

# Study of the Yoccoz-Birkeland population model

Sylvain Arlot

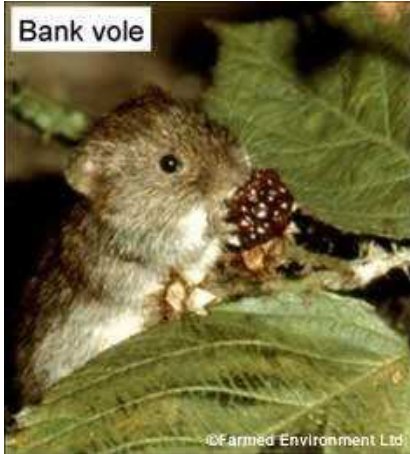
CNRS, École Normale Supérieure

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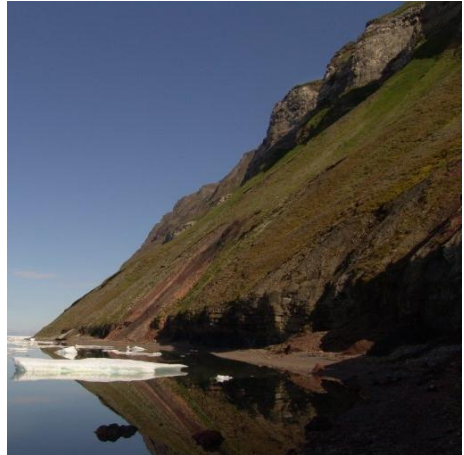
# Plan

- 1 The model
  - Voles in the Arctic
  - Description of the model
  - Mathematical analysis
- 2 Simulation study
  - Principle
  - Exploring the parameter space
  - Study of an attractor:  $(0.15 ; 0.30 ; 8.25)$

## *Clethrionomys glaerolus*



## *Microtus epiroticus* on Svalbard:



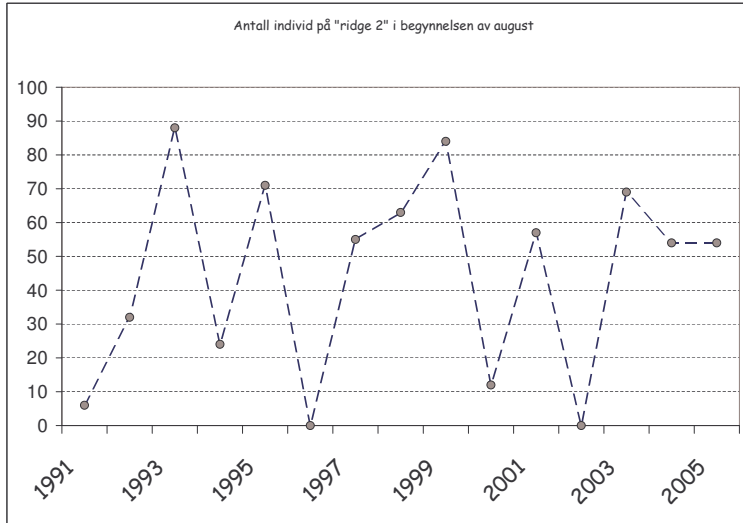
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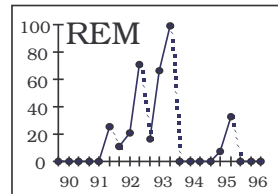
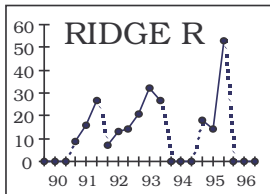
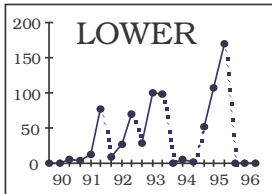
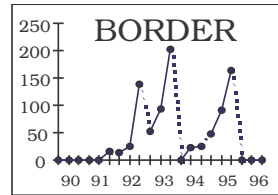
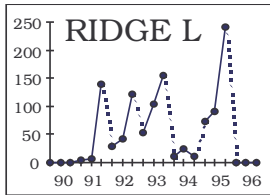
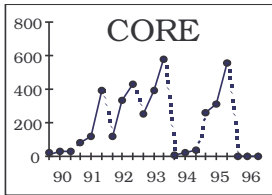
- arctic environment
- high fertility rate
- few breeding places (permafrost)
- no reproduction in winter (snow)

(N. Yoccoz, Ims & Steen 1993)

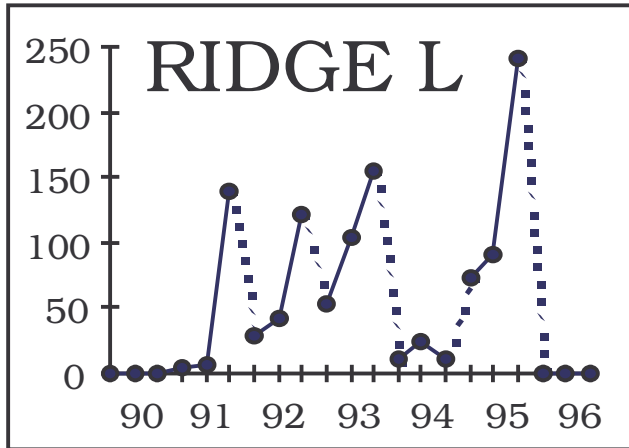
# Between-year variation (N. Yoccoz *et al*)



# Seasonal variation (N. Yoccoz *et al*)



## Seasonal variation (N. Yoccoz *et al*)



## Possible mechanisms

High fertility rate, strongly density-dependent, and:

- available food quantity (N. Yoccoz *et al* 2001)
- predation by arctic fox (Turchin *et al* 2000; Frajford 2002)
- environmental variability (N. Yoccoz & Ims 1999)
- plasticity of the maturation age (Lambin & N. Yoccoz 2001)

Are such variations still possible if the maturation age and the environment are constant?



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# The model (J.-C. Yoccoz & Birkeland 1998)

$$N(t) = \int_{A_0}^{A_1} S(a) m_\rho(t-a) N(t-a) m(N(t-a)) da$$

(female and mature population;  $A_0$ : maturation age)

$$\text{Fertility: } m(N) = \begin{cases} m_0 & \text{if } N \leq 1 \\ m_0 N^{-\gamma} & \text{otherwise} \end{cases}$$

$$\text{Seasonal parameter: } m_\rho(t) = \begin{cases} 0 & \text{if } 0 \leq t \leq \rho \text{ mod. } 1 \\ 1 & \text{if } \rho \leq t \leq 1 \end{cases}$$

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# Parameters (N. Yoccoz, Ims, Steen 1993)

- fertility  $m_0 \approx 54$ .
- maturation age  $A_0 \in [0.14; 0.20]$ , minimum = 0.10.
- maximal age  $A_1 \approx 2$ .
- winter length  $\rho \in [0.35; 0.45]$  (temperate climate) ou  $\rho \approx 0.7$  (arctic climate).
- winter survival rate  $\approx 0.1$
- summer survival rate  $\approx 0.85$ .
- density-dependence  $\gamma$
- spring length  $\varepsilon$ .

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# Mathematical analysis: dynamical system

- $\forall t_0 \in [0, 1)$ ,  $Y_{t_0}$  is the set of continuous functions  $N: [-A_1, 0] \mapsto [0, +\infty)$  such that

$$N(0) = \int_{A_0}^{A_1} S(a)m_\rho(t_0 - a)N(-a)m(N(-a))da$$

- Phase space:

$$Y^\sharp = \{(t, N) / t \in \mathbb{R}/\mathbb{Z}, N \in Y_t\}$$

- Semi-group  $(T^s)_{s \geq 0}$ :  $T^s(t, N) = (t + s \bmod 1, N_t^s)$

$$N_t^s(-a) = \begin{cases} N(s-a) & \text{if } 0 \leq s \leq a \leq A_1 \\ \int_{A_0}^{A_1} S(b)N(s-a-b)m(N(s-a-b))m_\rho(t+s-a-b)db & \text{otherwise} \end{cases}$$

$\Rightarrow$  well-defined for  $0 \leq s \leq A_0$ ,

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# Mathematical analysis: assumptions

- $N \mapsto Nm(N)$  is  $K_f$  Lipschitz
- $m_0 \geq m(N) \geq m_0/2$  if  $N \leq 1$
- $m_0 N^{-\gamma} \geq m(N) \geq \min\{1/2, N^{-\gamma}\} m_0$
- $1 \geq m_\rho(t) \geq 0$  for every  $t \in [0, 1]$
- $m_\rho(t) = 1$  on some interval of length  $1 - \rho - \varepsilon > 0$
  
- large domain of the parameter space:
  - $\gamma \geq 1$
  - $A_1 \geq \max\{2A_0, A_0 + 1\}$
  - $m_0 \int_{A_0 + \rho + \varepsilon}^{A_0 + 1} S(a) da > 2$

$\Rightarrow T^s : Y^\# \mapsto Y^\#$  is  $\max\{1, K_f(A_1 - A_0)\}$  Lipschitz w.r.t.  
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# Mathematical analysis: attractor

$$\mathcal{K}_{t_0} = \{t_0\} \times \{N \in Y_{t_0} / N_{\min} \leq N(t) \leq N_{\max} \text{ and } N \text{ is } L\text{-Lipschitz}\}$$

( $N_{\min}$ ,  $N_{\max}$  and  $L$  are explicit functions of  $m_0$ ,  $A_0$ ,  $A_1$  and  $\gamma$ )

- $\forall t_0 \in [0, 1)$ ,  $\mathcal{K}_{t_0}$  is compact w.r.t. the uniform convergence
- $\forall (0, N) \in \mathcal{K}_0$ ,  $\forall s \geq 0$ ,  $T^s(0, N) \in \mathcal{K}_s$
- $\forall N \in Y_0$ ,  $\exists s_0(N) \geq 0$ ,  $\forall s \geq s_0$ ,  $T^s(0, N) \in \mathcal{K}_s$
- $\Lambda = \{(t, N) / t \in \mathbb{R}/\mathbb{Z}, N \in \Lambda_t\}$  where  $\Lambda_t = \bigcap_{n \in \mathbb{N}} T^n(\mathcal{K}_t)$
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# Mathematical results (J.-C. Yoccoz & Birkeland 1998)

- Theorem:  $\Lambda$  is an attractor for  $(T^s)_{s \geq 0}$ , which attracts any initial condition  $(0, N)$  with  $N \in Y_0$ .
- Unseasonal model: an equilibrium exists, that is stable when  $1 \leq \gamma \leq \gamma_0 \approx 6.2$ . Hopf bifurcation when  $\gamma$  crosses  $\gamma_0$ ?



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## Discretization of the dynamical system

- Each year is divided into  $p = 100$  intervals
- Define  $I_k = [(k-1)/p; k/p)$  for  $k \geq -pA_1$ ,  $k \in \mathbb{N}$
- $n_k$ : number of births in  $I_k$
- $N_k$ : average number of mature females during  $I_k$
- $e_k$ : average of  $m_{\rho, \varepsilon}$  over  $I_k$
- $s_k$ : fraction of surviving mature females among females born  $k$  time intervals before

$$n_i = \frac{m(N_i) \times N_i \times e_i}{p}$$

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## Discretization of the dynamical system

- Each year is divided into  $p = 100$  intervals
- Define  $I_k = [(k - 1)/p; k/p)$  for  $k \geq -pA_1$ ,  $k \in \mathbb{N}$
- $n_k$  : number of births in  $I_k$
- $N_k$  : average number of mature females during  $I_k$
- $e_k$  : average of  $m_{\rho,\varepsilon}$  over  $I_k$
- $s_k$  : fraction of surviving mature females among females born  $k$  time intervals before

$$n_i = \frac{m(N_i) \times N_i \times e_i}{p}$$

$$N_i = \sum_{k=1}^{A_1 p} (s_k \times n_{i-k})$$

# Principle of the simulation experiments

- $A_1 = 2$     $m_0 = 50$     $\varepsilon = 0.1$
- $(A_0; \rho; \gamma)$  varying
- Stationary behaviour:  $t \geq t_0 = 10000$  (for *one* arbitrary initial condition).
- $t = 0$  at the end of summer
- Bifurcation diagram:  $(x, N(t))$ .
- Projection on  $\mathbb{R}^3$ :  $(N(t), N(t+1), N(t+2))$  (Whitney theorem, assuming a fractal dimension  $D < 3/2$  for  $\omega(N)$ ).

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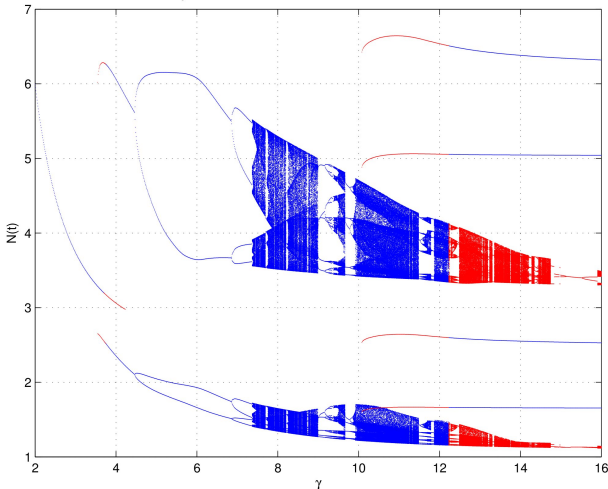
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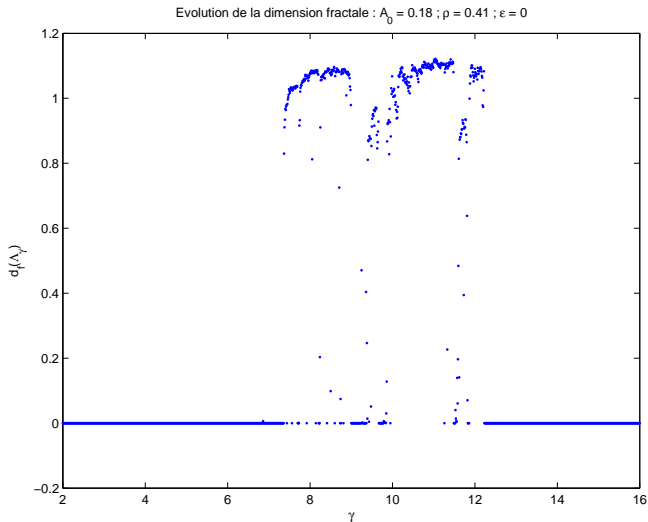


$$(A_0 = 0.18 ; \rho = 0.41 ; \gamma \in [2; 16])$$

$A_1 = 2 ; \varepsilon = 0 ; m_0 = 50 ; m(N) C^1 ; 100$  pas de temps par an  
 $A_0 = 0.18 ; \rho = 0.41 ; \gamma$  variable ;  $19000 \leq t \leq 19999$

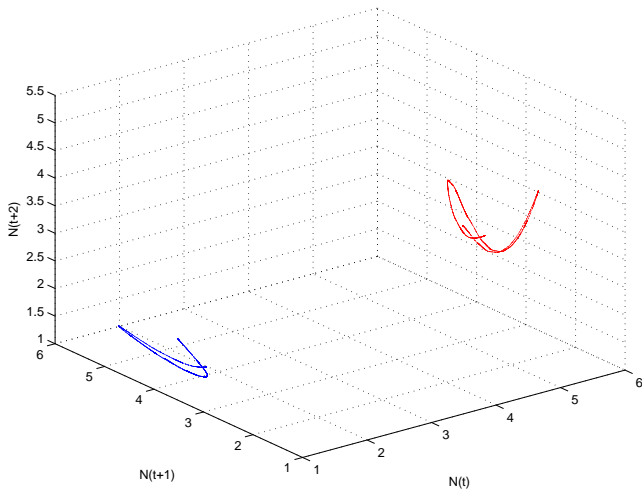


# $(A_0 = 0.18 ; \rho = 0.41 ; \gamma \in [2; 16])$ : Fractal dimension



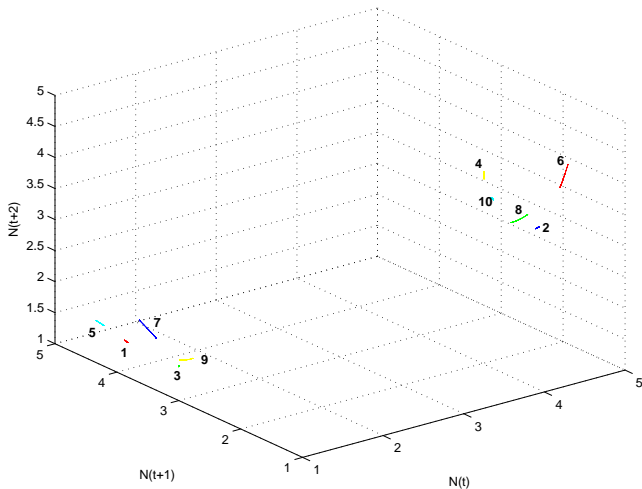
$(A_0 = 0.18 ; \rho = 0.41 ; \gamma = 8.61) : d_f \approx 1.06$

$A_0 = 0.18 A_1 = 2 ; \rho = 0.410 \epsilon = 0 ; m_0 = 50 \gamma = 8.61 \text{ m(N) C}^1$   
 $10001 \leq t \leq 19998 ; 1.2935 \leq N \leq 5.1125 ; 100 \text{ pas de temps par an}$



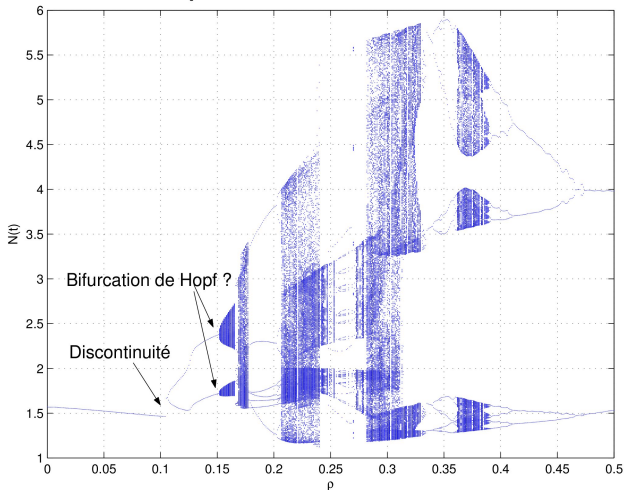
$(A_0 = 0.18 ; \rho = 0.41 ; \gamma = 9.89) : d_f \approx 0.92$

$A_0 = 0.18 \quad A_1 = 2 ; \rho = 0.410 \quad \epsilon = 0 ; m_0 = 50 \quad \gamma = 9.89 \quad m(N) C^1$   
 $10001 \leq t \leq 19998 ; 1.2334 \leq N \leq 4.6427 ; 100 \text{ pas de temps par an}$



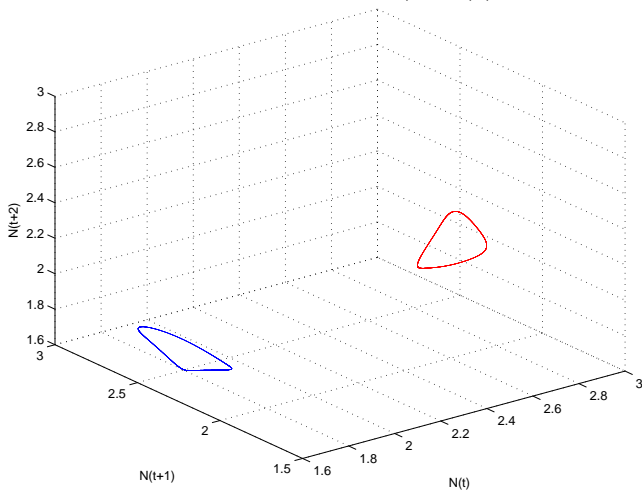
$(A_0 = 0.18 ; \rho \in [0 ; 0.5] ; \gamma = 8.25)$  [see video]

$A_1 = 2 ; \varepsilon = 0.1 ; m_0 = 50 ; m(N) C^1 ; 100$  pas de temps par an  
 $A_0 = 0.18 ; \rho$  variable ;  $\gamma = 8.25 ; 19001 \leq t \leq 20000$



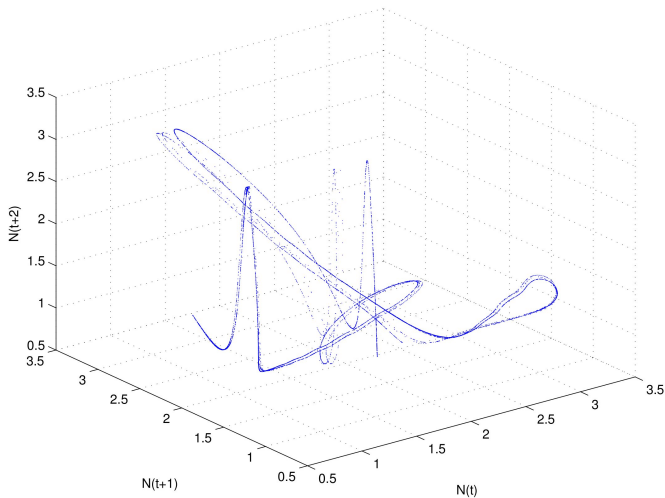
$(A_0 = 0.18 ; \rho = 0.16 ; \gamma = 8.25) : d_f \approx 0.99$

$A_0 = 0.18 A_1 = 2 ; \rho = 0.160 \varepsilon = 0.1 ; m_0 = 50 \gamma = 8.25 \text{ m(N) C}^1$   
 $10002 \leq t \leq 19998 ; 1.6909 \leq N \leq 2.6275 ; 100 \text{ pas de temps par an}$



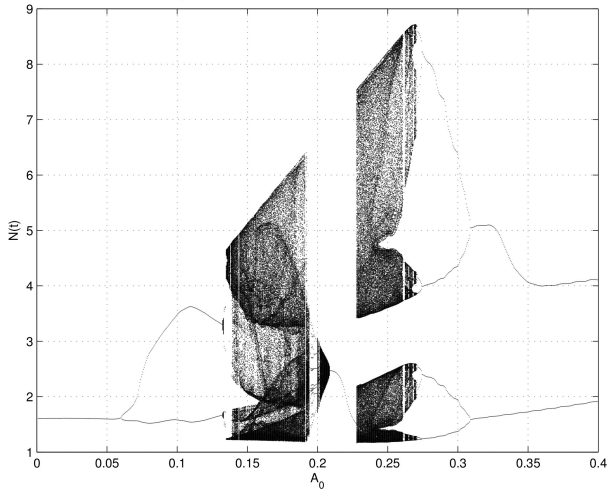
$(A_0 = 0.15 ; \rho = 0.78 ; \gamma = 8.25) : d_f \approx 1.25$

$A_0 = 0.1500 \quad A_1 = 2 ; \rho = 0.780 \quad \epsilon = 0.1 ; m_0 = 50 \quad \gamma = 8.25 \quad m(N) \in C^1 ; 0.5794 \leq N \leq 3.3462$



$$(A_0 \in [0; 0.4]; \rho = 0.30; \gamma = 8.25)$$

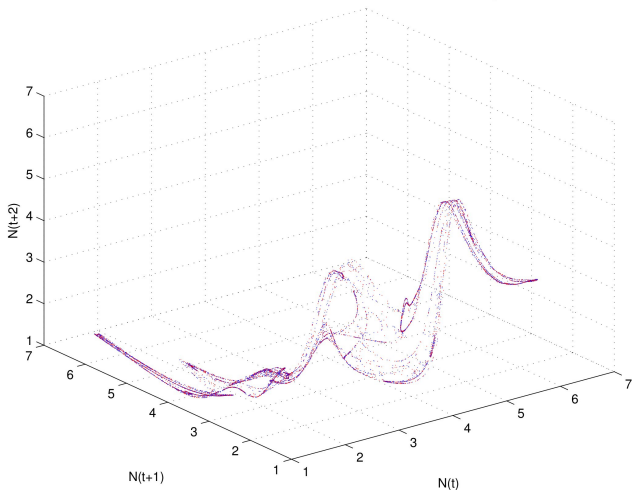
$A_1 = 2; \varepsilon = 0.1; m_0 = 50; m(N) C^1; 100$  pas de temps par an  
 $A_0$  variable;  $\rho = 0.3; \gamma = 8.25; 19001 \leq t \leq 20000$





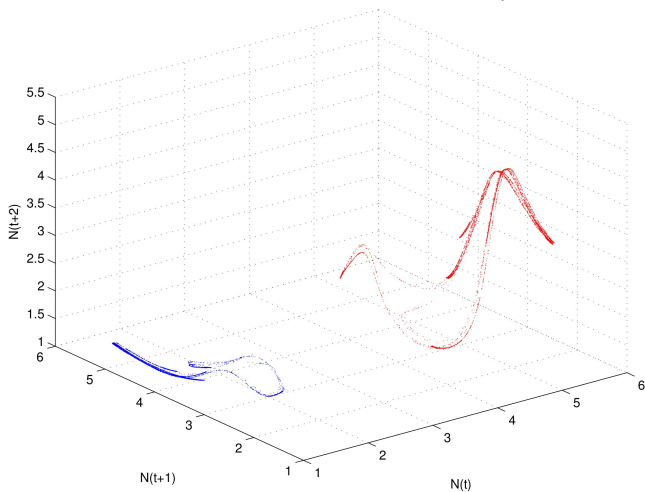
$(A_0 = 0.18 ; \rho = 0.30 ; \gamma = 8.25) : d_f \approx 1.19$

$A_0 = 0.1800 A_1 = 2 ; \rho = 0.300 \epsilon = 0.1 ; m_0 = 50 \gamma = 8.25 \text{ m(N) C}^1$   
 $10002 \leq t \leq 19998 ; 1.1933 \leq N \leq 6.0547 ; 100 \text{ pas de temps par an} ; i_0 \text{ non-entier}$



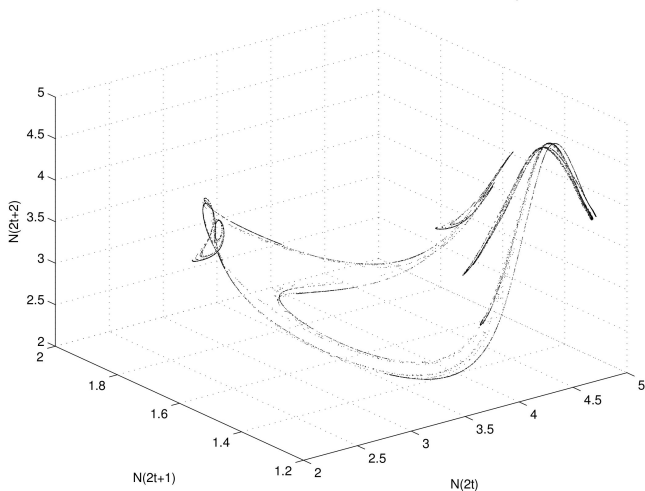
$(A_0 = 0.15 ; \rho = 0.30 ; \gamma = 8.25) : d_f \approx 1.33$

$A_0 = 0.1500$  ;  $A_1 = 2$  ;  $\rho = 0.300$  ;  $\epsilon = 0.1$  ;  $m_0 = 50$  ;  $\gamma = 8.25$  m(N) C<sup>-1</sup>  
 $10002 \leq t \leq 19998$  ;  $1.217 \leq N \leq 5.1353$  ; 100 pas de temps par an ;  $i_0$  non-entier

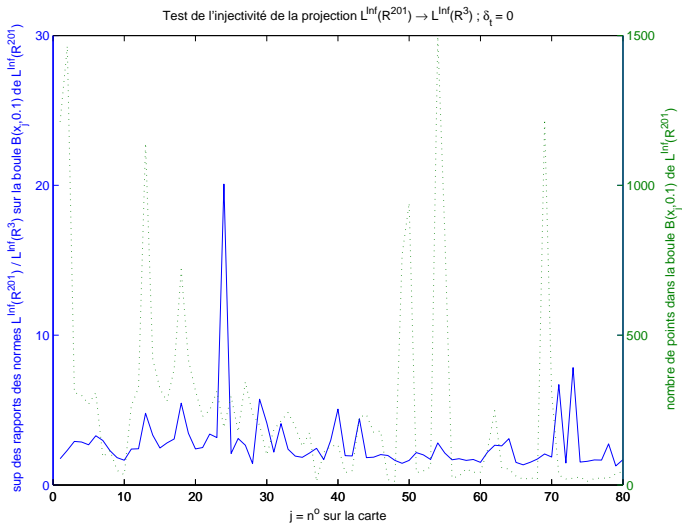


# One connex component (physical measure)

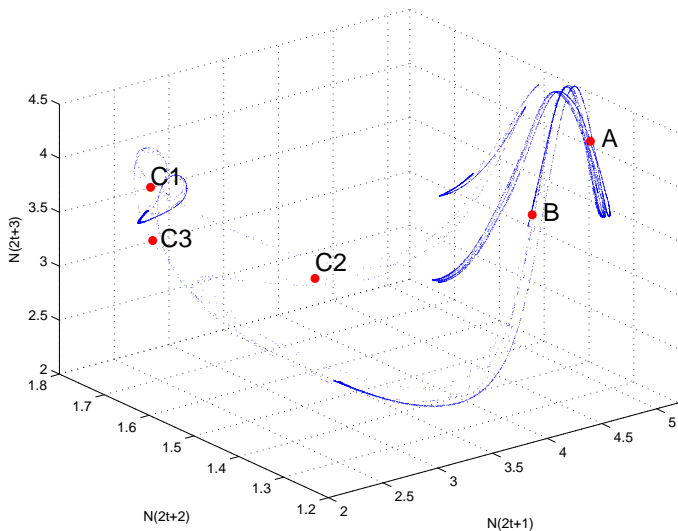
$A_0 = 0.1500$   $A_1 = 2$  ;  $\rho = 0.300$   $\varepsilon = 0.1$  ;  $m_0 = 50$   $\gamma = 8.25$   $m(N) C^1$   
 $180003 \leq 2t \leq 199997$  ;  $1.2123 \leq N \leq 4.9522$  ; 100 pas de temps par an ;  $i_0$  non-entier



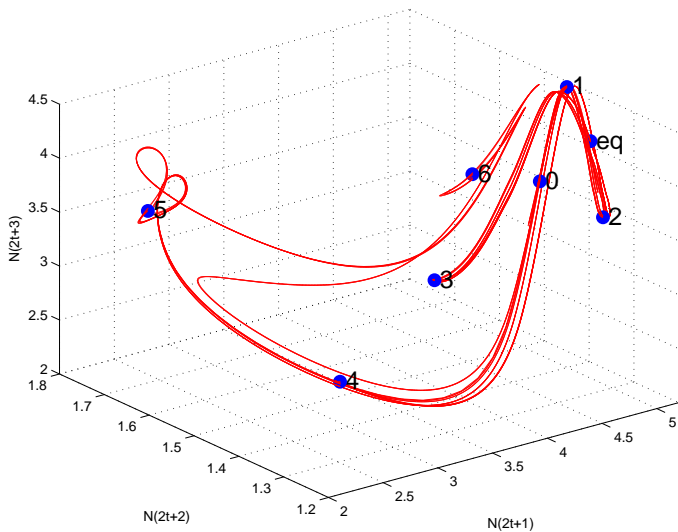
# Injectivity of the projection $\mathbb{R}^{201} \mapsto \mathbb{R}^3$



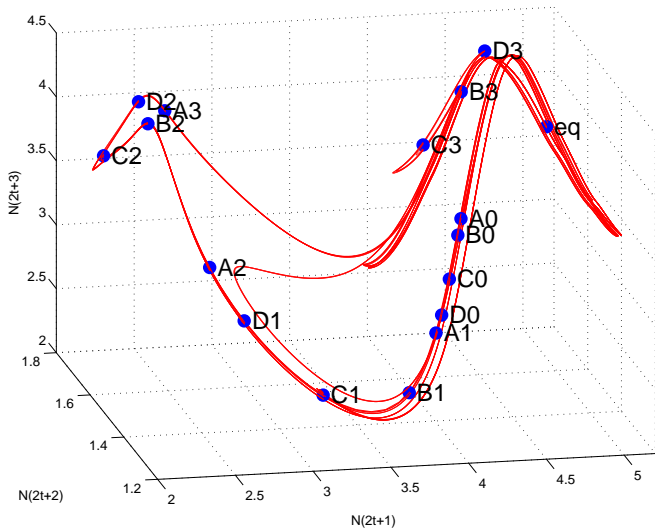
# Dynamics (1): equilibrium, period-2, period-3



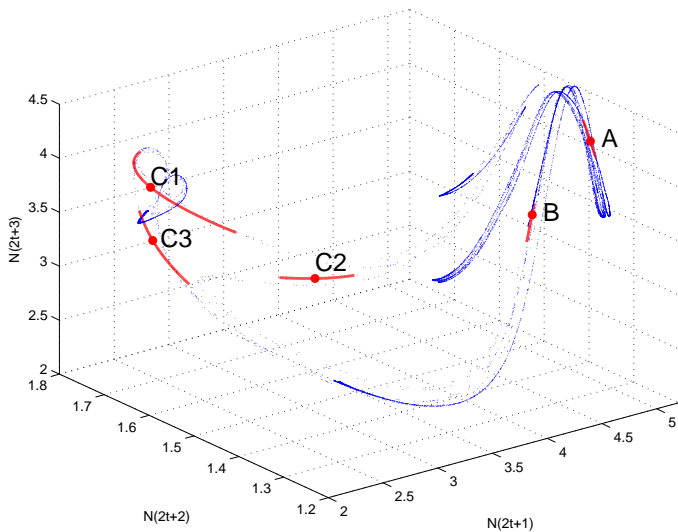
## Dynamics (2)



# Dynamics (3) [+ see video]



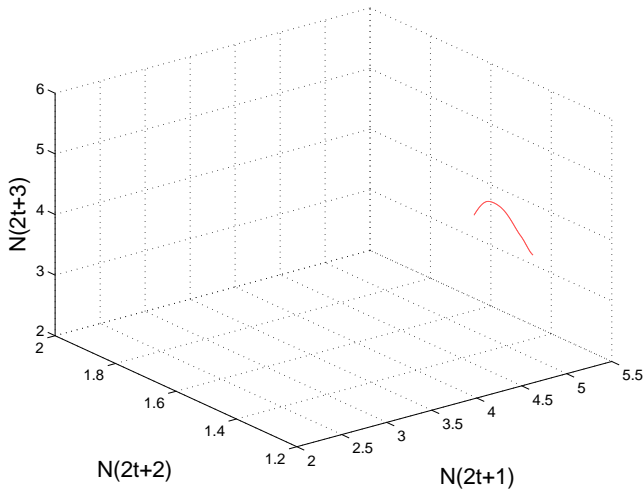
## Remarkable points: local unstable manifolds





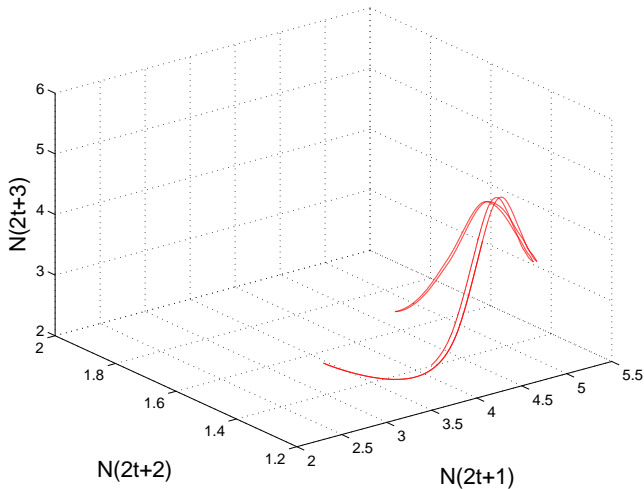
# Equilibrium: expansion of the unstable manifold (1)

Variété instable :  $f^{12}(W^u(x_{eq}))$



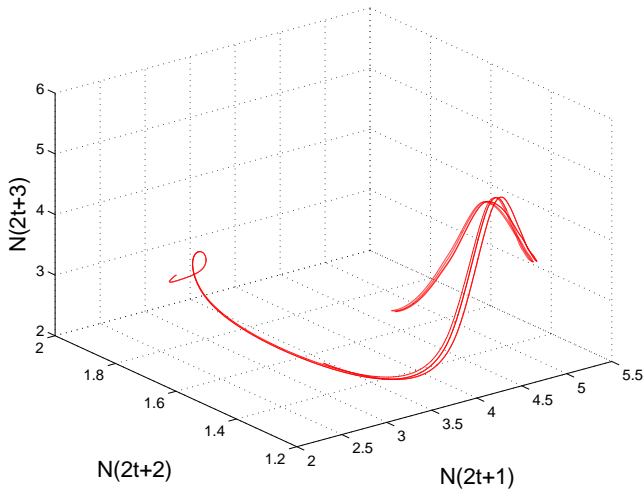
# Equilibrium: expansion of the unstable manifold (2)

Variété instable :  $f^{15}(W^u(x_{eq}))$



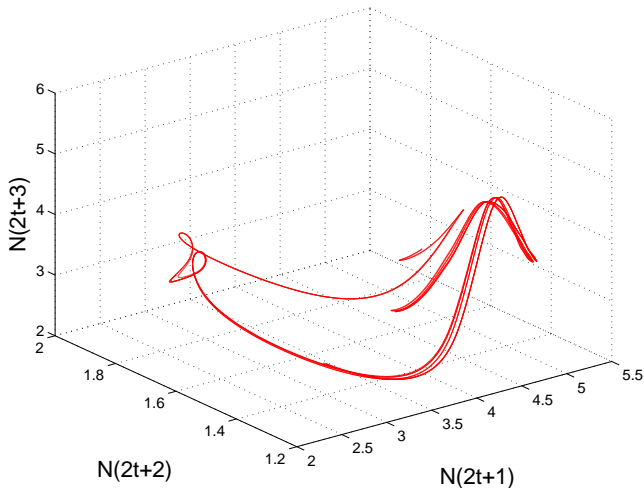
# Equilibrium: expansion of the unstable manifold (3)

Variété instable :  $f^{16}(W^u(x_{eq}))$



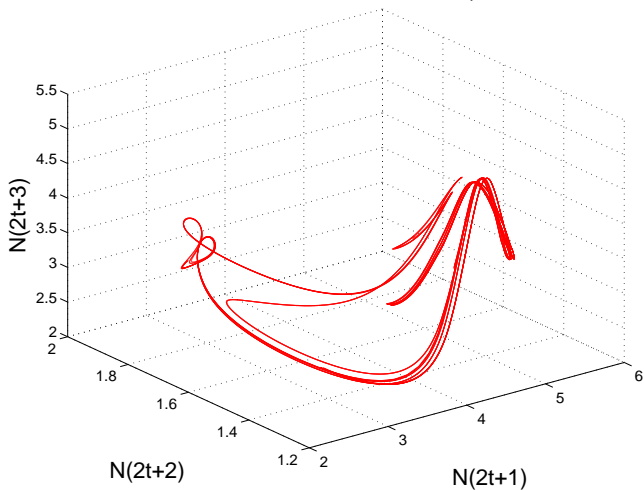
# Equilibrium: expansion of the unstable manifold (4)

Variété instable :  $f^{17}(W^u(x_{eq}))$

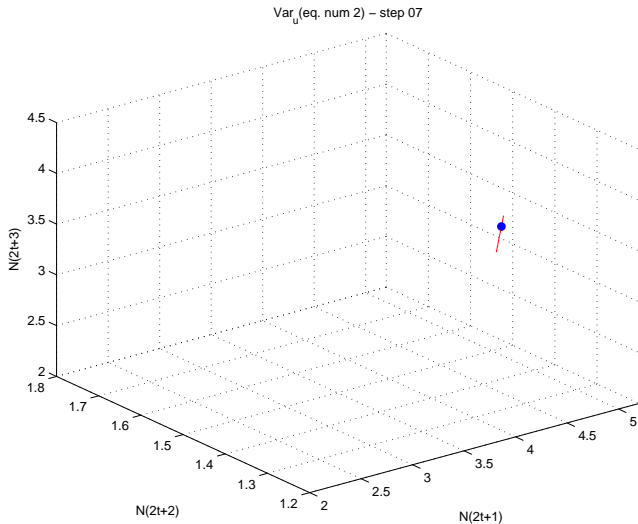


# Equilibrium: global unstable manifold

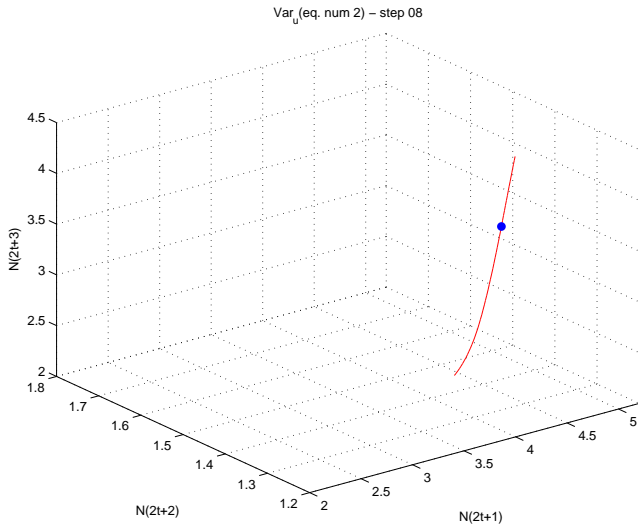
Variété instable :  $f^{18}(W^u(x_{eq}))$



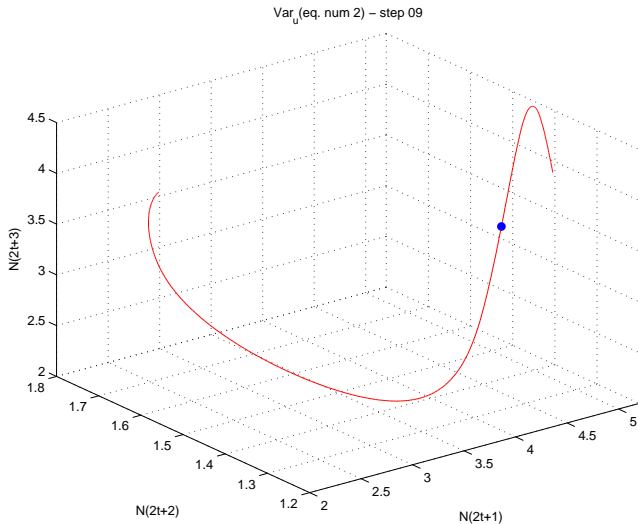
# Period 2: expansion of the unstable manifold (1)



## Period 2: expansion of the unstable manifold (2)

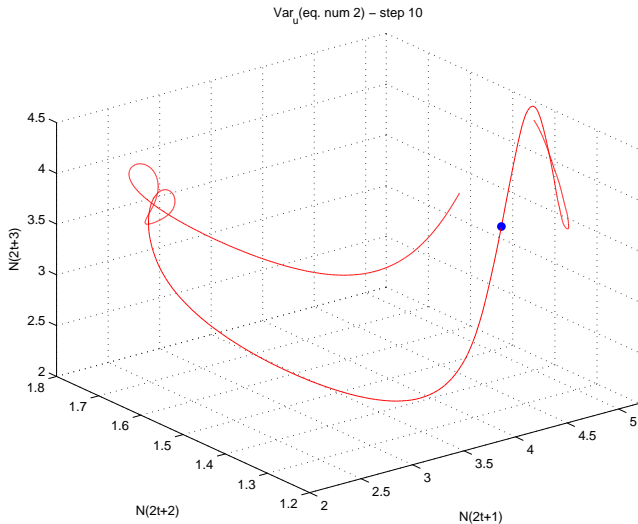


## Period 2: expansion of the unstable manifold (3)

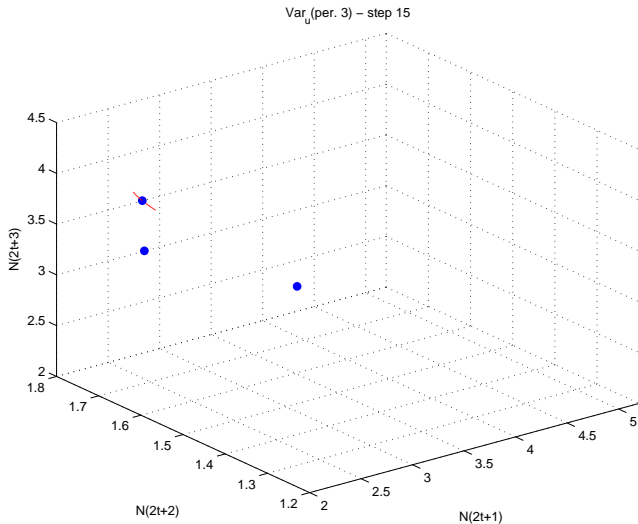




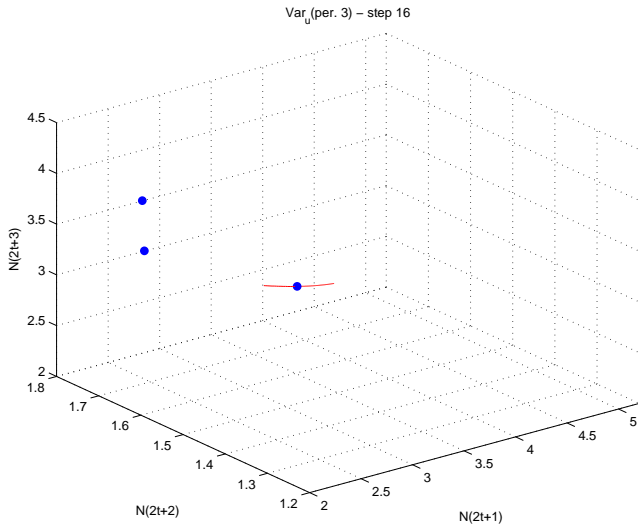
## Period 2: expansion of the unstable manifold (4)



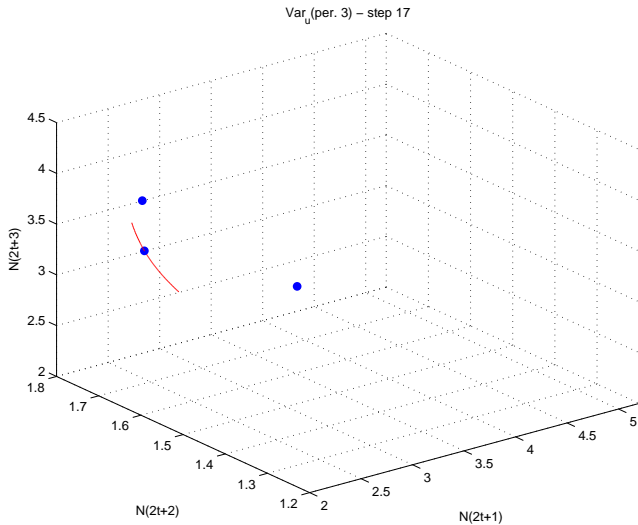
## Period 3: expansion of the unstable manifold (1)



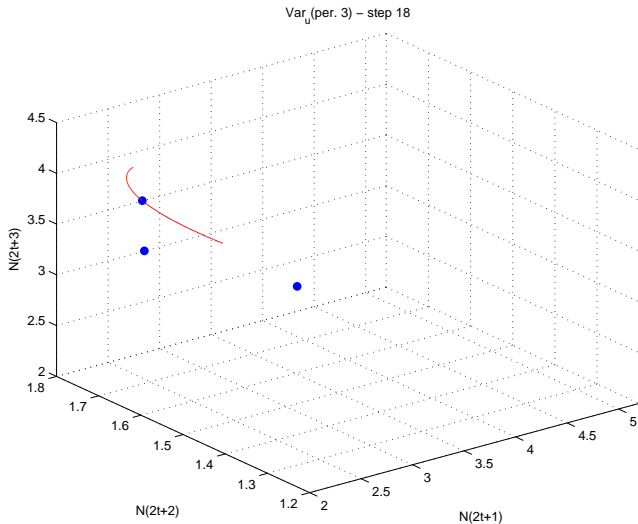
## Period 3: expansion of the unstable manifold (2)



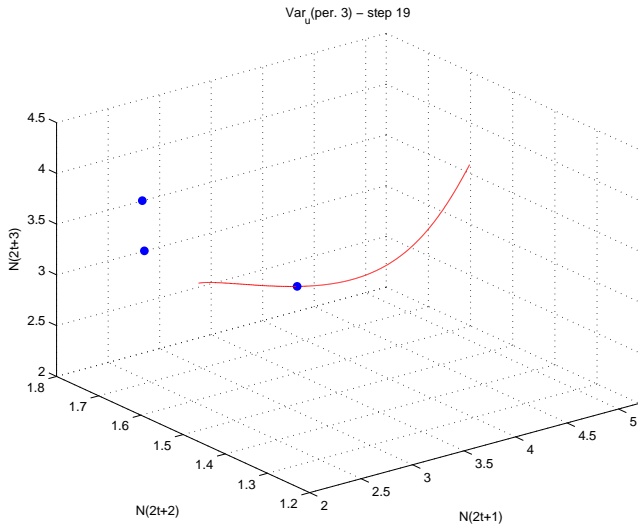
## Period 3: expansion of the unstable manifold (3)



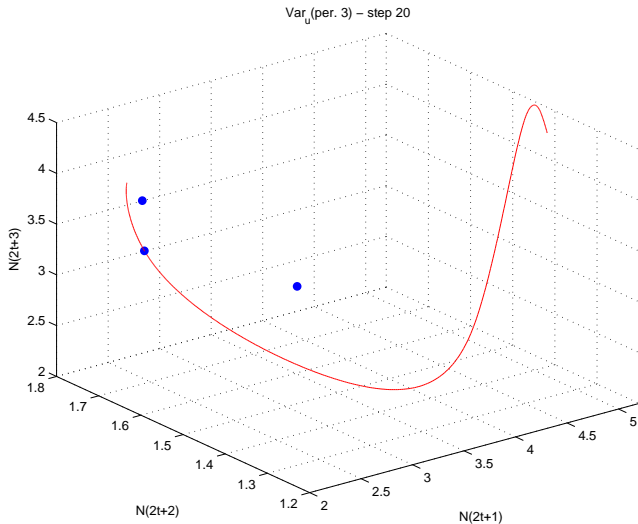
## Period 3: expansion of the unstable manifold (4)



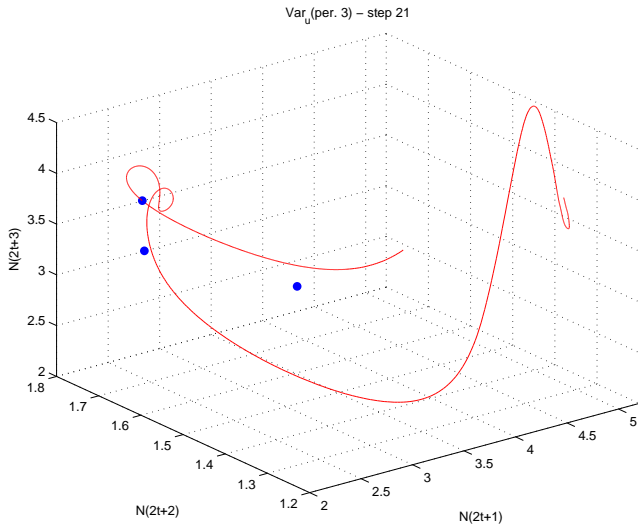
## Period 3: expansion of the unstable manifold (5)



## Period 3: expansion of the unstable manifold (6)

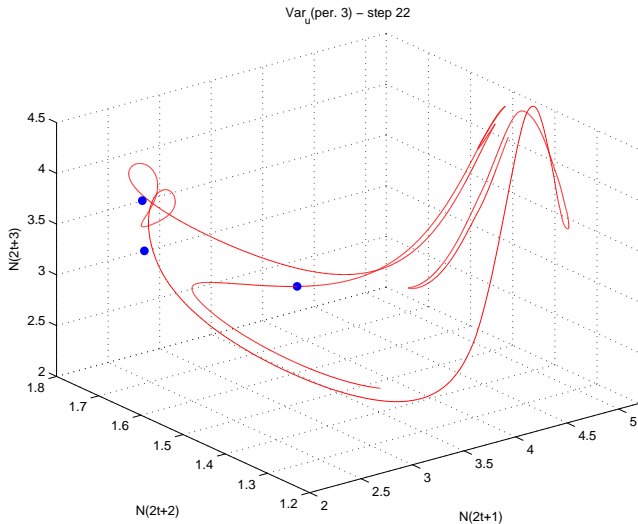


## Period 3: expansion of the unstable manifold (7)

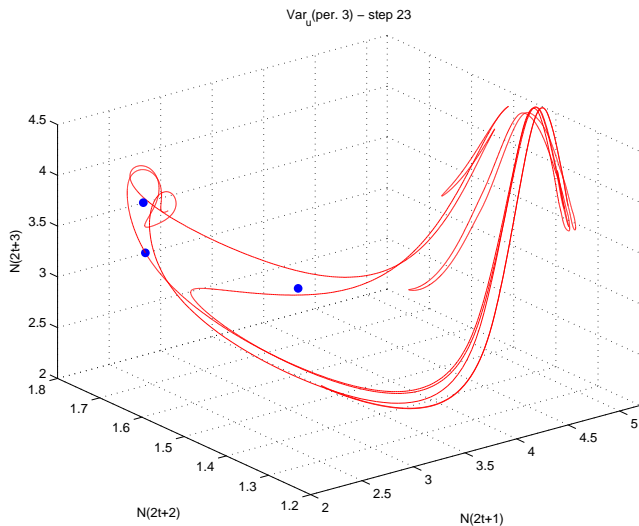




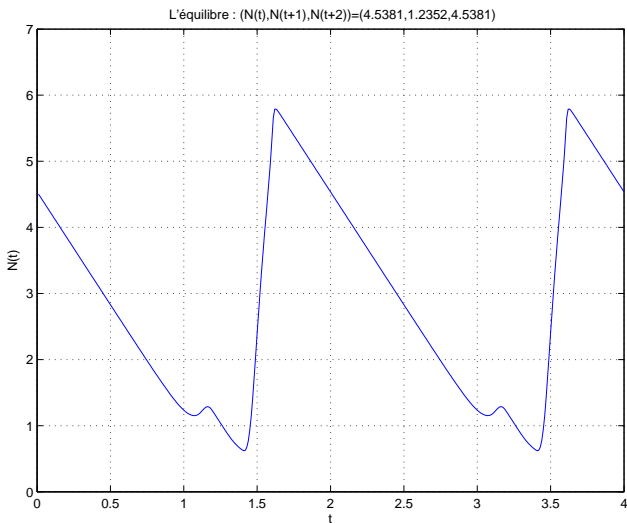
## Period 3: expansion of the unstable manifold (8)



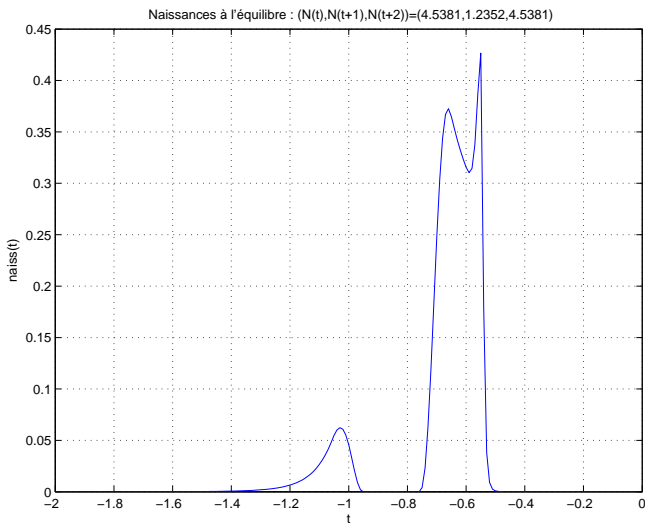
## Period 3: global unstable manifold



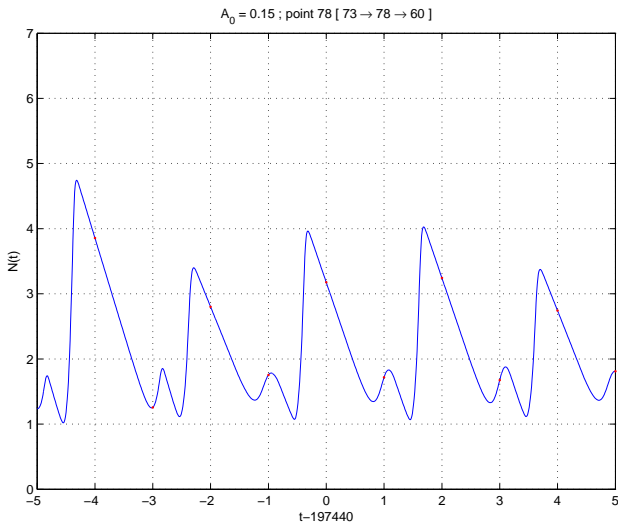
# Dynamics in continuous time: equilibrium



# Dynamics in continuous time: birth at equilibrium

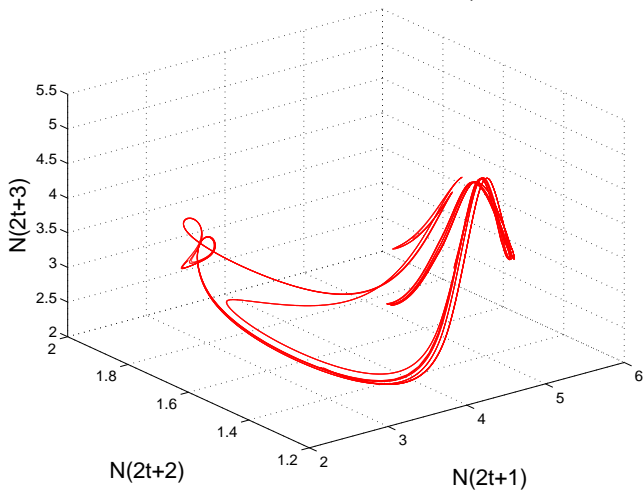


# Dynamics in continuous time: period-3 orbit (triangle)

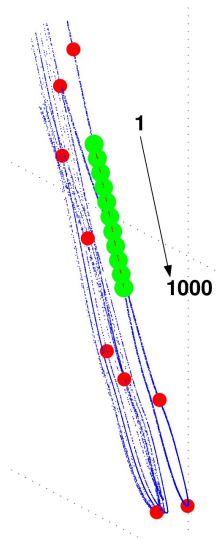


# Attractor: there is a “fold”

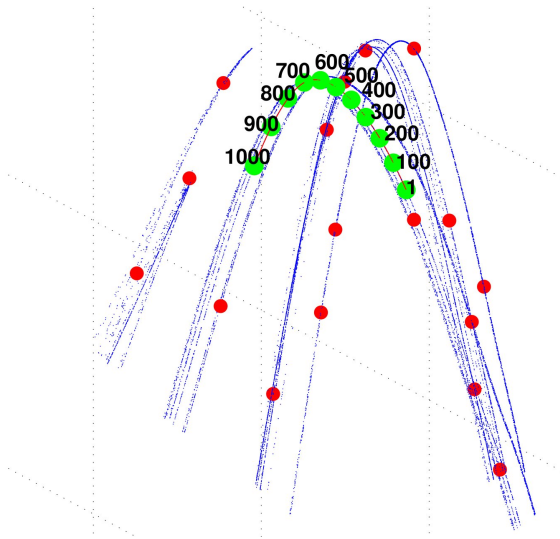
Variété instable :  $f^{18}(W^u(x_{eq}))$



## Folding (1): before the fold

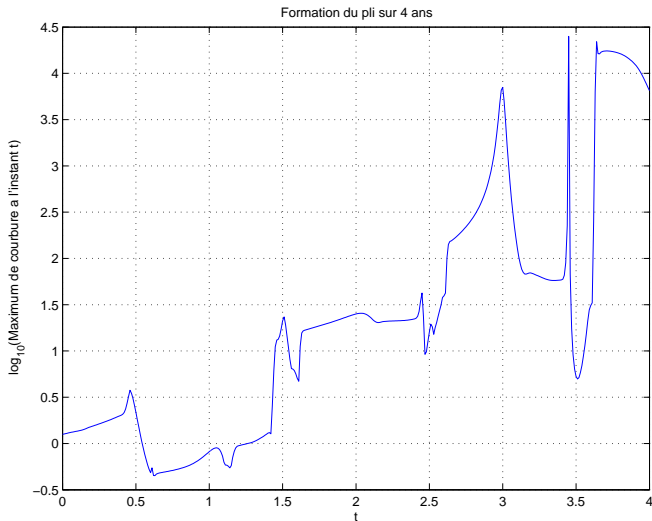


## Folding (2)

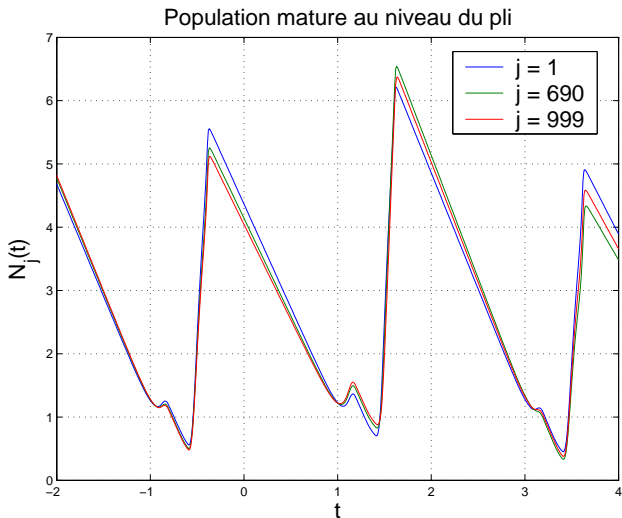




## Folding (3): curvature



# Fold: dynamics in continuous time



## Global dynamics: summary

- shrinking
- stretching
  
- folding (stable in the parameter space, see video)
  
- “branching” (from equilibrium/period-2 to period-3)

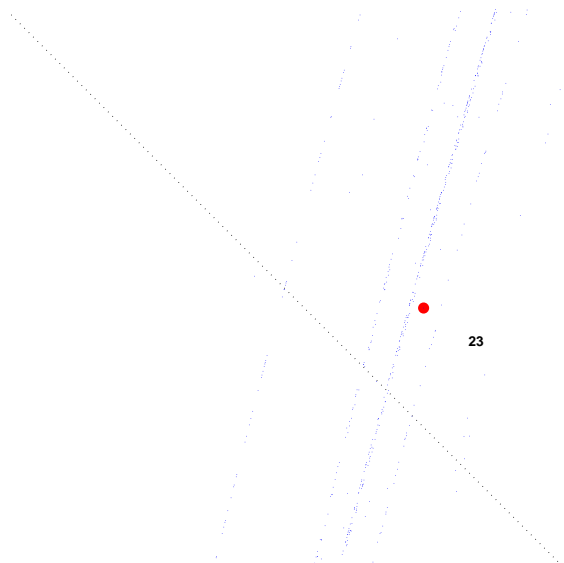
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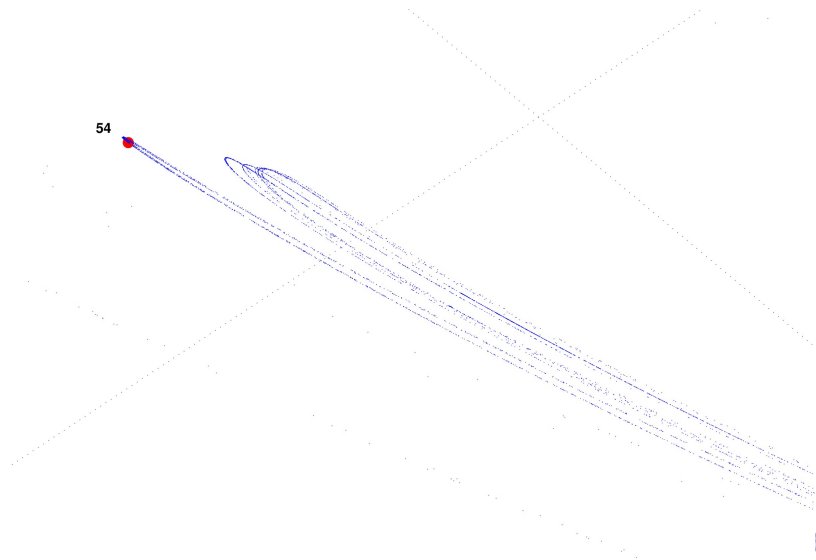
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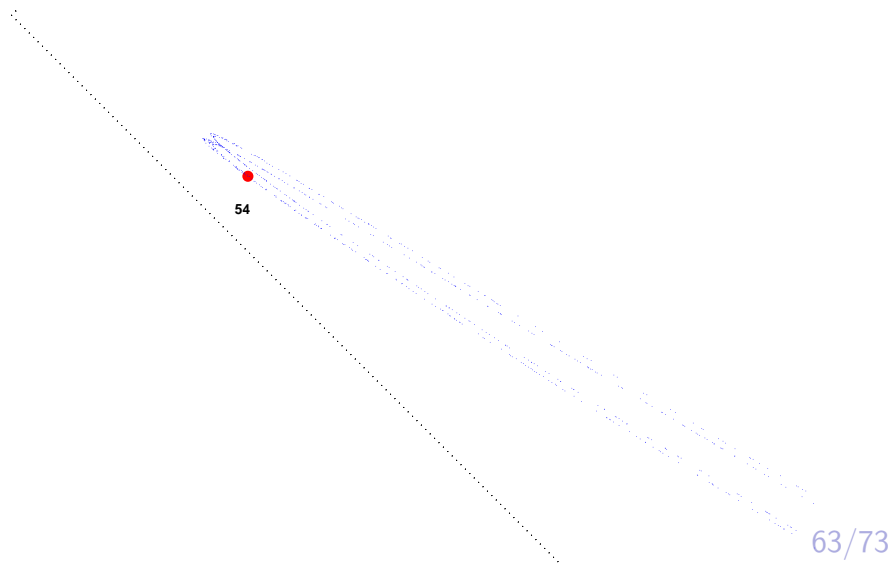
## Local geometry: zoom on a “filament”



## Local geometry: zoom on a peak

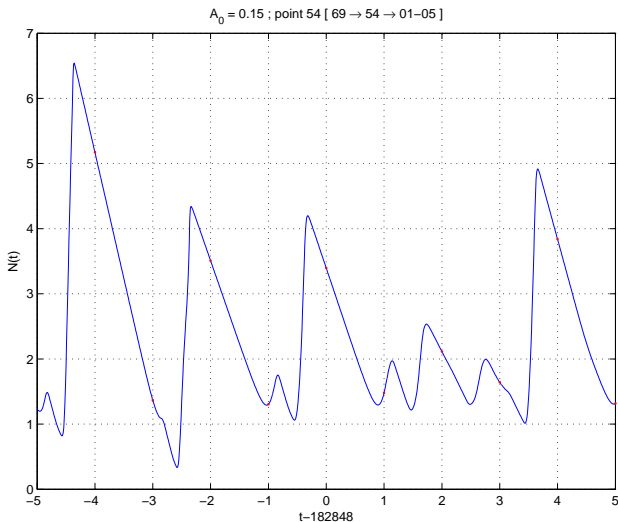


## Local geometry: zoom on a peak

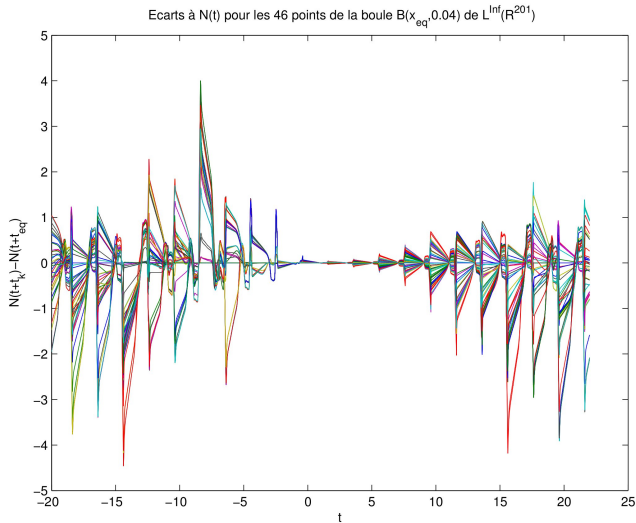




# Dynamics in continuous time: in a “peak”



# Sensitivity to initial conditions around the equilibrium



## Conclusions: conjectures

For some of the parameter values:

- periodic orbits, doubling period bifurcations, sub-harmonic cascade.
- Hopf bifurcations.
- Chaotic dynamics, Henon-type attractors.

For the attractor  $(0.15; 0.30; 8.25)$ :

- Strange attractor. Persistent chaotic dynamics.
- Fractal dimension in  $(1, 3/2)$ .
- Quasi-hyperbolicity.
- Spectral decomposition.

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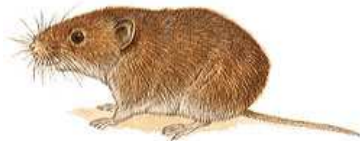
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## Biological conclusions

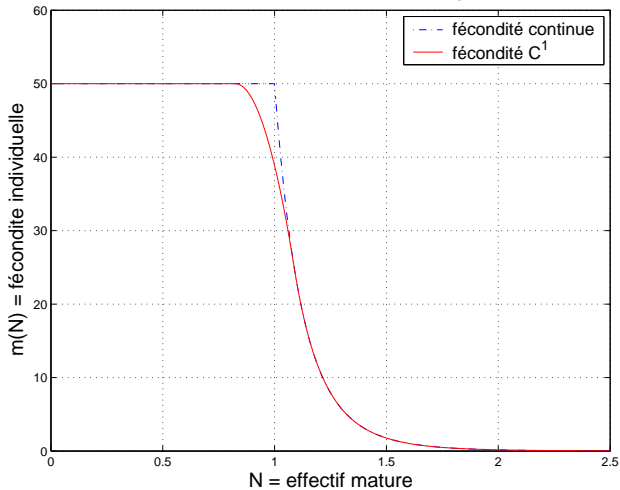
- Simple model, unrealistic.
- Sufficient to induce chaotic dynamics, not well understood mathematically.
- Qualitatively looks like real data.



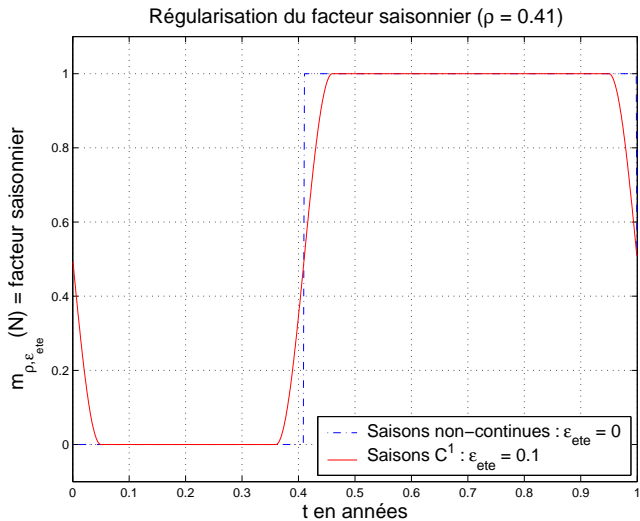


# Smoothing of the fertility rate

Comparaison des fonctions de fécondité ;  $m_0 = 50$  ;  $\gamma = 8.25$

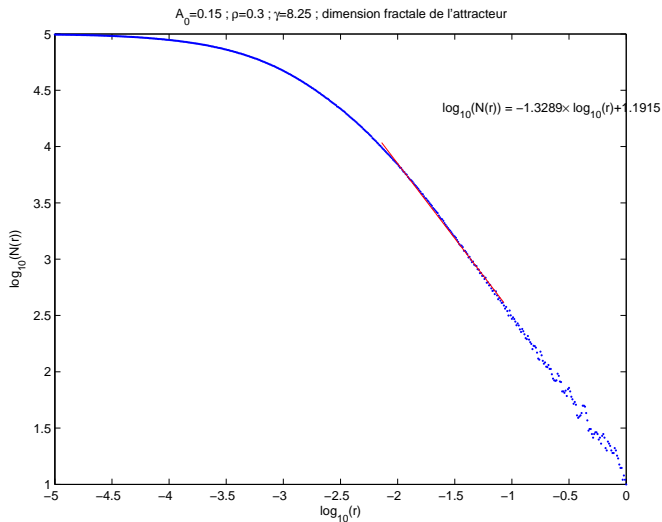


# Smoothing of the seasonal factor

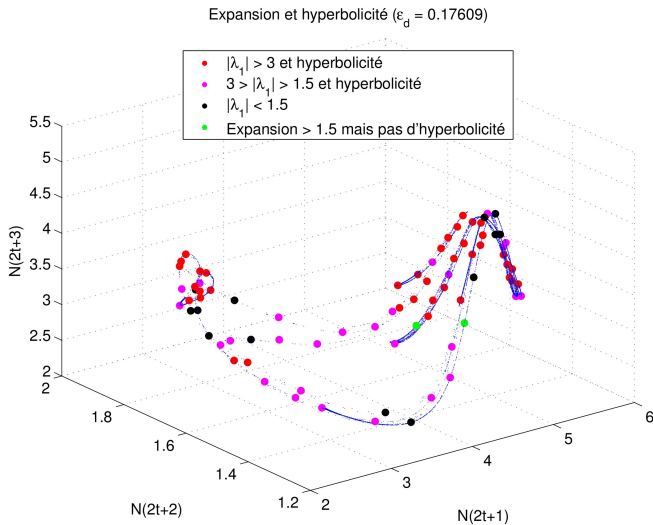




# Estimation of the fractal dimension



# Dynamics on the attractor: expansion areas



## Fold: unstable direction

