

# Noncommutative individual ergodic theorems for groups with polynomial growth

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Orsay, December 2017

## Recall: Birkhoff ergodic theorem

**Theorem** Let  $(\Omega, P)$  be a probability space and let  $T : \Omega \rightarrow \Omega$  be a measure-preserving transformation. Let  $I$  be the  $\sigma$ -subalgebra of  $T$ -invariant sets. Then for every  $f \in L_p(\Omega)$  ( $1 \leq p < \infty$ ), we have

$$\frac{1}{N} \sum_{k=0}^{N-1} f \circ T^k \rightarrow \mathbb{E}(f|I), \quad \text{as } n \rightarrow \infty,$$

for a.e.  $x \in \Omega$ .

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**Remark** One may generalize the result for actions by groups with “nice” averages.

- ▶ Følner averages of actions by amenable groups: Calderon, Tempelman, Breuillard, Tessera, Nevo, Lindenstrauss...
- ▶ spherical averages of actions by free groups : Nevo, Stein.

## Recall: Calderon ergodic theorem for group actions

$G$ : locally compact group,  $m$ : Haar measure,  $d$ : an invariant metric

Assume  $B_r := \{g \in G : d(g, e) \leq r\}$  satisfy

- ▶ **doubling condition**:  $m(B_{2r}) \leq Cm(B_r)$ ,  $r > 0$ .
- ▶ **asymptotically invariance (or Følner condition)**: for every  $g \in G$ ,

$$\lim_{r \rightarrow \infty} \frac{m((B_r g) \triangle B_r)}{m(B_r)} = 0.$$

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**Theorem** Assume that  $G$  acts on a probability space  $(\Omega, P)$  by measure-preserving transformations  $(T_g)_{g \in G}$ . Let  $\mathcal{I}$  be the  $\sigma$ -subalgebra of  $G$ -invariant sets. Then for every  $f \in L_p(\Omega)$ , we have

$$\frac{1}{m(B_r)} \int_{B_r} (f \circ T_g) dm(g) \rightarrow \mathbb{E}(f|\mathcal{I}), \quad \text{as } r \rightarrow \infty,$$

for a.e.  $x \in \Omega$ .

## Recall: Calderon ergodic theorem for group actions

Example: (Tessera 07; Breuillard 07)

- ▶ If  $G$  is generated by a symmetric compact subset  $V$ , and if  $G$  is of polynomial growth wrt the word metric:

$$m(V^n) \leq Cn^q, \quad n \in \mathbb{N}$$

for some  $C$  and  $q$ , then  $(V^n)_{n \in \mathbb{N}}$  are asymptotically invariant, and therefore

$$\frac{1}{m(V^n)} \int_{V^n} (f \circ T_g) dm(g) \rightarrow \mathbb{E}(f|I), \quad \text{as } n \rightarrow \infty,$$

for a.e.  $x \in \Omega$  and in  $\|\cdot\|_p$ .

- ▶ more general invariant metrics quasi-isometry to word metric, etc.

## Generalizations to the noncommutative setting

Aim: analogues for group actions on **von Neumann algebras**

- ▶ Lance (76') introduced an analogue for the noncommutative setting of a.e. convergence:

Let  $\mathcal{M}$  be a vNA equipped with a normal faithful state  $\varphi$ .

$(x_n)_{n \geq 1} \subset \mathcal{M}$  is said to converge **almost uniformly** (a.u. in short) to  $x$  if for every  $\varepsilon > 0$  there is a projection  $e \in \mathcal{M}$  such that

$$\varphi(e^\perp) < \varepsilon \quad \text{and} \quad \lim_{n \rightarrow \infty} \|(x_n - x)e\|_\infty = 0.$$

- ▶ Egorov: in the classical case, a.u. convergence  $\Leftrightarrow$  a.e. convergence.

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- ▶ Egorov: in the classical case, a.u. convergence  $\Leftrightarrow$  a.e. convergence.
- ▶ **Noncommutative**  $L_p$ -space: (assume  $\varphi$  tracial) Let  $1 \leq p < \infty$ .

$$L_p(\mathcal{M}) = \text{completion of } (\mathcal{M}, \|\cdot\|_p), \quad \|x\|_p = [\varphi(|x|^p)]^{1/p}.$$

One may define a.u. convergence for  $L_p(\mathcal{M})$  in the same way.



## Noncommutative individual ergodic theorem: $\mathbb{Z}$ -actions

**Theorem (Lance 76; Yeadon 77; Junge-Xu 07)** Let  $T$  be an automorphism of  $\mathcal{M}$  s.t.  $\varphi = \varphi \circ T$ . Then for  $x \in L_p(\mathcal{M})$  ( $1 \leq p < \infty$ )

$$\frac{1}{N} \sum_{k=0}^N T^k x \rightarrow Px \text{ a.u., as } N \rightarrow \infty,$$

where  $P$  is the projection onto  $\text{Fix}(T) \subset L_p(\mathcal{M})$ .

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- ▶ Anantharaman 06, Hu 08: individual ergodic theorem for free group actions on von Neumann algebras
- ▶ How about actions by amenable groups?

## Main result

$G$ : locally compact group,  $m$ : Haar measure,  $d$ : an invariant metric

Assume  $B_r := \{g \in G : d(g, e) \leq r\}$  satisfy

- ▶ **doubling condition**:  $m(B_{2r}) \leq Cm(B_r)$ ,  $r > 0$ .
- ▶ **asymptotically invariance (or Følner condition)**: for every  $g \in G$ ,

$$\lim_{r \rightarrow \infty} \frac{m((B_r g) \triangle B_r)}{m(B_r)} = 0.$$

**Theorem (Hong-Liao-W.)** Assume that  $\alpha : G \rightarrow \text{Aut}(\mathcal{M})$  is a  $w^*$ -continuous homomorphism such that  $\varphi = \varphi \circ \alpha_g$  for all  $g \in G$ . Then for  $x \in L_p(\mathcal{M})$  ( $1 \leq p < \infty$ ),

$$\frac{1}{m(B_r)} \int_{B_r} \alpha_g x dm(g) \rightarrow Px \text{ a.u.}, \quad \text{as } r \rightarrow \infty,$$

where  $P$  is the projection onto  $\bigcap_{g \in G} \text{Fix}(\alpha_g) \subset L_p(\mathcal{M})$ .

## A standard scheme for studying a.u. convergence

In general, there is a standard scheme (“Banach principle”) for the proof of a.u. convergence:

If we have:

- ▶ convergence in Banach space norm (well-known in our setting)
- ▶ a.u. convergence for a dense subspace in  $L_p(\mathcal{M})$  (sometimes easy)
- ▶ “noncommutative maximal inequalities” (difficult)

Then we may deduce the a.u. convergence on  $L_p(\mathcal{M})$ . (Chilin, Litvinov, Skalski 05'- )

**Maximal inequalities:** Classically, for a family of positive maps  $A_i : L_p \rightarrow L_p$ ,  $i \in I$ , we would like to estimate the  $L_p$ -bound of the maximal operator  $f \mapsto \sup_i A_i f$ . In this talk, we always refer to

- ▶ weak  $(1, 1)$  inequality:  $\forall \lambda, P(\{\omega \in \Omega : \sup_i A_i f > \lambda\}) \leq C \frac{\|f\|_1}{\lambda}$ .
- ▶ strong  $(p, p)$  inequality:  $\|\sup_i A_i f\|_p \leq C \|f\|_p$ ,  $p > 1$ .

## Noncommutative maximal inequalities

There is a highly non-trivial generalized notion of **noncommutative maximal inequalities** (Pisier's work on  $L_p(\mathcal{M}; \ell_\infty)$ ), for which we will only cite the following observation in this talk:

**Fact** If  $\forall x \in L_p(\mathcal{M})_+$ ,  $0 \leq A_r x \leq \tilde{A}_r x$ , then:  
maximal inequalities of  $(\tilde{A}_r) \Rightarrow$  maximal inequalities of  $(A_r)$   
( $\Rightarrow$  ergodic theorem in our setting)

**Theorem (Hong-Liao-W.)** Let  $G, \alpha$  be as before. We have

$$A_r := \frac{1}{m(B_r)} \int_{B_r} \alpha_g dm(g), \quad r > 0,$$

satisfies the maximal inequalities.

**Idea:** Find nice control  $A_r \leq \tilde{A}_r$  for which the maximal inequalities of  $(\tilde{A}_r)$  are known.

- ▶ For  $\mathbb{Z}_n$ -actions : Brunel 73
- ▶ For free group actions : Nevo-Stein 94, Anantharaman 06

## Domination via Markov operators (case of word metrics)

$G$ : group of polynomial growth wrt a symmetric cpt generating set  $V$ .  
 $d$ : word metric.

**Gaussian estimate in random walk theory:** Let  $f$  be a “nice” density function with  $\text{supp}(f) \supset V$ .  $\exists c > 0, \forall k$ ,

$$f^{*k}(g) \geq \frac{ce^{-d(e,g)^2/k}}{m(B_{\sqrt{k}})}, \quad g \in B_k.$$

**Proposition** For any integer  $n$ ,

$$\frac{\chi_{V^n}}{m(V^n)} \leq \frac{c}{2n^2} \sum_{k=1}^{2n^2} f^{*k}.$$

Let  $T = \frac{1}{m(V)} \int_V \alpha_g dm(g)$ . Then there exists a constant  $c$  such that

$$\frac{1}{m(V^n)} \int_{V^n} \alpha_g x dm(g) \leq \frac{c}{n^2} \sum_{k=1}^{2n^2} T^k x, \quad x \in L_p(\mathcal{M})_+.$$

**Yeadon;Junge-Xu:** RHS satisfies maximal inequalities. ( $\Rightarrow$  LHS as well)

## Domination via martingales (case of general metrics)

- ▶ Mei 07: Classical  $BMO(\mathbb{R}^n)$  is the intersection of  $n + 1$  copies of dyadic- $BMO$ . Doob's martingale inequality implies the Hardy-Littlewood maximal inequality on  $\mathbb{R}^n$ .
- ▶ Naor-Tao 10, Hytonen-Kaimera 12: filtrations of random partitions of doubling metric spaces

**Proposition** Let  $(X, d, \mu)$  be a doubling metric measure spaces. For some finite  $N$  and for each  $1 \leq i \leq N$ , one may construct a filtration  $\{\mathcal{I}_n^{(i)} : n \in \mathbb{Z}\}$  of  $\sigma$ -subalgebras on  $X$ . For each  $r$  and  $x \in X$ ,  $\exists n(r), i$  s.t. for  $f \in L_p(X; L_p(\mathcal{M}))_+$ ,

$$\frac{1}{\mu(B(x, r))} \int_{B(x, r)} f \leq c(\mathbb{E}(\cdot | \mathcal{I}_{n(r)}^{(i)}) \otimes Id_{L_p(\mathcal{M})})(f)(x).$$

Cuculescu, Junge, Xu: RHS (“noncommutative martingale” on  $L_\infty(X) \bar{\otimes} \mathcal{M}$ ) satisfies maximal inequalities. (Hence LHS as well)

## Operator-valued Hardy-Littlewood maximal inequalities

**Corollary** Let  $(X, d, \mu)$  be a doubling metric measure spaces. Then

$$M_r f(x) := \frac{1}{\mu(B(x, r))} \int_{B(x, r)} f, \quad x \in X, r > 0, f \in L_p(X; L_p(\mathcal{M}))$$

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Taking  $(X, d, \mu) = (G, d, m)$  the group with doubling conditions, we obtain the maximal inequalities for the **translation action**  $\alpha$  on **vector-valued** functions on  $G$ :

**Corollary** We have

$$A_r f := \frac{1}{m(B_r)} \int_{B_r} f(\cdot h) dm(h), \quad r > 0, f \in L_p(G; L_p(\mathcal{M}))$$

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satisfies the maximal inequalities.

- ▶ Then we can deduce the results for **arbitrary** actions by **transference**.

# A noncommutative Calderon transference principle

Assume  $G$  is amenable.

- ▶ **Calderon**: maximal inequalities for translation action  $G \times G \rightarrow G$   
 $\Rightarrow$  maximal inequalities for general action  $G \times (\Omega, P) \rightarrow (\Omega, P)$ .

# A noncommutative Calderon transference principle

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- ▶ **Calderon**: maximal inequalities for translation action  $G \times G \rightarrow G$   
 $\Rightarrow$  maximal inequalities for general action  $G \times (\Omega, P) \rightarrow (\Omega, P)$ .

**Theorem (Hong-Liao-W.)** Let  $(\mu_n)_{n \geq 1}$  be a sequence of Radon probability measures on  $G$ . If

$$A'_n f = \int_G f(\cdot h) d\mu_n(h), \quad f \in L_p(G; L_p(\mathcal{M})), n \geq 1,$$

satisfies maximal inequalities, then for an action of  $G$  on  $L_p(\mathcal{M})$ ,

$$A_n x = \int_G \alpha_g x d\mu_n(g), \quad x \in L_p(\mathcal{M}), n \geq 1$$

satisfies the maximal inequalities of the same type.

Thank you very much!