

# Lean basics

PATRICK MASSOT

Université Paris-Saclay at Orsay

July 14, 2020

# A word about foundations

Key point: we don't want to see foundations

# A word about foundations

Key point: we don't want to see foundations

But the proof assistant needs foundations

## A word about foundations

Key point: we don't want to see foundations

But the proof assistant needs foundations

Standard lie: maths is founded on 1st order logic + ZFC set theory

In such foundations, *everything* is a set:  $\mathbb{N}$ , exp,  
a group structure on a set is a set...

# A word about foundations

Key point: we don't want to see foundations

But the proof assistant needs foundations

Standard lie: maths is founded on 1st order logic + ZFC set theory

In such foundations, *everything* is a set:  $\mathbb{N}$ , exp,  
a group structure on a set is a set...

Let's define the set  $\mathbb{N}$ :  $0 := \emptyset$ ,  $1 := 0 \cup \{0\} = \{\emptyset\}$ ,  
 $2 := 1 \cup \{1\} = \{\emptyset, \{\emptyset\}\}$ ,  $3 := 2 \cup \{2\} = \{\emptyset, \{\emptyset, \{\emptyset\}\}\}$ .

## A word about foundations

Key point: we don't want to see foundations

But the proof assistant needs foundations

Standard lie: maths is founded on 1st order logic + ZFC set theory  
In such foundations, *everything* is a set:  $\mathbb{N}$ ,  $\exp$ ,  
a group structure on a set is a set...

Let's define the set  $\mathbb{N}$ :  $0 := \emptyset$ ,  $1 := 0 \cup \{0\} = \{\emptyset\}$ ,  
 $2 := 1 \cup \{1\} = \{\emptyset, \{\emptyset\}\}$ ,  $3 := 2 \cup \{2\} = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}$ .

Exercise:  $3$  is a topology on  $2$ . Note also how  $2 \cap 3 = 2$  and  $2 \in 3$ .

Avoiding those non-sensical statements rely on a gentleman agreement.

# Type theory

Every meaningful piece of math has a type:

- $2 : \mathbb{N}$ ,
- $\exp : \mathbb{R} \rightarrow \mathbb{R}$ ,
- $x \mapsto x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$
- $1 + 1 = 2 : \text{Prop}$

Things like  $2 \cap 3$  or  $2 \in 3$  do *not* “type-check” (they have no type).

# Type theory

Every meaningful piece of math has a type:

- $2 : \mathbb{N}$ ,
- $\exp : \mathbb{R} \rightarrow \mathbb{R}$ ,
- $x \mapsto x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$
- $1 + 1 = 2 : \text{Prop}$

Things like  $2 \cap 3$  or  $2 \in 3$  do *not* “type-check” (they have no type).

Pieces of math are called *terms*.

You can ask Lean about the type of a term using **#check**.



# Type theory

Every meaningful piece of math has a type:

- $2 : \mathbb{N}$ ,
- $\exp : \mathbb{R} \rightarrow \mathbb{R}$ ,
- $x \mapsto x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$
- $1 + 1 = 2 : \text{Prop}$

Things like  $2 \cap 3$  or  $2 \in 3$  do *not* “type-check” (they have no type).

Pieces of math are called *terms*.

You can ask Lean about the type of a term using **#check**.

$x \mapsto x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$  is written as  $\lambda x, x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$

# Type theory

Every meaningful piece of math has a type:

- $2 : \mathbb{N}$ ,
- $\exp : \mathbb{R} \rightarrow \mathbb{R}$ ,
- $x \mapsto x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$
- $1 + 1 = 2 : \text{Prop}$

Things like  $2 \cap 3$  or  $2 \in 3$  do *not* “type-check” (they have no type).

Pieces of math are called *terms*.

You can ask Lean about the type of a term using **#check**.

$x \mapsto x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$  is written as  $\lambda x, x \exp(x) : \mathbb{R} \rightarrow \mathbb{R}$

*Typing rules* are things like: given  $f : X \rightarrow Y$  and  $x : X$ , deduce  $f x : Y$ .

## Meta-theory vs theory

**Z** The assertion  $x : t$  that a term  $x$  has type  $t$ , and typing rules, are *not* something you can prove or disprove inside the theory. They live one level up, in the meta-theoretical world, just as you don't prove the properties of logical operators while working inside ZFC.

## Meta-theory vs theory

**Z** The assertion  $x : t$  that a term  $x$  has type  $t$ , and typing rules, are *not* something you can prove or disprove inside the theory. They live one level up, in the meta-theoretical world, just as you don't prove the properties of logical operators while working inside ZFC.

**Z** computer scientists and logicians use the prefix “meta” whenever something is unusual. It can be used three times in the same sentence with three different meanings.

## Conversion rules

At the meta-theory level also live the “conversion rules” that assert some term are so-called *definitionally* equal.

For instance:

- $\lambda x : \mathbb{N}, x + 2 \equiv \lambda y : \mathbb{N}, y + 2$  by the  $\alpha$ -conversion rule.

## Conversion rules

At the meta-theory level also live the “conversion rules” that assert some term are so-called *definitionally* equal.

For instance:

- $\lambda x : \mathbb{N}, x + 2 \equiv \lambda y : \mathbb{N}, y + 2$  by the  $\alpha$ -conversion rule.
- $(\lambda x : \mathbb{N}, x + 2) 3 \equiv 3 + 2$  by the  $\beta$ -conversion rule.

## Conversion rules

At the meta-theory level also live the “conversion rules” that assert some term are so-called *definitionally* equal.

For instance:

- $\lambda x : \mathbb{N}, x + 2 \equiv \lambda y : \mathbb{N}, y + 2$  by the  $\alpha$ -conversion rule.
- $(\lambda x : \mathbb{N}, x + 2) 3 \equiv 3 + 2$  by the  $\beta$ -conversion rule.
- By repeated  $\delta$ -conversion:

$$\begin{aligned} 3 + 2 &\equiv S(S(S(0))) + S(S(0)) \\ &\equiv S(S(S(S(0))) + S(0)) \\ &\equiv S(S(S(S(S(0)))) + 0) \\ &\equiv S(S(S(S(S(0)))) \\ &\equiv 5 \end{aligned}$$

## Proofs in type theory

Given  $P : \text{Prop}$ , a term  $h : P$  is a *proof* of  $P$ .



## Proofs in type theory

Given  $P : \text{Prop}$ , a term  $h : P$  is a *proof* of  $P$ .

The statement  $P \implies Q$  is the function type  $P \rightarrow Q : \text{Prop}$ .  
By the function typing rule, if  $h : P \rightarrow Q$  and  $h_P : P$  then  
 $h h_P : Q$ .

## Proofs in type theory

Given  $P : \text{Prop}$ , a term  $h : P$  is a *proof* of  $P$ .

The statement  $P \implies Q$  is the function type  $P \rightarrow Q : \text{Prop}$ .  
By the function typing rule, if  $h : P \rightarrow Q$  and  $h_P : P$  then  
 $h h_P : Q$ .

Given  $P : X \rightarrow \text{Prop}$ , we get  $\forall x, P x : \text{Prop}$ .

## Proofs in type theory

Given  $P : \text{Prop}$ , a term  $h : P$  is a *proof* of  $P$ .

The statement  $P \implies Q$  is the function type  $P \rightarrow Q : \text{Prop}$ .  
By the function typing rule, if  $h : P \rightarrow Q$  and  $h_P : P$  then  
 $h h_P : Q$ .

Given  $P : X \rightarrow \text{Prop}$ , we get  $\forall x, P x : \text{Prop}$ .

Given  $h : \forall x, P x$  and  $x_0 : X$ , we get  $h x_0 : P x_0$ .

So  $h$  behaves like a kind of function, but its target type depends on the value of its input. We have a *dependent function type*.

## Proofs in type theory

Given  $P : \text{Prop}$ , a term  $h : P$  is a *proof* of  $P$ .

The statement  $P \implies Q$  is the function type  $P \rightarrow Q : \text{Prop}$ .  
By the function typing rule, if  $h : P \rightarrow Q$  and  $h_P : P$  then  
 $h h_P : Q$ .

Given  $P : X \rightarrow \text{Prop}$ , we get  $\forall x, P x : \text{Prop}$ .

Given  $h : \forall x, P x$  and  $x_0 : X$ , we get  $h x_0 : P x_0$ .

So  $h$  behaves like a kind of function, but its target type depends on the value of its input. We have a *dependent function type*.

*Verifying a proof is a special case of type-checking a term.*

# Inductive types

```
inductive nat  
| zero          : nat  
| succ (n : nat) : nat
```

```
inductive or (a b : Prop) : Prop  
| inl (h : a) : or  
| inr (h : b) : or
```

```
inductive Exists { $\alpha$  : Sort u} (p :  $\alpha \rightarrow$  Prop) : Prop  
| intro (w :  $\alpha$ ) (h : p w) : Exists
```

## Elaboration

**theorem** infinitude\_of\_primes :  $\forall N, \exists p \geq N, \text{nat.prime } p$

Lean needs the types of  $N$  and  $p$  and an order relation.

## Elaboration

**theorem** infinitude\_of\_primes :  $\forall N, \exists p \geq N, \text{nat.prime } p$

Lean needs the types of  $N$  and  $p$  and an order relation.

It goes from left to right, inserting holes (meta-variables) when needed.

- $N : ?m_1$
- $p : ?m_2$
- see  $p \geq N$ , deduce  $?m_1 = ?m_2$ , take note we'll need an order relation on  $?m_1$
- see  $\text{nat.prime } p$  which makes sense only if  $p : \mathbb{N}$
- look up a database of order relation to get one for  $\mathbb{N}$

# Coercion

During elaboration, when cornered, Lean will try to find a *coercion*.

For instance, in  $\forall x : \mathbb{R}, \forall \varepsilon > 0, \exists n : \mathbb{N}, x \leq n * \varepsilon$



# Coercion

During elaboration, when cornered, Lean will try to find a *coercion*.

For instance, in  $\forall x : \mathbb{R}, \forall \varepsilon > 0, \exists n : \mathbb{N}, x \leq n * \varepsilon$

One can encourage Lean to insert coercion by writing *type ascriptions*, as in  $1 / (n+1 : \mathbb{R})$