Interval maps of given topological entropy and Sharkovskii's type

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June 9, 2019

#### Abstract

It is known that the topological entropy of a continuous interval map f is positive if and only if the type of f for Sharkovskii's order is  $2^d p$  for some odd integer  $p \geq 3$  and some  $d \geq 0$ ; and in this case the topological entropy of f is greater than or equal to  $\frac{\log \lambda_p}{2^d}$ , where  $\lambda_p$  is the unique positive root of  $X^p - 2X^{p-2} - 1$ . For every odd  $p \geq 3$ , every  $d \geq 0$  and every  $\lambda \geq \lambda_p$ , we build a piecewise monotone continuous interval map that is of type  $2^d p$  for Sharkovskii's order and whose topological entropy is  $\frac{\log \lambda}{2^d}$ . This shows that, for a given type, every possible finite entropy above the minimum can be reached provided the type allows the map to have positive entropy. Moreover, if d = 0 the map we build is topologically mixing.

## 1 Introduction

In this paper, an interval map is a continuous map  $f: I \to I$  where I is a compact nondegenerate interval. A point  $x \in I$  is periodic of period n if  $f^n(x) = x$  and n is the least positive integer with this property, i.e.  $f^k(x) \neq x$  for all  $k \in [1, n-1]$ .

Let us recall Sharkovskii's theorem and the definitions of Sharkovskii's order and type [6] (see e.g. [5, Section 3.3]).

**Definition 1.1** Sharkovskii's order is the total ordering on  $\mathbb{N}$  defined by:

$$3 \triangleleft 5 \triangleleft 7 \triangleleft 9 \triangleleft \cdots \triangleleft 2 \cdot 3 \triangleleft 2 \cdot 5 \triangleleft \cdots \triangleleft 2^2 \cdot 3 \triangleleft 2^2 \cdot 5 \triangleleft \cdots \triangleleft 2^3 \triangleleft 2^2 \triangleleft 2 \triangleleft 1$$

(first, all odd integers n > 1, then 2 times the odd integers n > 1, then successively  $2^2 \times$ ,  $2^3 \times$ , ...,  $2^k \times$  ... the odd integers n > 1, and finally all the powers of 2 by decreasing order).  $a \triangleright b$  means  $b \triangleleft a$ . The notation  $\trianglelefteq$ ,  $\trianglerighteq$  will denote the order with possible equality.

**Theorem 1.2 (Sharkovskii)** If an interval map f has a periodic point of period n then, for all integers m > n, f has periodic points of period m.

**Definition 1.3** Let  $n \in \mathbb{N} \cup \{2^{\infty}\}$ . An interval map f is of type n (for Sharkovskii's order) if the periods of the periodic points of f form exactly the set  $\{m \in \mathbb{N} \mid m \geq n\}$ , where the notation  $\{m \in \mathbb{N} \mid m \geq 2^{\infty}\}$  stands for  $\{2^k \mid k \geq 0\}$ .

It is well known that an interval map f has positive topological entropy if and only if its type is  $2^d p$  for some odd integer  $p \ge 3$  and some  $d \ge 0$  (see e.g. [5, Theorem 4.58]). The entropy of such a map is bounded from below (see theorem 4.57 in [5]).

Theorem 1.4 (Štefan, Block-Guckenheimer-Misiurewicz-Young) Let f be an interval map of type  $2^d p$  for some odd integer  $p \geq 3$  and some  $d \geq 0$ . Let  $\lambda_p$  be the unique positive root of  $X^p - 2X^{p-2} - 1$ . Then  $\lambda_p > \sqrt{2}$  and  $h_{top}(f) \geq \frac{\log \lambda_p}{2^d}$ .

This bound is sharp: for every p, d, there exists a interval map of type  $2^d p$  and topological entropy  $\frac{\log \lambda_p}{2^d}$ . These examples were first introduced by Štefan, although the entropy of these maps was computed later [7, 2].

Moreover, it is known that the type of a topological mixing interval map is p for some odd integer  $p \geq 3$  (see e.g. [5, Proposition 3.36]). The Štefan maps of type p are topologically mixing [5, Example 3.21].

We want to show that the topological entropy of a piecewise monotone map can be equal to any real number, the lower bound of Theorem 1.4 being the only restriction. First, for every odd integer  $p \geq 3$  and every real number  $\lambda \geq \lambda_p$ , we are going to build a piecewise monotone map  $f_{p,\lambda} \colon [0,1] \to [0,1]$  such that its type is p for Sharkovskii's order, its topological entropy is  $\log \lambda$ , and the map is topologically mixing. Then we will show that for every odd integer  $p \geq 3$ , every integer  $d \geq 0$  and every real number  $\lambda \geq \lambda_p$ , there exists a piecewise monotone interval map f such that its type is  $2^d p$  for Sharkovskii's order and its topological entropy is  $\frac{\log \lambda}{2^d}$ .

#### 1.1 Notations

We say that an interval is degenerate if it is either empty or reduced to one point, and nondegenerate otherwise. When we consider an interval map  $f: I \to I$ , every interval is implicitly a subinterval of I.

Let J be a nonempty interval. Then  $\partial J := \{\inf J, \sup J\}$  are the endpoints of J (they may be equal if J is reduced to one point) and |J| denotes the length of J (i.e.  $|J| := \sup J - \inf J$ ). Let  $\min(J)$  denote the middle point of J, that is,  $\min(J) := \frac{\inf J + \sup J}{2}$ .

An interval map  $f: I \to I$  is *piecewise monotone* if there exists a finite partition of I into intervals such that f is monotone on each element of this partition.

An interval map f has a constant slope  $\lambda$  if f is piecewise monotone and if on each of its pieces of monotonicity f is linear and the absolute value of the slope coefficient is  $\lambda$ .

# 2 Štefan maps

We recall the definition of the Stefan maps of odd type  $p \geq 3$ .

Let  $n \ge 1$  and p := 2n + 1. The Štefan map  $f_p : [0, 2n] \to [0, 2n]$ , represented in Figure 1, is defined as follows: it is linear on [0, n - 1], [n - 1, n], [n, 2n - 1] and [2n - 1, 2n], and

$$f_p(0) := 2n, \ f_p(n-1) := n+1, \ f_p(n) := n-1, \ f_p(2n-1) := 0, \ f_p(2n) := n.$$

Note that n = 1 is a particular case because 0 = n - 1 and n = 2n - 1.

Next proposition summarises the properties of  $f_p$ , see [5, Example 3.21] for the proof.

**Proposition 2.1** The map  $f_p$  is topologically mixing, its type for Sharkovskii's order is p and  $h_{top}(f) = \log \lambda_p$ . Moreover, the point n is periodic of period p, and  $f_p^{2k-1}(n) = n - k$  and  $f_p^{2k}(n) = n + k$  for all  $k \in [1, n]$ .

# 3 Mixing map of given entropy and odd type

For every odd integer  $p \geq 3$  and every real number  $\lambda \geq \lambda_p$ , we are going to build a piecewise monotone continuous map  $f_{p,\lambda} \colon [0,1] \to [0,1]$  such that its type is p for Sharkovskii's order, its topological entropy is  $\log \lambda$ , and the map is topologically mixing. We will write f instead of  $f_{p,\lambda}$  when there is no ambiguity on  $p,\lambda$ .

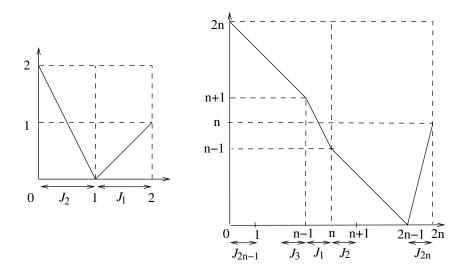


Figure 1: On the left: the map  $f_3$ . On the right: the map  $f_p$  with p = 2n + 1 > 3.

The idea is the following: we start with the Stefan map  $f_p$ , we blow up the minimum into an interval and we define the map of this interval in such a way that the added dynamics increases the entropy without changing the type. At the same time, we make the slope constant and equal to  $\lambda$ , so that the entropy is  $\log \lambda$  according to the following theorem [1, Corollary 4.3.13], which is due to Misiurewicz-Szlenk [4], Young [8] and Milnor-Thurston [3].

**Theorem 3.1** Let f be a piecewise monotone interval map. Suppose that f has a constant slope  $\lambda \geq 1$ . Then  $h_{top}(f) = \log \lambda$ .

We will also need the next result (see the proof of Lemma 4.56 in [5]).

**Lemma 3.2** Let  $p \geq 3$  be an odd integer and  $P_p(X) := X^p - 2X^{p-2} - 1$ . Then  $P_p(X)$  has a unique positive root, denoted by  $\lambda_p$ . Moreover,  $P_p(x) < 0$  if  $x \in [0, \lambda_p[$  and  $P_p(x) > 0$  if  $x > \lambda_p$ . Let  $\chi_p(X) := X^{p-1} - X^{p-2} - \sum_{i=0}^{p-2} (-X)^i$ . Then  $P_p(X) = (X+1)\chi_p(X)$ , and thus  $\chi_p(x) < 0$  if  $x \in [0, \lambda_p[$  and  $\chi_p(x) > 0$  if  $x > \lambda_p$ .

#### 3.1 Definition of the map

We fix an odd integer  $p \geq 3$  and a real  $\lambda \geq \lambda_p$ . Recall that  $\lambda_p > \sqrt{2} > 1$  (Theorem 1.4).

We are going to define points ordered as follows:

$$x_{p-2} < x_{p-4} < \dots < x_1 < x_0 < x_2 < x_4 < \dots < x_{p-3} \le t < x_{p-1},$$
  
with  $x_{p-2} = 0$ ,  $x_{p-3} = \frac{1}{\lambda}$  and  $x_{p-1} = 1$ .

The points  $x_0, \ldots, x_{p-1}$  will form a periodic orbit of period p, that is,  $f(x_i) = x_{i+1 \mod p}$  for all  $i \in [0, p-1]$ .

**Remark 3.3** In the following construction, the case p=3 is degenerate. The periodic orbit is reduced to  $x_1 < x_0 < x_2$  with  $x_1 = 0, x_0 = \frac{1}{\lambda}, x_2 = 1$ . We only have to determine the value of t.

The map  $f: [0,1] \to [0,1]$  is defined as follows (see Figure 2):

•  $f(x) := 1 - \lambda x$  for all  $x \in [0, \frac{1}{\lambda}] = [x_{p-2}, x_{p-3}]$  (so that f(0) = 1 and  $f(\frac{1}{\lambda}) = 0$ ),

- $f(x) := \lambda(x-t)$  for all  $x \in [t,1]$  (so that f(t) = 0 and  $f(1) = \lambda(1-t)$ ),
- definition on  $\left[\frac{1}{\lambda}, t\right]$ : we want to have  $f(\left[\frac{1}{\lambda}, t\right]) \subset [0, x_{p-4}]$  (note that  $x_{p-4}$  is the least positive point among  $x_0, \ldots, x_{p-1}$ ), with f of constant slope  $\lambda$ , in such a way that all the critical points except at most one are sent by f on either 0 or  $x_{p-4}$ . If  $t = \frac{1}{\lambda}$ , there is nothing to do. If  $t > \frac{1}{\lambda}$ , we set  $\ell := t \frac{1}{\lambda}$  (length of the interval),  $k := \left|\frac{\lambda \ell}{2x_{p-4}}\right|$ ,

$$J_i := \left[ \frac{1}{\lambda} + (i-1) \frac{2x_{p-4}}{\lambda}, \frac{1}{\lambda} + i \frac{2x_{p-4}}{\lambda} \right] \quad \text{for all } i \in [1, k],$$

$$K := \left[ \frac{1}{\lambda} + k \frac{2x_{p-4}}{\lambda}, t \right]. \tag{2}$$

If p = 3, we replace  $x_{p-4}$  (not defined) by 1 in the above definitions.

It is possible that there is no interval  $J_1,\ldots,J_k$  (if k=0) or that K is reduced to the point  $\{t\}$ . On each interval  $J_1,\ldots J_k$ , f is defined as the tent map of summit  $x_{p-4}$ :  $f(\min J_i)=0$ , f is increasing of slope  $\lambda$  on  $[\min J_i, \min(J_i)]$  (thus  $f(\min(J_i))=x_{p-4}$  because of the length of  $J_i$ ), then f is decreasing of slope  $-\lambda$  on  $[\min(J_i), \max J_i]$  and  $f(\max J_i)=0$ . On K, f is defined as a tent map with a summit  $< x_{p-4}$ :  $f(\min K)=0$ , f is increasing of slope  $\lambda$  on  $[\min K, \min(K)]$ , then f is decreasing of slope  $-\lambda$  on  $[\min(K), \max K]$  and  $f(\max K)=0$ .

In this way, we get a map f that is continuous on [0,1], piecewise monotone, of constant slope  $\lambda$ . It remains to define t and the points  $\{x_i\}_{0 \le i \le p-4}$  (recall that  $x_{p-3} = \frac{1}{\lambda}$ ,  $x_{p-2} = 0$  and  $x_{p-1} = 1$ ).

We want these points to satisfy:

$$x_0 = \lambda(1-t) \tag{3}$$

and

$$\begin{cases} x_1 &= 1 - \lambda x_0 \\ x_2 &= 1 - \lambda x_1 \\ &\vdots \\ x_{p-3} &= 1 - \lambda x_{p-4} \end{cases}$$
(4)

and to be ordered as follows:

$$x_{p-2} < x_{p-4} < \dots < x_1 < x_0 < x_2 < x_4 < \dots < x_{p-3}$$
 (5)

$$\frac{1}{\lambda} \le t < x_{p-1}. \tag{6}$$

If p = 3, the system (4) is empty, and equation (5) is satisfied because it reduces to  $0 = x_1 < x_0 = \frac{1}{\lambda}$ .

According to the definition of f, the equations (3), (4), (5), (6) imply that  $f(x_i) = x_{i+1}$  for all  $i \in [0, p-2]$  and  $f(x_{p-1}) = x_0$ .

We are going to show that the system (4) is equivalent to:

$$\forall i \in [0, p-4], \quad x_i = \frac{(-1)^i}{\lambda^{p-i-2}} \sum_{j=0}^{p-i-3} (-\lambda)^j.$$
 (7)

We use a descending induction on i.

• According to the last line of (4),  $x_{p-4} = \frac{1}{\lambda}(1-x_{p-3}) = \frac{1}{\lambda^2}(\lambda-1)$ . This is (7) for i=p-4.

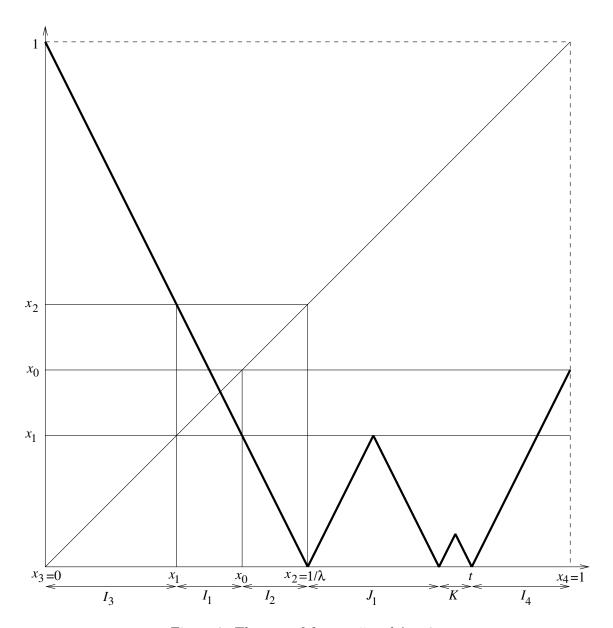


Figure 2: The map f for p = 5 and  $\lambda = 2$ .

• Suppose that (7) holds for i with  $i \in [1, p-4]$ . By (4),  $x_i = 1 - \lambda x_{i-1}$ , thus

$$x_{i-1} = -\frac{1}{\lambda}(x_i - 1)$$

$$= -\frac{(-1)^i}{\lambda^{p-i-1}} \left( \sum_{j=0}^{p-i-3} (-\lambda)^j - (-1)^i \lambda^{p-i-2} \right)$$

Since p is odd,  $-(-1)^i \lambda^{p-i-2} = (-\lambda)^{p-i-2}$ . Hence

$$x_{i-1} = \frac{(-1)^{i-1}}{\lambda^{p-i-1}} \sum_{j=0}^{p-i-2} (-\lambda)^j,$$

which gives (7) for i-1. This ends the proof of (7).

Equation (3) is equivalent to  $t = 1 - \frac{1}{\lambda}x_0$ . Thus, using (7), we get

$$t = \frac{1}{\lambda^{p-1}} \left( \lambda^{p-1} - \sum_{j=0}^{p-3} (-\lambda)^j \right).$$
 (8)

Conclusion: with the values of  $x_0, \ldots, x_{p-4}$  and t given by (7) and (8), the system of equations (3)-(4) is satisfied (and there is a unique solution). It remains to show that these points are ordered as stated in (5) and (6).

Let i be in [0, p-6]. By (7), we have

$$x_{i+2} - x_i = \frac{(-1)^i}{\lambda^{p-i-2}} \left( \lambda^2 \sum_{j=0}^{p-i-5} (-\lambda)^j - \sum_{j=0}^{p-i-3} (-\lambda)^j \right)$$
$$= \frac{(-1)^i}{\lambda^{p-i-2}} \left( \sum_{j=2}^{p-i-3} (-\lambda)^j - \sum_{j=0}^{p-i-3} (-\lambda)^j \right)$$
$$= \frac{(-1)^i}{\lambda^{p-i-2}} (\lambda - 1)$$

Since  $\lambda - 1 > 0$ , we have, for all  $i \in [0, p - 6]$ ,

- $x_i < x_{i+2}$  if i is even,
- $x_{i+2} < x_i$  if i is odd.

By (7),  $x_{p-4} = \frac{\lambda - 1}{\lambda^2}$ . Since  $\lambda > 1$ ,  $x_{p-4} > 0 = x_{p-2}$ . Again by (7),

$$x_0 - x_1 = \frac{1}{\lambda^{p-2}} \left( \sum_{j=0}^{p-3} (-\lambda)^j + \lambda \sum_{j=0}^{p-4} (-\lambda)^j \right)$$
$$= \frac{1}{\lambda^{p-2}} \left( \sum_{j=0}^{p-3} (-\lambda)^j - \sum_{j=1}^{p-3} (-\lambda)^j \right)$$
$$= \frac{1}{\lambda^{p-2}} > 0$$

thus  $x_0 < x_1$ . Moreover,

$$x_{p-3} - x_{p-5} = \frac{1}{\lambda} - \frac{\lambda^2 - \lambda + 1}{\lambda^3} = \frac{\lambda - 1}{\lambda^3} > 0$$

thus  $x_{p-5} < x_{p-3}$ . This several inequalities imply (5).

By (8), we have

$$t - \frac{1}{\lambda} = \frac{1}{\lambda^{p-1}} \left( \lambda^{p-1} - \lambda^{p-2} - \sum_{j=0}^{p-3} (-\lambda)^j \right) = \frac{1}{\lambda^{p-1}} \cdot \chi_p(\lambda),$$

where  $\chi_p$  is defined in Lemma 3.2. According to this lemma,  $\chi_p(\lambda) \geq 0$  (with equality iff  $\lambda = \lambda_p$ ) because  $\lambda \geq \lambda_p$ . This implies that  $t \geq \frac{1}{\lambda}$  (with equality iff  $\lambda = \lambda_p$ ). Moreover, if  $t \geq 1$ , then  $x_0 = \lambda(1-t) \leq 0$ , which is impossible by (5); thus t < 1. Therefore, the inequalities (6) hold.

Finally, we have shown that the map  $f_{p,\lambda} = f$  is defined as wanted.

#### 3.2 Entropy

Corollary 3.4  $h_{top}(f_{p,\lambda}) = \log \lambda$ .

*Proof.* This result is given by Theorem 3.1 because, by definition,  $f_{p,\lambda}$  is piecewise monotone of constant slope  $\lambda$  with  $\lambda > 1$ .

### 3.3 Type

**Lemma 3.5** Let  $g: [0,1] \to [0,1]$  be a continuous map. Let  $\mathcal{A}$  be a finite family of closed intervals that form a pseudo-partition of [0,1], that is,

$$\bigcup_{A\in\mathcal{A}}A=\left[0,1\right]\quad and\quad \forall A,B\in\mathcal{A},\ A\neq B\Rightarrow\operatorname{Int}\left(A\right)\cap\operatorname{Int}\left(B\right)=\emptyset.$$

We set  $\partial \mathcal{A} = \bigcup_{A \in \mathcal{A}} \partial A$ . Let  $\mathcal{G}$  be the oriented graph whose vertices are the elements of  $\mathcal{A}$  and in which there is an arrow  $A \dashrightarrow B$  iff  $g(A) \cap \operatorname{Int}(B) \neq \emptyset$ . Let x be a periodic point of period q for g such that  $\{g^n(x) \mid n \geq 0\} \cap \partial \mathcal{A} = \emptyset$ . Then there exist  $A_0, \ldots, A_{q-1} \in \mathcal{A}$  such that  $A_0 \dashrightarrow A_1 \dashrightarrow A_1 \dashrightarrow A_{q-1} \dashrightarrow A_0$  is a cycle in the graph  $\mathcal{G}$ .

Proof. For every  $n \geq 0$ , there exists a unique element  $A_n \in \mathcal{G}$  such that  $g^n(x) \in \operatorname{Int}(A_n)$  because  $\{g^n(x) \mid n \geq 0\} \cap \partial \mathcal{A} = \emptyset$ . We have  $g^n(x) \in A_n$  and  $g^{n+1}(x) \in \operatorname{Int}(A_{n+1})$ , thus  $g(A_n) \cap \operatorname{Int}(A_{n+1}) \neq \emptyset$ ; in other words, there is an arrow  $A_n \dashrightarrow A_{n+1}$  in  $\mathcal{G}$ . Finally,  $A_q = A_0$  because  $g^q(x) = x$ .

**Proposition 3.6** The map  $f_{p,\lambda}$  is of type p for Sharkovskii's order.

*Proof.* According to the definition of  $f = f_{p,\lambda}$ ,  $x_0$  is a periodic point of period p. It remains to show that f has no periodic point of period q with q odd and  $3 \le q < p$ .

We set  $I_1 := \langle x_0, x_1 \rangle$ ,  $I_i := \langle x_{i-2}, x_i \rangle$  for all  $i \in [2, p-2]$  and  $I_{p-1} := [t, 1]$ , where  $\langle a, b \rangle$  denotes the convex hull of  $\{a, b\}$  (i.e.  $\langle a, b \rangle = [a, b]$  or [b, a]). The intervals  $J_i, K$  have been defined in (1) and (2). The family  $\mathcal{A} := \{I_1, \dots, I_{p-1}, J_1, \dots, J_k, K\}$  is a pseudo-partition of [0, 1]. Let  $\mathcal{G}$  be the oriented graph associated to  $\mathcal{A}$  for the map g = f as defined in Lemma 3.5. If  $f(A) \supset B$ , the arrow  $A \dashrightarrow B$  is replaced by  $A \to B$  (full covering). The graph  $\mathcal{G}$  is represented in Figure 3; a dotted arrow  $A \dashrightarrow B$  means that  $f(A) \cap \text{Int}(B) \neq \emptyset$  but  $f(A) \not\supset B$  (partial covering).



Figure 3: Covering graph  $\mathcal{G}$  associated to f.

The subgraph associated to the intervals  $I_1, \ldots, I_{p-1}$  is the graph associated to a Štefan cycle of period p (see [5, Lemma 3.16]). The only additional arrows with respect to the Štefan graph are between the intervals  $J_1, \ldots, J_k, K$  on the one hand and  $I_{p-2}$  on the other hand. There is only one partial covering, which is  $K \dashrightarrow I_{p-2}$ .

Let q be an odd integer with  $3 \leq q < p$ . We easily see that, in this graph, there is no primitive cycle of length q (a cycle is primitive if it is not the repetition of a shorter cycle): the cycles not passing through  $I_1$  have an even length, whereas the cycles passing through  $I_1$  have

a length either equal to 1, or greater than or equal to p-1. Moreover, if x is a periodic point of period q, then  $\{f^n(x) \mid n \geq 0\} \cap \partial \mathcal{A} = \emptyset$  (because the periodic points in  $\partial \mathcal{A}$  are of period p). According to Lemma 3.5, f has no periodic point of period q. Conclusion: f is of type p for Sharkovskii's order.

#### 3.4 Mixing

**Proposition 3.7** The map  $f_{p,\lambda}$  is topologically mixing.

*Proof.* This proof is inspired by [5, Lemmas 2.10, 2.11] and their use in [5, Example 2.13].

We will use several times that the image by  $f = f_{p,\lambda}$  of a nondegenerate interval is a nondegenerate interval (and thus all its iterates are nondegenerate).

Let A be a nondegenerate closed interval included in [0,1]. We are going to show that there exists an integer  $n \ge 0$  such that  $f^n(A) = [0,1]$ .

We set

$$C_0 := \bigcup_{i=1}^k \partial J_i \cup \{t\}, \quad C_1 := \{ \operatorname{mid}(J_i) \mid i \in [\![1,k]\!] \}, \quad c_K := \operatorname{mid}(K).$$

The set of critical points of f is  $C_0 \cup C_1 \cup \{c_K\}$ .

**Step 1:** there exists  $i_0 \geq 0$  such that  $f^{i_0}(A) \cap (\mathcal{C}_0 \cup \mathcal{C}_1) \neq \emptyset$  and there exists  $n_0 \geq 0$  such that  $0 \in f^{n_0}(A)$ .

Let

$$J_i' := [\min J_i, \operatorname{mid}(J_i)] \text{ and } J_i'' := [\operatorname{mid}(J_i), \operatorname{max} J_i] \text{ for all } i \in [1, k],$$
$$\mathcal{F} := \left\{ \left[0, \frac{1}{\lambda}\right], [t, 1], K \right\} \cup \{J_i', J_i'' \mid i \in [1, k]\}.$$

If  $A \subset B$  for some  $B \in \mathcal{F}$  and  $B \neq K$ , then  $|f(A)| = \lambda |A|$ . If  $A \subset K$ , then  $|f(A)| \geq \frac{\lambda |A|}{2}$  and  $f(A) \subset I_{p-2}$ , thus  $|f^2(A)| = \lambda |f(A)| \geq \frac{\lambda^2}{2} |A|$ . We have  $\lambda > 1$  and  $\frac{\lambda^2}{2} > 1$  because  $\lambda > \sqrt{2}$  (Theorem 1.4). If for all  $i \geq 0$ , there exists  $A_i \in \mathcal{F}$  such that  $f^i(A) \subset A_i$ , then what precedes implies that  $\lim_{i \to +\infty} |f^i(A)| = +\infty$ . This is impossible because  $f^i(A) \subset [0,1]$ . Thus there exist  $i_0 \geq 0$  and  $c \in \mathcal{C}_0 \cup \mathcal{C}_1$  such that  $c \in f^{i_0}(A)$ . If  $c \in \mathcal{C}_0$ , then f(c) = 0, and hence  $0 \in f^{i_0+1}(A)$ . If  $c \in \mathcal{C}_1$ , then  $f(c) = x_{p-4}$  and hence  $0 \in f^{i_0+3}(A)$ . This ends step 1.

**Step 2:** there exist  $n_1 \ge n_0$  and  $j \in [1, p-1]$  such that  $f^{n_1}(A) \supset I_j$ .

Recall that  $I_1 = [x_1, x_0]$ ,  $I_i = \langle x_{i-2}, x_i \rangle$  for all  $2 \le i \le p-2$  and  $I_{p-1} = [t, 1] = [t, x_{p-1}]$ . We set  $I_0 := I_1$ . By definition, for all  $0 \le i \le p-1$ , there exists  $\delta_i > 0$  such that  $I_i = \langle x_i, x_i + (-1)^{i+1} \delta_i \rangle$ . Moreover, f is linear of slope  $-\lambda$  on each of the intervals  $I_0, \ldots, I_{p-2}$  and of slope  $+\lambda$  on  $I_{p-1}$ .

We set  $B_{-2} := f^{n_0}(A)$ . This is a nondegenerate closed interval containing 0, thus there exists b > 0 such that  $B_{-2} = [0, b]$  with  $0 = x_{p-2}$ . We set  $B_i := f^{i+2}(B_{-2})$  for all  $i \ge -2$ , and we define  $m \ge -2$  as the least integer such that  $B_m$  is not included in a interval of the form  $I_j$  (such an integer m exists by step 1).

If  $b > x_{p-4}$ , then  $B_{-2} \supset I_{p-2}$  and m = -2. Otherwise,  $B_{-2} \subset I_{p-2}$  and  $B_{-1} = [1 - \lambda b, 1] = [x_{p-1} - \lambda b, x_{p-1}]$  because  $f|_{I_{p-2}}$  is of slope  $-\lambda$ . If  $1 - \lambda b < t$ , then  $B_{-1} \supset I_{p-1}$  and m = -1. Otherwise,  $B_{-1} \subset I_{p-1}$  and  $B_0 = [x_0 - \lambda^2 b, x_0]$  because  $f|_{I_{p-1}}$  is of slope  $+\lambda$ . We go on in a similar way.

• If m > 0, then  $B_0 \subset I_0$  and  $B_1 = [x_1, x_1 + \lambda^3 b]$ .

• If m > 1, then  $B_1 \subset I_1$  and  $B_2 = [x_2 - \lambda^4 b, x_2]$ .

:

• If m > p-3, then  $B_{p-3} \subset I_{p-3}$  and  $B_{p-2} = \langle x_{p-2}, x_{p-2} + (-1)^{p+1} \lambda^p b \rangle = [0, \lambda^p b]$ .

Notice that  $B_{p-2}$  is of the same form as  $B_{-2}$ . What precedes implies that

$$\forall i \in \llbracket -2, m \rrbracket, \ B_i = \left\langle x_{i \bmod p}, x_{i \bmod p} + (-1)^{r+1} \lambda^{i+2} b \right\rangle, \text{ where } i = qp + r, \ r \in \llbracket 0, p - 1 \rrbracket,$$
$$\forall i \in \llbracket -2, m - 1 \rrbracket, \ B_i \subset I_{i \bmod p},$$
$$B_m \supset I_{m \bmod p}.$$

This ends step 2 with  $n_1 := n_0 + m + 2$  and j := m.

**Step 3:** there exists  $n_2 \ge n_1$  such that  $f^{n_2}(A) = [0,1]$ .

Let  $n_1 \geq 0$  and let  $j \in [1, p-1]$  be such that  $f^{n_1}(A) \supset I_j$  (step 2). In the covering graph of Figure 3, we see that there exists an integer  $q \geq 0$  such that, for every vertex C of the graph, there exists a path of length q, with only arrows of type  $\rightarrow$ , starting from  $I_j$  and ending at C. This implies that  $f^q(I_j) = [0, 1]$ , that is,  $f^{n_1+q}(A) = [0, 1]$ .

We have shown that, for every nondegenerate closed interval  $A \subset [0,1]$ , there exists n such that  $f^n(A) = [0,1]$ . We conclude that f is topologically mixing.

# 4 General case

### 4.1 Square root of a map

We first recall the definition of the so-called *square root* of an interval map. If  $f: [0, b] \to [0, b]$  is an interval map, the square root of f is the continuous map  $g: [0, 3b] \to [0, 3b]$  defined by

- $\forall x \in [0, b], g(x) := f(x) + 2b,$
- $\forall x \in [2b, 3b], \ q(x) := x 2b,$
- q is linear on [b, 2b].

The graphs of g and  $g^2$  are represented in Figure 4.

The square root map has the following properties, see e.g. [5, Examples 3.22 and 4.62].

**Proposition 4.1** Let f be an interval map of type n, and let g be the square root of f. Then g is of type 2n and  $h_{top}(g) = \frac{h_{top}(f)}{2}$ . If f is piecewise monotone, then g is piecewise monotone too.

#### 4.2 Piecewise monotone map of given entropy and type

**Theorem 4.2** Let  $p \geq 3$  be an odd integer, let d be a non negative integer and  $\lambda$  a real number such that  $\lambda \geq \lambda_p$ . Then there exists a piecewise monotone map f whose type is  $2^d p$  for Sharkovskii's order and such that  $h_{top}(f) = \frac{\log \lambda}{2^d}$ . If d = 0, the map f can be built in such a way that it is topologically mixing.

*Proof.* If d = 0, we take  $f = f_{p,\lambda}$  defined in Section 3.

If d > 0, we start with the map  $f_{p,\lambda}$ , then we build the square root of  $f_{p,\lambda}$ , then the square root of the square root, etc. According to Proposition 4.1, after d steps we get a piecewise monotone interval map f of type  $2^d p$  and such that  $h_{top}(f) = \frac{h_{top}(f_{p,\lambda})}{2^d} = \frac{\log \lambda}{2^d}$ .

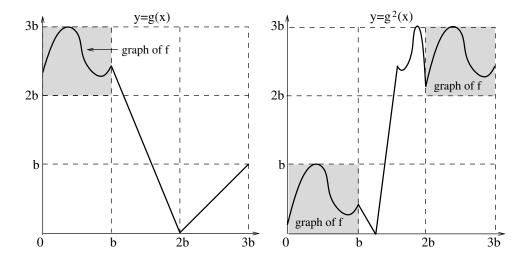


Figure 4: The left side represents the map g, which is the square root of f. The right side represents the map  $g^2$ .

Corollary 4.3 For every positive real number h, there exists a piecewise monotone interval map f such that  $h_{top}(f) = h$ .

*Proof.* Let  $d \geq 0$  be an integer such that  $\frac{\log \lambda_3}{2^d} \leq h$  and set  $\lambda := \exp(2^d h)$ . Then  $\lambda \geq \lambda_3$  and, according to Theorem 4.2, there exists a piecewise monotone interval map f of type  $2^d 3$  such that  $h_{top}(f) = \frac{\log \lambda}{2^d} = h$ .

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