dots \cap U_{i_q}$  is contractible). Good covers are acyclic for the constant sheaf.

### 3 Injective resolutions and cohomology of sheaves

The goal of this section is to present the cohomology of sheaves in a more algebraic and general setting.

#### 3.1 The category of sheaves

**Proposition 3.1.** *The categories  $k\text{-Presheaf}(X)$  and  $k\text{-Sheaf}(X)$  are abelian.*

**Proposition 3.2.** *The sequence  $\mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H}$  is exact if and only if the sequences  $\mathcal{F}_x \rightarrow \mathcal{G}_x \rightarrow \mathcal{H}_x$  are exact for all  $x \in X$ .*

**Definition 3.3.**  $\Gamma_X$  is the functor from the category  $k\text{-Presheaf}(X)$  to the category of  $k$ -modules given by  $\Gamma_X(\mathcal{F}) = \mathcal{F}(X)$ .

**Proposition 3.4.** *The functor  $\Gamma_X$  is left exact.*

#### 3.2 Injective objects and resolutions

Let  $\mathcal{C}$  be a category.

**Definition 3.5.** A map  $f \in \text{Mor}(B, C)$  is a *monomorphism* if for any  $g_1, g_2 \in \text{Mor}(A, B)$ ,  $f \circ g_1 = f \circ g_2$  implies  $g_1 = g_2$ .

**Definition 3.6.** An object  $I$  of  $\mathcal{C}$  is *injective* if for any map  $h \in \text{Mor}(A, I)$  and any monomorphism  $f \in \text{Mor}(A, B)$ , there exists  $g \in \text{Mor}(B, C)$  such that the following diagram is commutative.

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ & \searrow h & \downarrow g \\ & & I \end{array}$$

**Definition 3.7.** A category has *enough injectives* if any object has a monomorphism into an injective object.

**Theorem 3.8.** *The category  $k\text{-Sheaf}(X)$  has enough injectives.*

**Proposition 3.9.** *If  $\mathcal{C}$  has enough injectives and  $B$  is an object in  $\mathcal{C}$ , there exists an exact sequence*

$$0 \rightarrow B \rightarrow J_0 \rightarrow J_1 \rightarrow \dots$$

where the  $J_k$  are injectives. This exact sequence is called an *injective resolution* of  $B$ .

**Definition 3.10.** Let  $\mathcal{F}$  be a sheaf and let

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{J}_0 \rightarrow \mathcal{J}_1 \rightarrow \dots$$

be an injective resolution of  $\mathcal{F}$ . Then the *cohomology of the sheaf  $\mathcal{F}$* ,  $R\Gamma(X, \mathcal{F})$ , is the cohomology of the complex

$$0 \rightarrow \mathcal{J}_0(X) \rightarrow \mathcal{J}_1(X) \rightarrow \dots$$

**Remark 3.11.**  $R\Gamma^0(X, \mathcal{F}) = \mathcal{F}(X)$

More generally we have the following definition.

**Definition 3.12.** Let  $\mathcal{C}$  be a category with enough injectives and let  $F$  be a left exact functor. If  $A$  is an object in  $\mathcal{C}$ , then  $RF^j(A)$  is the  $j$ -th cohomology group of the complex

$$0 \rightarrow F(J_0) \rightarrow F(J_1) \rightarrow \dots$$

where

$$0 \rightarrow A \rightarrow J_0 \rightarrow J_1 \rightarrow \dots$$

is an injective resolution of  $A$ .

**Remark 3.13.** For  $\mathcal{C} = k - \text{Sheaf}(X)$  and  $F = \Gamma_X$  we obtain the cohomology of sheaves.

### 3.3 Acyclic resolutions and examples

Injective elements are not so easy to find. To compute cohomology, we replace injective resolutions with acyclic resolutions.

#### 3.3.1 Acyclic sheaves

**Definition 3.14.** Let  $\mathcal{C}$  be a category with enough injectives and let  $F$  be a left exact functor. An object  $A \in \mathcal{C}$  is *F-acyclic* if  $RF^j(A) = \{0\}$  for all  $j > 0$ .

**Theorem 3.15.** Let  $\mathcal{C}$  be a category with enough injectives and let  $F$  be a left exact functor. Let

$$0 \rightarrow A \rightarrow L_0 \rightarrow L_1 \rightarrow \dots$$

be a resolution such that  $L_j$  is *F-acyclic* for all  $j$ . Then  $RF(A)$  is quasi-isomorphic to the complex

$$0 \rightarrow F(L_0) \rightarrow F(L_1) \rightarrow \dots$$

In particular,  $RF^j(A)$  is the  $j$ -th cohomology group of this chain complex.

**Example 3.16.** The following sheaves are  $\Gamma_X$ -acyclic

1. *flabby sheaves* :  $\mathcal{F}(X) \rightarrow \mathcal{F}(U)$  is onto for any  $U$  (a section defined on  $U$  can be extended to  $X$ ) ;
2. *soft sheaves* :  $\mathcal{F}(X) \rightarrow \mathcal{F}(K) = \lim_{U \supset K} \mathcal{F}(U)$  is onto for any closed set  $K$  (a section defined in a neighborhood of  $K$  can be extended  $X$ ).

**Example 3.17.** The sheaf of continuous functions on a manifold is soft but not flabby as a section that diverges near the boundary of an open set cannot be extended to the whole manifold.

### 3.3.2 De Rham cohomology

Let  $\mathbb{R}_M$  be the constant sheaf on a manifold  $M$ . Let  $\Omega^k$  be the sheaf of  $k$ -forms on  $M$ . It is a soft sheaf and the complex

$$0 \rightarrow \mathbb{R}_M \rightarrow \Omega^0 \rightarrow \Omega^1 \rightarrow \dots$$

is an acyclic resolution as it is exact at the stalk level by the Poincaré Lemma. Therefore,  $R\Gamma(\mathbb{R}_M)$  is the cohomology of the complex

$$0 \rightarrow \Omega^0(M) \rightarrow \Omega^1(M) \rightarrow \dots$$

Thus  $R\Gamma(\mathbb{R}_X)$  is isomorphic to the de Rham cohomology of  $M$ .

### 3.3.3 Singular cohomology

Let  $C^q$  be the sheaf of singular cochains on  $X$ . It is a flabby sheaf and the complex

$$0 \rightarrow k_X \rightarrow C^0 \rightarrow C^1 \rightarrow \dots$$

is an acyclic resolution. Therefore,  $R\Gamma(k_X)$  is the cohomology of the complex

$$0 \rightarrow C^0(X) \rightarrow C^1(X) \rightarrow \dots$$

and thus is isomorphic to the singular cohomology.

As a corollary, we obtain that de Rham cohomology and singular cohomology are isomorphic.

### 3.3.4 Čech cohomology

Let  $\mathcal{U}$  be a cover of  $X$ . Let  $C^p(U, \mathcal{F})$  be the  $p$ -cochains of  $U$  associated to the cover  $U \cap \mathcal{U}$ . The complex

$$0 \rightarrow \mathcal{F} \rightarrow C^0 \rightarrow C^1 \rightarrow \dots$$

is a resolution of  $\mathcal{F}$ . If the cover is acyclic, the resolution is acyclic. Therefore  $R\Gamma(\mathcal{F})$  is the cohomology of the complex

$$0 \rightarrow C^0(\mathcal{U}, \mathcal{F}) \rightarrow C^1(\mathcal{U}, \mathcal{F}) \rightarrow \dots$$

and our two definitions of sheaf cohomology coincide.

## 3.4 Derived categories and derived functors

Let  $\mathcal{C}$  be an abelian category. We can define its derived category by "formally inverting" quasi-isomorphisms in the category of complexes  $K(\mathcal{C})$  of  $\mathcal{C}$ . In general, a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between abelian categories does not induce a morphism between the associated derived categories. Yet, if  $F$  is left exact, it induces a functor

$$D(F) : D^b(\mathcal{C}) \rightarrow D^b(\mathcal{D})$$

called the derived functor. This functor is constructed in the following way:

1. select a suitable subclass of objects  $\mathcal{C}$ ;



2. if  $F$  acts nicely on this subclass, it induces a derived functor on the derived category of this subclass;
3. if the chosen subclass is large enough, the associated derived category is equivalent to the derived category of  $\mathcal{C}$  and the derived functor is completely determined.

If  $\mathcal{C}$  has enough injectives, the suitable subclass is the class of injective objects. The cohomology of sheaves of  $\mathcal{F}$  corresponds to the derived functor of  $\Gamma_X$  applied to the complex

$$0 \rightarrow \mathcal{F} \rightarrow 0.$$

This complex is quasi-isomorphic to an injective resolution of  $\mathcal{F}$ .